

February 24, 1953

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

The recent inspection of the New York Office revealed a serious delinquency in reporting the results of investigation in the Interstate Transportation of Stolen Property; Conspiracy case involving [redacted] and others. It is noted that this case was opened in the New York Office on October 10, 1951, and that no investigative report had been submitted by the New York Office at the time of the inspection in November, 1952, although eleven individuals were carried as subjects in the case and four subjects had been apprehended by Bureau Agents.

Such an unreasonable delay in reporting is inexcusable and would not have occurred if this case had been afforded the proper supervision at the Seat of Government. It is your responsibility to insure against such ineffective performance and, in the future, I will expect more aggressive supervision of matters of this kind in order that investigations may be reported with greater dispatch.

Very truly yours,

John Edgar Hoover

John Edgar Hoover

U.S. DEPT. OF JUSTICE

RECEIVED

297

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Laughlin _____
Mohr _____
Winterrowd _____
Tele. Rm. _____
Holloman _____
Gandy _____

EJI:mao

69 MAR 3 1953

MAILED 4
FEB 24 1953
COMM-FBI

February 2, 1953

MEMORANDUM FOR THE DIRECTOR

The following Special Agents in Charge are presently on probation:

<u>NAME</u>	<u>OFFICE</u>	<u>DATE</u>	<u>LAST INSPECTION</u>	<u>DATE OF LAST RECHECK</u>	<u>REASON</u>
J.E. Weeks	Honolulu Continued 11-12-52	2-6-52	10-28-52		Improper supervision of a security investigation in the Honolulu Division. Because of unsatisfactory condition of criminal informant coverage disclosed during recent inspection.
	<u>A. Rosen</u>				
1. Cornelius, Jr.	Salt Lake City Continued 9-11-52 Continued 10-27-52	7-8-52	10-11-52 (Philadelphia Office)		Failure to bring about the necessary improvement in the criminal informant coverage of the Philadelphia Office.
2. B. Hood	Washington Field	12-23-52	6-20-52		Because of gross breach of security which recently occurred in connection with the Security Investigation involving [redacted] [redacted]
3. D. King	Indianapolis	10-7-52	9-16-52	Under inspection as of 1-19-53. (Full inspection)	Delinquencies discovered during inspection of the Indianapolis Office.
4. N. Hosteny	Springfield	10-30-52	10-1-52		Delinquencies discovered during inspection of the Springfield Office.
5. C. Burton	Portland	11-18-52	11-1-52 (Denver Office)		Because of delinquencies discovered by Inspector Stein.

27
69 FEB 20 1953

J. J. Casper	New Haven	12-8-52	11-7-52	_____	Because of delinquencies discovered during inspection of the New Haven Office.
R.W. Wall	Miami	12-19-52	12-11-52	_____	Because of excessive delinquencies disclosed during inspection of the Miami Office.
L.V. Boardman	New York	1-16-53	12-18-52	_____	Because of delinquencies discovered during inspection of the New York Office.

Respectfully,

W. R. Glavin

February 2, 1953

MEMORANDUM FOR THE DIRECTOR

SEAT OF GOVERNMENT OFFICIALS (INCLUDING SECTION CHIEFS) ON PROBATION

<u>NAME</u>	<u>TITLE</u>	<u>DATE</u>	<u>REASON</u>
A. Rosen	Assistant Director	9-11-52	Failure to promptly inform the Director that prisoners had escaped from the Federal Penitentiary at Lewisburg, Penna.
W.R. Glavin	Assistant Director	11-10-52	Delay in handling vouchers.
N.P. Callahan	Number One Man in Administrative Division	11-10-52	Delay in handling vouchers.
J.E. Edwards	Assistant Chief of Personnel Section, Administrative Division	12-9-52	Because of not insuring that a subordinate Agent Supervisor had checked for a missing resignation letter in A Field Office Personnel File where it had been inadvertently filed.

Respectfully,

W.R. Glavin

March 12, 1953

~~PERSONAL AND CONFIDENTIAL~~

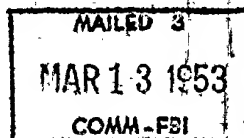
Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have noted the results of a survey recently made of a number of civil rights cases which had arisen within the New York Division, and in connection with the case relating to Detective [redacted] of the New York City Police Department there was an unwarranted delay on the part of the New York Office in completing and reporting its investigation. The Bureau was notified of the existence of this case by New York letter of August 21, 1952, which indicated that the United States Attorney had requested the investigation on August 8, 1952. Despite the nature of this matter and the fact that it had already been in the hands of the New York Division for some time before the Bureau was advised, there was a further and extreme delay and it was not until after the submission of a second investigative report on October 12, 1952, that your division placed a deadline on the case.

From the above it is obvious that this matter received entirely inadequate supervision in your Division. In addition to insisting that the investigation be handled more expeditiously, your supervisors should have required explanations from the New York Division as soon as the delay in handling became apparent. Such slipshod supervision is totally unacceptable, and I want you to know that this will not be permitted in the future.

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Laughlin _____
Mohr _____
Winterrowd _____
Tele. Rm. _____
Holloman _____
Gandy _____



76/RAD 1 8 1953
JIC:nre
CC: Mr. Clegg (P & C)
21 MAR 10 1953

Very truly yours,
John Edgar Hoover
Director
MAR 13 1953
62-7215-298

March 2, 1953

MEMORANDUM FOR THE DIRECTOR

Inspection General

The following Special Agents in Charge are presently on probation:

NAME	OFFICE	DATE	LAST INSPECTION	DATE OF LAST RECHECK	REASON
C. E. X Weeks	X Honolulu <i>INSP.</i>	2-26-52 Continued 11-12-52	10-28-52		Improper supervision of a security investigation in the Honolulu Division. Because of unsatisfactory condition of criminal informant coverage disclosed during recent inspection.
A. X Cornelius, Jr.	X Salt Lake City	7-8-52 Continued 9-11-52 Continued 10-27-52 Continued 2-6-53 in Salt Lake City	10-11-52 (Philadelphia Office)	Under inspection as of 2-17-53	Failure to bring about the necessary improvement in the criminal informant coverage of the Philadelphia Office. Because of improper handling of case entitled "Fraud Against the Government and Interstate Transportation of Stolen Aircraft", involving [redacted] and [redacted]
G. D. X King	X Indianapolis <i>INSP.</i>	10-17-52 Continued 2-12-53	1-31-53		Delinquencies discovered during inspection of the Indianapolis Office and the personal misconduct of the Agents at the Terre Haute, Resident Agency.
R. N. X Hosteny	X Springfield <i>INSP.</i>	10-30-52	2-12-53		Delinquencies discovered during inspection of the Springfield Office dated 10-1-52.

Mr. Tolson ✓
Mr. Ladd ✓
Mr. Nichols ✓
Mr. Belmont ✓
Mr. Clegg ✓
Mr. Glavin ✓
Mr. Harbo ✓
Mr. Rosen ✓
Mr. Tracy ✓
Mr. Egan ✓
Mr. Gurnea ✓
Mr. Hendon ✓
Mr. Jones ✓
Mr. Mumford ✓
Mr. Quinn ✓
Mr. Nease ✓
Miss Gandy ✓

JAN 10 1953

b6

G. C. X Burton	X Portland <i>Ins.</i>	11-18-52	11-1-52 (Denver Office)	Under inspec- tion as of 2-17-53.	Because of delinquencies discovered by Inspector Stein.
J. J. X Casper	New Haven	12-8-52	11-7-52	-----	Because of delinquencies discovered during inspection of the New Haven Office. <i>Ins.</i>
R. W. X Wall	Miami	12-19-52	12-11-52	Under inspec- tion as of 2-13-53.	Because of excessive delinquencies disclosed during inspection of the Miami Office. <i>Ins.</i>
L. V. X Boardman	X New York <i>Ins.</i>	1-16-53	12-18-52	-----	Because of delinquencies discovered during inspection of the New York Office.
J. M. X Lopez	X New Orleans Ordered to Birmingham as SAC 2-19-53	2-18-53	1-29-53	-----	Because of not advising the Bureau of the identity of the Senior Resident Agent design- ated at Monroe, La. or securing Bureau approval for his designation in accordance with Bureau regulations.

Respectfully,



W. R. Glavin

March 2, 1953

MEMORANDUM FOR THE DIRECTOR

SEAT OF GOVERNMENT OFFICIALS (INCLUDING SECTION CHIEFS) ON PROBATION

<u>NAME</u>	<u>TITLE</u>	<u>DATE</u>	<u>REASON</u>
A. X Rosen	Assistant Director X <i>Investigative Div.</i>	9-11-52	Failure to promptly inform the Director that prisoners had escaped from the Federal Penitentiary at Lewisburg, Penna.
J. E. X Edwards	Assistant Chief of Personnel Section, X Administrative Division	12-9-52	Because of not insuring that a subordinate Agent Supervisor had checked for a missing resignation letter in a Field Office Personnel File where it had been inadvertently filed.
M. A. X Jones	Chief X Crime Records Section	1-30-53	Improper handling of a reply to an invitation issued to the Director.
J. J. X McGuire	Number One Man in Records and X Communications Division	2-13-53	Improper supervision of employees located under him in the Records and Communications Division.

Respectfully,

W. R. Glavin

April 9, 1953

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

The Bureau is pleased to advise you that you
are being removed from a probationary status.

Sincerely yours,
J. Edgar Hoover

John Edgar Hoover
Director

CC: Mr. Ladd (~~Confidential~~)
Movement
Leave
Wackerman
[redacted] (sent direct)

FDH: jar

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Laughlin _____
Mohr _____
Winterrowd _____
Tele. Rm. _____
Holloman _____
Gandy _____

APR 14 1953

COMM - FBI
APR 9 - 1953
MAILED 19

b6

RECEIVED DIRECTOR
FBI
U.S. DEPT. OF JUSTICE
APR 9 6 11 PM '53
PR 9 5 56 PM '53
299
[Handwritten signatures and initials]

1. Agency and organizational designations U.S. Department of Justice Federal Bureau of Investigation					2. Pay Period		3. Block No.		4. Slip No. 38343	
5. Employee's name (Add social security account number when appropriate) MR. ALEX ROSEN					6. Grade and Salary GS 17 \$13,000		Dept.			
PAY ROLL CHANGE DATA										
	BASE-PAY	OVERTIME		GROSS PAY	RET.	TAX	BOND	F. I. C. A.		NET PAY
7. Previous normal										
9. New normal										
9. Pay this period										
10. Remarks:					11. Appropriation(s)			12. Prepared by		
								13. Audited by		
<input checked="" type="checkbox"/> Periodic step-increase <input type="checkbox"/> Pay adjustment <input type="checkbox"/> Other step-increase										
14. Effective date 4-26-53	15. Date last equivalent increase 10-22-51	16. Old salary rate \$13,000	17. New salary rate \$13,200	18. Performance rating is satisfactory or better: 27						
				(Signature or other authentication)						
19. LWOP data (Fill in appropriate spaces covering LWOP during following periods) Period(s): 33 APR 7 1953				(Check applicable box in case of excess LWOP) <input type="checkbox"/> In pay status at end of waiting period. <input type="checkbox"/> In LWOP status at end of waiting period.						
<input checked="" type="checkbox"/> No excess LWOP. Total excess LWOP				Initials of Clerk						

STANDARD FORM NO. 1126—Revised
Form prescribed by Comp. Gen., U. S.
Nov. 8, 1950, General Regulations No. 102

PAY ROLL CHANGE SLIP—PERSONNEL COPY

OFFICE MEMORANDUM

UNITED STATES GOVERNMENT

TO: MR. TOLSON

DATE: 3-9-53

FROM: H. H. CLEGG

SUBJECT: CIVIL RIGHTS CASES IN NEW YORK

DETAILSA. Rosen

The Director requested that the Training & Inspection Division review eight Civil Rights cases in New York and make sure there was no undue delay on the part of the Bureau in the handling of these cases. A review reflected there was no undue delay in seven cases. This has previously been reported in memoranda 3/3/53 and 3/6/53. There was a delay in the handling of the case of [redacted] Detective, NYC PD; [redacted] Victim.

b6

67-72-6167
ORIGINAL FILED IN

The [redacted] case was reopened in the New York Office after receipt of a letter from the U. S. Attorney, Eastern District of New York, dated 8/8/52, which requested investigation. The Bureau was notified of this by letter dated 8/21/52. The investigative report concerning this investigation was submitted by Sa Gerald V. Caswell dated 11/12/52. The investigative period was shown as 10.8.52. This report did not contain results of all investigation requested and undeveloped leads were set forth for the additional investigation. After the submission of the report of 11/12/52 a deadline was placed on the case by the Bureau. Subsequent to that time investigative reports were submitted and investigation was conducted promptly. The delay charged to the New York Office was from the period 8/8/52 to 11/12/52.

b6

On 3-9-53 Agent Caswell's explanation concerning this delay was telephonically furnished the Bureau. He stated he had numerous deadline cases during this period which necessitated immediate investigation. Most of these cases involved bribery matters. He further stated immediately after the request was received from the U. S. Attorney to conduct investigation in case he notified his supervisor, [redacted] who has since resigned that he could not give this case immediate attention and that [redacted] them informed him to handle the deadline cases and take care of this case when possible. His explanation is attached.

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This case was handled at the Bureau by Supervisor George P. Dillard and Senior Supervisor [redacted] of the Civil Rights Section, who have both resigned.

b6

Attachments

FHS:hc/cs

17 MAR 31 1953

RECOMMENDATIONS

1. In view of the explanation submitted by Special Agent Caswell, it is recommended no action be taken against him.
2. Recommend an explanation be requested from Asac Hargett, who was Assistant DAC in New York during the time of this delay. Letter requesting such explanation is attached.
3. Recommend attached letter go forward to DAC Boardman, calling his attention to the importance of Civil Rights cases and the necessity of seeing that they are afforded prompt investigative attention.
4. It is recommended that no action be taken against Assistant Director Rosen, Inspector Winterrowd and Section Chief Frank L. Price.

REPORT OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME ROSEN, Alex		2. GRADE AND COMPONENT OR POSITION	3. IDENTIFICATION NO.
4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)		5. PURPOSE OF EXAMINATION Annual	6. DATE OF EXAMINATION 4/6/53
7. SEX M	8. RACE White	9. TOTAL YRS. GOVT. SERVICE MILITARY CIVILIAN	10. DEPARTMENT, AGENCY, OR SERVICE
11. ORGANIZATION UNIT		12. DATE OF BIRTH 9/14/05	
13. PLACE OF BIRTH N.Y.		14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN	
15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS NNMC		16. OTHER INFORMATION	

17. RATING OR SPECIALTY TIME IN THIS CAPACITY: TOTAL LAST SIX MONTHS

CLINICAL EVALUATION		NOTES.—Describe every abnormality in detail. (Enter pertinent item number before each comment; continue in item 73 and use additional sheets if necessary.)
NORMAL	ABNOR- MAL	(Check each item in appropriate column; enter "N" if not evaluated)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	18. HEAD, FACE, NECK, AND SCALP
<input checked="" type="checkbox"/>	<input type="checkbox"/>	19. NOSE
<input checked="" type="checkbox"/>	<input type="checkbox"/>	20. SINUSES
<input checked="" type="checkbox"/>	<input type="checkbox"/>	21. MOUTH AND THROAT
<input checked="" type="checkbox"/>	<input type="checkbox"/>	22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	23. DRUMS (Perforation)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	24. EYES—GENERAL (Visual acuity and refraction under items 60, 61, and 62)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	25. OPHTHALMOSCOPIC
<input checked="" type="checkbox"/>	<input type="checkbox"/>	26. PUPILS (Equality and reaction)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	27. OCULAR MOTILITY (Associated parallel movements, nystagmus)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	28. LUNGS AND CHEST (Include breasts)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	29. HEART (Thrust, size, rhythm, sounds)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	30. VASCULAR SYSTEM (Varicosities, etc.)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	31. ABDOMEN AND VISCERA (Include hernia)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate if indicated)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	33. ENDOCRINE SYSTEM
<input checked="" type="checkbox"/>	<input type="checkbox"/>	34. G-U SYSTEM
<input checked="" type="checkbox"/>	<input type="checkbox"/>	35. UPPER EXTREMITIES (Strength, range of motion)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	36. FEET
<input checked="" type="checkbox"/>	<input type="checkbox"/>	37. LOWER EXTREMITIES (Except feet) (Strength, range of motion)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	38. SPINE, OTHER MUSCULOSKELETAL
<input checked="" type="checkbox"/>	<input type="checkbox"/>	39. IDENTIFYING BODY MARKS, SCARS, TATTOOS
<input checked="" type="checkbox"/>	<input type="checkbox"/>	40. SKIN, LYMPHATICS
<input checked="" type="checkbox"/>	<input type="checkbox"/>	41. NEUROLOGIC (Equilibrium tests under item 74)
<input checked="" type="checkbox"/>	<input type="checkbox"/>	42. PSYCHIATRIC (Specify any personality deviation)
Females only		(Check how done)
<input type="checkbox"/>	<input type="checkbox"/>	43. PELVIC <input type="checkbox"/> VAGINAL <input type="checkbox"/> RECTAL

(Continue in item 73)

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively)		REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES
o.—Restorable teeth X.—Missing teeth (6 X 6).—Fixed bridge, brackets to include abutments /.—Nonrestorable teeth XXX.—Replaced by dentures		
R	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	Type III Class I
L	32 31 30 29 28 27 26 25 24 23 22 21 20 19 18 17	

LABORATORY FINDINGS		46. CHEST X-RAY (Place, date, film number, result)	47. SEROLOGY (Specify test used and result)
45. URINALYSIS: SP. GR. 1.011	ALBUMIN N SUGAR N MICROSCOPIC N	Neg.	Neg.
48. EKG Normal	49. BLOOD TYPE AND RH FACTOR "A" Rh	50. OTHER TESTS	

17 APR 22 1953

MEASUREMENTS AND OTHER FINDINGS																																			
51. HEIGHT 71½"		52. WEIGHT 180		53. COLOR HAIR Grey-black		54. COLOR EYES Hazel		55. BUILD: SLENDER <input type="checkbox"/> MEDIUM <input checked="" type="checkbox"/> HEAVY <input type="checkbox"/> OBESE <input type="checkbox"/>		56. TEMP. N																									
57. BLOOD PRESSURE (Arm at heart level)						58. PULSE (Arm at heart level)																													
SITTING SYS. 132 DIAS. 86		RECUM- BENT SYS. DIAS.		STANDING (3 min.) SYS. * DIAS.		SITTING 74		AFTER EXERCISE		2 MIN. AFTER																									
59. DISTANT VISION		60. REFRACTION				61. NEAR VISION																													
RIGHT 20/20 CORR. TO 20/		BY S. CX				CORR. TO BY																													
LEFT 20/20 CORR. TO 20/		BY S. CX				CORR. TO BY																													
62. HETEROPHORIA: (Specify distance) ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. PC PD																																			
63. ACCOMMODATION RIGHT LEFT		64. COLOR VISION (Test used and result) Norm. AOC 1940				65. DEPTH PERCEPTION (Test used and score)		UNCORRECTED CORRECTED																											
66. FIELD OF VISION		67. NIGHT VISION (Test used and score)				68. RED LENS		69. INTRAOCULAR TENSION																											
70. HEARING		71. AUDIOMETER								72. PSYCHOLOGICAL AND PSYCHOMOTOR (Tests used and score)																									
RIGHT WV. /15 SV 15/15		<table border="1"> <thead> <tr> <th></th> <th>250 250</th> <th>500 512</th> <th>1000 1024</th> <th>2000 2048</th> <th>3000 3072</th> <th>4000 4096</th> <th>8000 8192</th> </tr> </thead> <tbody> <tr> <td>RIGHT</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>LEFT</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>									250 250	500 512	1000 1024	2000 2048	3000 3072	4000 4096	8000 8192	RIGHT								LEFT									
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LEFT WV. /15 SV 15/15																																			

73. NOTES (Continued) AND SIGNIFICANT OR INTERVAL HISTORY

Pneumonia, 1952-October

None now

Under care of Dr. _____ for G.I. upset (pylorospasm)

(Use additional sheets of plain paper if necessary)

74. SUMMARY OF DEFECTS AND DIAGNOSES (List diagnoses with item numbers)

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (Specify)

77. EXAMINEE (Check)

☒ IS

☐ IS NOT

QUALIFIED FOR Strenuous exertion and use of firearms.

78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER

79. TYPED OR PRINTED NAME OF PHYSICIAN

SIGNATURE

80. TYPED OR PRINTED NAME OF PHYSICIAN

SIGNATURE

81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (Indicate which)

SIGNATURE

82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY

SIGNATURE

NUMBER OF ATTACHED SHEETS

April 1, 1953

MEMORANDUM FOR THE DIRECTORSEAT OF GOVERNMENT OFFICIALS (INCLUDING SECTION CHIEFS ON PROBATION

<u>NAME</u>	<u>TITLE</u>	<u>DATE</u>	<u>REASON</u>
A. Rosen	Assistant Director	9-11-52	Failure to promptly inform the Director that prisoners had escaped from the Federal Penitentiary at Lewisburg, Pennsylvania.
M.A. Jones	Chief Crime Records Section	1-30-53	Improper handling of a reply to an invitation issued to the Director.
J.J. McGuire	Number One Man in Records and Communications Division	2-13-53	Improper of supervision of employees located under him in the Records and Communications Division.
W.V. Cleveland	Number One Man to Mr. Belmont	3-12-53	Failure to report to his immediate supervisor an incident which resulted in a complaint to the Arlington County Police Department.

Respectfully,

W. R. Glavin

89 APR 20 1953

3 00

FEDERAL BUREAU OF INVESTIGATION UNITED STATES DEPARTMENT OF JUSTICE

REPORT OF PERFORMANCE RATING

Name of Employee: ALEX ROSEN

Where Assigned: INVESTIGATIVE DIVISION
(Division)

(Section, Unit)

Payroll Title: ASSISTANT DIRECTOR

Rating Period: from 3/31/52 to 3/31/53

ADJECTIVE RATING: SATISFACTORY
Outstanding, Satisfactory, Unsatisfactory

Employee's
Initials

R

Rated by:

[Signature]

Signature

Asst. to the Dir.

Title

4/17/53

Date

Reviewed by:

[Signature]

Signature

Title

Date

Rating approved by:

[Signature]

Signature

ASSISTANT DIRECTOR

Title

APR 24 1953

Date

TYPE OF REPORT

(X) Official
(X) Annual

() Administrative

() 60-day

() Transfer

() Separation from service

() Special

89 MAY 1 1953

RECORDED

27215-301

Searched _____
Indexed _____
Filed _____
112

() Special

[Signature]

PERFORMANCE RATING GUIDE FOR INVESTIGATIVE PERSONNEL

(For use as attachment to Performance Rating Form No. FD-185)

Name of Employee ALEX ROSENTitle Assistant DirectorRating Period: from 3/31/52 to 3/31/53

RATING GUIDE AND CHECK-LIST

Note: Only those items having pertinent bearing on employee's performance should be rated. All employees in same salary grade should be compared. Rate items as follows:

- + Outstanding (exceeding excellent and deserving special commendation).
✓ Satisfactory (ranging from good to excellent but not sufficient to rate outstanding).
- Unsatisfactory.
○ No opportunity to appraise performance during rating period.

Guide for determining adjective rating:

An 'Outstanding' rating cannot be justified unless all elements rated are 'plus'; and in addition, of course, supporting comments must comply with the requirements as set out on the reverse of form FD-185.

So far as 'Satisfactory' and 'Unsatisfactory' ratings are concerned, it is impossible to provide a mechanical formula for computing the various 'plus', 'check', and 'minus' marks because such would presume equal weight for all elements rated. Good judgment must be exercised to insure that the adjective rating is reasonable in the light of the elements rated. All minus marks must be supported by narrative detail, and of course, all 'Unsatisfactory' ratings must comply with the requirements as set out on the reverse of form FD-185.

- | | |
|---|---|
| <u>✓</u> (1) Personal appearance. | <u>✓</u> (17) Firearms ability. |
| <u>✓</u> (2) Personality and effectiveness of his personal contacts. | <u>✓</u> (18) Development of informants and sources of information. |
| <u>+</u> (3) Attitude (including dependability, cooperativeness, loyalty, enthusiasm, amenability and willingness to equitably share work load). | <u>✓</u> (19) Reporting ability: |
| <u>✓</u> (4) Physical fitness (including health, energy, stamina). | <u>✓</u> (a) Investigative reports |
| <u>✓</u> (5) Resourcefulness and ingenuity. | <u>✓</u> (b) Summary reports |
| <u>✓</u> (6) Forcefulness and aggressiveness as required. | <u>✓</u> (c) Memos, letters, wires |
| <u>✓</u> (7) Judgment, including common sense, ability to arrive at proper conclusions; ability to define objectives. | (Consider: <u>✓</u> conciseness; <u>✓</u> clarity; <u>✓</u> organization; <u>✓</u> thoroughness; <u>✓</u> accuracy; <u>✓</u> adequacy and pertinency of leads; <u>✓</u> administrative detail.) |
| <u>✓</u> (8) Initiative and the taking of appropriate action on own responsibility. | <u>○</u> (20) Performance as a witness. |
| <u>✓</u> (9) Planning ability and its application to the work. | <u>✓</u> (21) Executive ability: |
| <u>✓</u> (10) Accuracy and attention to pertinent detail. | <u>✓</u> (a) Leadership |
| <u>✓</u> (11) Industry, including energetic consistent application to duties. | <u>✓</u> (b) Ability to handle personnel |
| <u>✓</u> (12) Productivity, including amount of acceptable work produced and rate of progress on or completion of assignments. Also consider adherence to deadlines unless failure to meet is attributable to causes beyond employee's control. | <u>✓</u> (c) Planning |
| <u>+</u> (13) Knowledge of duties, instructions, rules and regulations, including readiness of comprehension and know-how of application. | <u>✓</u> (d) Making decisions |
| <u>✓</u> (14) Technical or mechanical skills. | <u>✓</u> (e) Assignment of work |
| <u>✓</u> (15) Investigative ability and results: | <u>✓</u> (f) Training subordinates |
| <u>✓</u> (a) Internal security cases | <u>✓</u> (g) Devising procedures |
| <u>+</u> (b) Criminal or general investigative cases | <u>✓</u> (h) Emotional stability |
| <u>✓</u> (c) Fugitive cases | <u>✓</u> (i) Promoting high morale |
| <u>✓</u> (d) Applicant cases | <u>✓</u> (j) Getting results |
| <u>✓</u> (e) Accounting cases | <u>+</u> (22) Ability on raids and dangerous assignments: |
| <u>○</u> (16) Physical surveillance ability. | <u>✓</u> (a) As leader |
| | <u>✓</u> (b) As participant |
| | <u>✓</u> (23) Organizational interest, such as making of suggestions for improvement. |
| | <u>✓</u> (24) Ability to work under pressure. |
| | <u>✓</u> (25) Miscellaneous. Specify and rate: |
| | _____ |
| | _____ |
| | _____ |

A. Specify general nature of assignment during most of rating period (such as security, criminal, applicant squad, or as resident Agent, supervisor, instructor, etc.): criminal

B. Specify employee's most noteworthy special talents (such as investigator, desk man, research, instructor, speaker): Desk man - directs and coordinates all criminal, applicant and accounting work for the field.

C. (1) Is employee available for general assignment wherever needs of service require? Yes (If answer is not 'yes', explain in narrative comments.)
 (2) Is employee available for special assignment wherever needs of service require? Yes (If answer is not 'yes', explain in narrative comments.)

D. Has employee had any abnormal sick leave record during rating period? Yes (If so, explain in narrative comments.)

ADJECTIVE RATING: SATISFACTORY

Outstanding, Satisfactory, Unsatisfactory

OFFICE MEMORANDUM

UNITED STATES GOVERNMENT

TO : MR. D. M. LADD

DATE: 3/2/53

FROM : A. ROSEN

SUBJECT: CIVIL RIGHTS CASES INVOLVING
NEW YORK CITY POLICE DEPARTMENT
(January 1, 1948 - January 1, 1953)

I have read the attached memorandum submitted by Mr. Winterrowd and I feel if this matter had been properly coordinated we would not have missed the case, in spite of the fact that the wire from the New York office failed to designate or list this case which was missed.

I have spoken with Mr. Winterrowd about this and he stated that inasmuch as he handled the preparation of this material, he feels it was his responsibility and not that of the Agents who were working on the case with him. He also points out that the omission was corrected before the memorandum actually was transmitted out of the Bureau.

In the light of the above a letter of censure should also be directed to Mr. Winterrowd.

Inasmuch as Mr. Winterrowd is in my Division, a letter should also be directed to me.

RECOMMENDATIONS:

1. Letter of censure to SA T. J. Muldoon of the New York Office.
2. Letter of censure to SA [redacted] of the New York Office, who is SA Muldoon's immediate Supervisor.
3. Letter of censure to SAC Boardman, New York inasmuch as the original request was made of Boardman.
4. Letter of censure to E. H. Winterrowd.
5. Letter of censure to A. Rosen.

DIRECTOR'S NOTATION "I THINK SUFFICIENT ORAL CENSURES HAVE BEEN GIVEN." H.

AR:WW
Attachment
cc - Mr. Glavin

(See additional recommendation next page)
6 9 APR 24 1953

RECOMMENDATION:

That letters of censure be sent to Mr. Winterrowd and SA Muldoon only. Winterrowd coordinated the work at the Bureau, Muldoon at New York. Either one, or both, should have discovered the omission of one case.

G. C. Gearty
GCG:pc

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-07-2010

April 20, 1953

PERSONAL & CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

The Bureau is in receipt of the report of the physical examination afforded you at the United States Naval Hospital, Bethesda, Maryland, on April 6, 1953.

This report reflects that you have no disqualifying physical defects.

The electrocardiogram afforded you in this connection was within normal limits.

The Board of Examining Physicians of the United States Naval Hospital reports that you are capable of performing strenuous physical exertion and have no physical defects that would interfere with your participation in raids or other work involving the practical use of firearms.

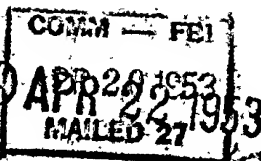
Sincerely yours,

J. E. Hoover
John Edgar Hoover
Director

CC-Mr. Rosen

WBH:mc

Tolson _____
Ladd _____
Clegg _____
Glavin _____
Nichols _____
Rosen _____
Tracy _____
Harbo _____
Algen _____
Belmont _____
Laughlin _____
Mohr _____
Tele. Room _____
Nease _____
Gandy _____



9 MAY 1953

**FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE**

REPORT OF PERFORMANCE RATING

Name of Employee: ALEX ROSEN

Where Assigned: INVESTIGATIVE DIVISION
(Division)

(Section, Unit)

Payroll Title: ASSISTANT DIRECTOR

Rating Period: from 4/1/52 to 3/31/53

ADJECTIVE RATING: SATISFACTORY

Outstanding, Satisfactory, Unsatisfactory

Employee's
Initials

Rated by:

Glyde A. Tolson
Signature

ASSOCIATE
DIRECTOR
Title

3/31/53
Date

Reviewed by:

Signature

Title

Date

Rating approved by:

Signature

ASSISTANT DIRECTOR
Title

MAY 5 1953
Date

TYPE OF REPORT

(x) Official
(x) Annual

() Administrative

() 60-day

() Transfer

() Separation from service

() Special

17 MAY 14 1953

272/5-3021

MAY 8 1953
FEDERAL BUREAU OF INVESTIGATION

3 Shh

October 16, 1953

Mr. Alvin Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

Undoubtedly this day will bring you many congratulatory messages from your numerous friends and associates to help celebrate your Twentieth Anniversary of Bureau service. By way of official recognition of this important occasion, I am extending my warmest personal congratulations in addition to presenting to you, on behalf of the Bureau, the enclosed Twenty-Year Service Award Key. I sincerely hope this day will permit you to recall all of the pleasant memories of your long years of splendid service to the FBI.

The great contributions which you have made toward the progress of this Bureau during two decades of service are most praiseworthy. Your unfaltering interest in our problems, your innumerable personal sacrifices, and most of all your intense loyalty and devotion to duty are combined factors which have made you an inspiration to those who have been privileged to know and work with you. There are many outstanding examples of the accomplishments of the Investigative Division which have assisted the Bureau in discharging its heavy responsibilities. These accomplishments are directly attributable to the faithful and conscientious manner in which you have performed your duties as head of this division.

I want you to know how highly I value having an associate like you upon whom I can always rely and trust. I shall be permitted to continue receiving the benefit of your valuable experience and talents for many years to come.

With best wishes and kind regards,

Sincerely,

J. Edgar Hoover

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Laughlin _____
Mohr _____
Winterrowd _____
Tele. Rm. _____
Holloman _____
Gandy _____

SENT FROM D. C.
TIME 10:00 AM
DATE 10-16-53
BY JEN

20 OCT 20 1953

WSH:jmw

Personal copy for Mr. Rosen
by the Director

ALC-6724

OFFICE MEMORANDUM. UNITED STATES GOVERNMENT

TO : MR. LADD

DATE: July 7, 1953

FROM : A. ROSEN

SUBJECT: DELAY IN CORRESPONDENCE

b6

Under date of June 8th, the decisions of the Supreme Court in the [] and [] cases, which related to conscientious objectors, were furnished to the Director by an informal memorandum from Robert L. Stern, Acting Solicitor General. These decisions were received in my office on June 9, 1953, and were thoroughly analyzed by the Selective Service Desk. Inasmuch as this was an informal note, and inasmuch as Stern was among several in the Department who did not feel that there would be a successful appeal, it was not felt necessary to acknowledge his note. We had dealt with Stern in conference. With regard to this appeal, it is believed that this was the reason for his note and its tone. This material was received by Mr. Nichols' Office on June 24th and was acknowledged by letter to Mr. Stern on June 26, 1953.

Mr. Tolson raised a question concerning the delay in preparing this acknowledgement and Mr. Nichols' by memorandum to Mr. Tolson, explained the delay in his office by memorandum dated July 1, 1953. Mr. Tolson made the notation on the July 1, 1953 memorandum of Mr. Nichols': "it took entirely too long. How many others letters are being held up?"

A check was made in the Criminal Section, Special Inquiry Section and the Accounting and Fraud Section, and it was determined that there are no letters in this Division that have not been handled.

ACTION

For your information

JRM/rh

152
89 JUL 24 1953

MR. TOLSON

5-18-53

G. C. GEARTY

A. Rosen

b6

Special Inquiry

PURPOSE: To answer your inquiry concerning the delay in processing the completed summary of the captioned matter. It is to be noted the summary and outgoing letters of transmittal were dated 5-14-53. They were received in the office of the Number 1 man of the Investigative Division 5:40 pm 5-13-53, thereafter received in Mr. Rosen's Office 9:28 am 5-14-53. The summary and letters were received in Mr. Ladd's Office 8:58 am 5-15-53. They were received in my office for you 2:33 pm 5-15-53. They were thereafter received in the Reading Room 4:10 pm, and in the Director's Office 4:53 pm 5-15-53.

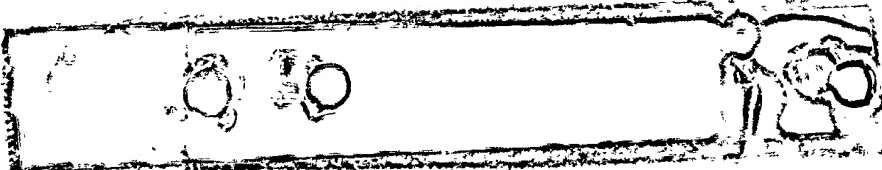
DELAY IN PROCESSING SUMMARY: Mr. Rosen advised that he received the captioned summary along with a number of others at 9:28 am 5-14-53; however, due to many specials, other expedite memoranda, and his attendance at the Executives Conference, he was unable to handle this summary. He pointed out that the volume of mail was so heavy that he was unable to leave the building for lunch on that day. He permitted the summaries to accumulate, and handled them during the evening completing them at 12:30 am 5-15-53, and had them delivered promptly to Mr. Ladd's Office the first thing in the morning. It will be observed the summary remained in Mr. Rosen's Office approximately 24 hours.

Mr. Ladd advised that on 5-15-53 a total of 15 summaries were received in his office, 8 of them, which included the captioned matter, were received at 8:58 am. He stated he regretted that he did not handle them sooner but the delay was occasioned by the tremendous volume of specials which were received in his office the morning of 5-15-53. He pointed out that at least 2 of the summaries were over 20 pages in length. It is to be noted this summary was received in my office at 2:33 pm 5-15-53.

I desire to point out that this summary, with 14 others, was received in my office subsequent to the Friday dead line. It was in my office approximately 1½ hours before being received in the Reading Room at 4:10 pm.

OBSERVATIONS: At the time the above summary and the letters of transmittal were received in Mr. Ladd's Office and my office for you, it was already considered a delayed piece of correspondence since the date was that of the previous day, 5-14-53. The responsibility rests with the Investigative Division: 1. in not having met the previous day's dead line, and 2. in not insuring an even flow of mail, it being noted that 8 summaries were handled in Mr. Ladd's Office and stamped in at

CG:pc



8:58 am. Although it is appreciated that the Investigative Division is handling a considerable volume of mail, these summaries, being special, should have been given the consideration they deserve and moved more promptly.

RECOMMENDATION: It is recommended a letter of censure be directed to Assistant Director Rosen to insure that there will be no recurrence of such a delay in the handling of expedite mail. b6

This recommendation is made in conjunction with Mr. Rosen's handling of another summary entitled [redacted] Special Inquiry, in which there was a similar delay but which is being treated under separate memorandum.

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. TOLSON *Vm*

DATE: 5-18-53

FROM : G. C. GEARTY

SUBJECT:
Special Inquiry

b6

A. K. Rosen
D.M. Ladd

Tolson	
Ladd	
Nichols	
Belmont	
Clegg	
Glavin	
Harbo	
Rosen	
Tracy	
Tele. Rm.	
Mr. Holloman	

PURPOSE: To answer your inquiry concerning the delay in processing the completed summary of the captioned matter. It is to be noted the summary and outgoing letters of transmittal were dated 5-14-53. They were received in the office of the Number 1 man of the Investigative Division (5:02 pm 5-13-53, thereafter received in Mr. Rosen's Office 9:25 am 5-14-53. The summary and letters were received in Mr. Ladd's Office 8:58 am 5-15-53. They were received in my office for you 2:31 pm 5-15-53. They were thereafter received in the Reading Room 4:09 pm, and in the Director's Office 4:54 pm 5-15-53.

DELAY IN PROCESSING SUMMARY: Mr. Rosen advised that he received the captioned summary along with a number of others at 9:25 am 5-14-53; however, due to many specials, other expedite memoranda, and his attendance at the Executives Conference, he was unable to handle this summary. He pointed out that the volume of mail was so heavy that he was unable to leave the building for lunch on that day. He permitted the summaries to accumulate, and handled them during the evening completing them at 12:30 am 5-15-53, and had them delivered promptly to Mr. Ladd's Office the first thing in the morning. It will be observed the summary remained in Mr. Rosen's Office approximately 24 hours.

Mr. Ladd advised that on 5-15-53 a total of 15 summaries were received in his office, 8 of them, which included the captioned matter, were received at 8:58 am. He stated he regretted that he did not handle them sooner but the delay was occasioned by the tremendous volume of specials which were received in his office the morning of 5-15-53. He pointed out that at least 2 of the summaries were over 20 pages in length. It is to be noted this summary was received in my office at 2:31 pm 5-15-53.

I desire to point out that this summary, with 14 others, was received in my office subsequent to the Friday dead line. It was in my office approximately 1½ hours before being received in the Reading Room at 4:09 pm.

OBSERVATIONS: At the time the above summary and the letters of transmittal were received in Mr. Ladd's Office and my office for you, it was already considered a delayed piece of correspondence since the date was that of the previous day, 5-14-53. The responsibility rests with the Investigative Division: 1. in not having met the previous day's dead line, and 2. in not insuring an even flow of mail, it being noted that 8 summaries were handled in Mr. Ladd's Office and stamped in at 8:58 am.

67-80-5-1223
JUN 11 1953

Although it is appreciated that the Investigative Division is handling a considerable volume of mail, these summaries, being special, should have been given the consideration they deserve and moved more promptly.

RECOMMENDATION: It is recommended a letter of censure be directed to Assistant Director Rosen to insure that there will be no recurrence of such a delay in the handling of expedite mail. b6

This recommendation is made in conjunction with Mr. Rosen's handling of another summary entitled [redacted] Special Inquiry, in which there was a similar delay but which is being treated under separate memorandum. (Attached)

I agree and
recommend
Mr. Sudd be
censured.

DDN
5/18

I agree

5/18

I agree Jot 7/18

no censure but Sudd &
Rosen should try to get a
prompt & even flow of
the mail. It all descends
in this office but Sudd &
I have had to spend
most of Sunday for weeks
handling it -

K,

October 16, 1953

~~PERSONAL AND CONFIDENTIAL~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have reviewed the various explanations submitted with respect to the failure on the part of the Investigative Division to properly handle the various ramifications of the kidnaping case of [redacted] b6

I have noted that you approved a memorandum submitted by a Special Agent Supervisor assigned to your division which contained misleading information in the details and which memorandum largely contributed to the errors made in a press release issued in connection with this case. Moreover, there were planning errors committed by personnel of your division in this matter which resulted in serious embarrassment to the Bureau through the release of a criminal record which actually did not pertain to one of the persons arrested.

I further desire to express a displeasure at the unwarranted delay which occurred in your division in bringing to my attention the fact that the fingerprints of one of the subjects arrested at St. Louis, Missouri, in this matter, were not identical with the fingerprints of another individual with a similar name who has a criminal record which had previously been released by the Bureau as pertaining to the individual in custody in St. Louis.

As Assistant Director in Charge of the Investigative Division, you are charged with general responsibility for the failure within your division in this instance.

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Gearty _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
 Sizoo _____
Miss Gandy _____

FDR:adn/ean

RECEIVED RECORDS ROOM

ES. 10 11 1953

FEDERAL BUREAU OF INVESTIGATION

20 OCT 22 1953

MAILED 9

COMM. FBI

and I expect you to take the appropriate steps to see that there is no repetition of such serious delinquencies in your division in the future.

Very truly yours,

J. Edgar Hoover
John Edgar Hoover
Director

TO: The Director
 FROM: D. M. LADD
 SUBJECT: GRENAPE

October 9, 1953

b6

Supervisor Gallagher indicates that he received a call from [redacted] between 8:30 and 8:45 A.M. yesterday advising of the fact that the fingerprints submitted on [redacted] by the St. Louis Office were not identical with the criminal record which had previously been used. He called a stenographer, dictated a memorandum, and then told Mr. Price. Mr. Rosen indicates that Price and Gallagher came to his office at about 9:23 A.M. and furnished him with this information. After obtaining the information, Mr. Rosen states that he telephonically contacted me. This is correct. I received a call from the switchboard in the Director's Office saying that the Director had left for the Department. Shortly thereafter I received a telephone call from Mr. Rosen and he informed me of the fact that the Identification Division indicated an incorrect criminal record had been utilized. I told him to immediately obtain all the details and let me have the story. In view of the fact that the Director was not in his office, I immediately proceeded to Mr. Tolson's office; he was at that time tied up with SAC Auerbach. I returned to my office and in a few minutes thereafter Mr. Rosen and Mr. Price called at my office and advised that they had double checked the matter with the Identification Division and that the [redacted] arrested in St. Louis was not identical with the [redacted] whose criminal record had been released. I again returned to Mr. Tolson's Office and by this time Mr. Auerbach had left and I gave Mr. Tolson the story.

On a matter of this importance it seems that there was entirely too much delay in reporting it. The Identification Division states they informed the supervisor at 8:15 A.M. He admits that he received the call between 8:30 and 8:45 A.M. Yet this was not called to my attention until 9:40 A.M. or later. In this connection I was in my office at 8:20 A.M. yesterday morning and should have been immediately advised in order that I could advise the Director. In view thereof, I recommend that letters of censure be directed to Supervisor R. J. Gallagher, F. L. Price, and Assistant Director Rosen.

DML:WMJ

"And understatement! Let me have recommendations.
 Hoover."

20 OCT 26 1953

RECORDED

67-27215-305	
Searched	_____
Numbered	_____
12 OCT 23 1953	
FEDERAL BUREAU OF INVESTIGATION	

ORIGINAL FILED IN 100-1017

TO: Mr. Ladd

October 8, 1953

FROM: Mr. Rosen

SUBJECT: CRENA

A. ROSEN

With reference to the first information furnished to me by Mr. Gallagher concerning [redacted] the following is submitted.

b6

At 9:23 this morning, Mr. Gallagher and Mr. Price came to my office. Mr. Bruggeman had already come in to see me on another matter. Bruggeman had stated that Gallagher had just received information from Ident that the woman in St. Louis who was in our custody is not identical with the woman on whom we had received a criminal record. I immediately told Bruggeman to get Gallagher to come up right away since Gallagher received the information from Deiss of the Singel Fingerprint Section.

Upon receiving the details from Mr. Gallagher, who stated, that he had already dictated the memorandum and that it would be up very shortly. I called Mr. Ladd and advised him that I had received the above information concerning the [redacted] woman.

b6

Mr. Ladd stated that he wanted all of the information with reference to this matter gotten together immediately in order that he would know exactly who was responsible for the present situation and in order that he could review the material immediately.

Within minutes after that, Mr. Price and I after checking, determined that we had sufficient information to advise Mr. Ladd of the preliminary information obtained, and we went to his office, instructing on the way up that Gallagher was to get his material together.

I do not know the exact time that we were able to verify the error, but immediately upon doing so, Price and myself went to Mr. Ladd's office, and after relating the facts to him he left his office, stating that he was going into Mr. Tolson's office.

b6

At approximately 9:15 a.m., today, Mr. Price came to my office and, in my absence, asked the stenographer on duty in my office to give me a message to the effect that there may be some question that the criminal record given out on the [redacted] woman was not correct. Apparently the latent has tied [redacted] together.

AP:mfb/dej

67-27215-306	
Searched	Numbered
12 OCT 23 1953	
FEDERAL BUREAU OF INVESTIGATION	

20 OCT 26 1953

ORIGINAL FILED IN 7-6720-1066

Memorandum to Mr. Ladd

record. The stenographer also stated that Mr. Bruggeman and the stenographer came into my office at 9:17 a.m., at which time Bruggeman brought this incident to my attention and that I asked Bruggeman to immediately get Gallagher up here so that I could get the full facts immediately. The stenographer states that Gallagher and Price came to my office at approximately 9:30 a.m.

I have spoken to Mr. Gallagher, who was at home, having been on duty all night, and to the best of his recollection he stated that he received a call from Mr. Deiss between 8:30 and 8:45 a.m., this morning, October 2, 1953. Mr. Deiss has currently kept us up to date on developments in connection with work being done in Identification Division on this case. He therefore called Mr. Gallagher as he had on previous occasions and advised him of the situation for Mr. Gallagher's information.

R. J. Gallagher-Kidnaping Desk

After getting the information Mr. Gallagher stated he walked through Mr. Price's office en route to get a stenographer, who was standing by; that Mr. Price was on the telephone; that he got a stenographer and dictated a short informative memorandum. In view of the telephone calls which Mr. Price was handling Mr. Gallagher had an opportunity to mention, but briefly, what had developed to Mr. Price and he did this while Mr. Price had completed a call and was receiving another call. He dictated a short informative memorandum, but inasmuch as he was able to furnish the developments orally the memorandum which he had dictated was not waited for. Action was taken without the memorandum, as indicated above, by immediately calling Mr. Ladd.

F. L. Price
Chief, Criminal Section

Following receipt of phone call by Mr. Gallagher from Mr. Deiss I was notified of the situation. At the time I was on the long distance telephone obtaining explanations on the three items from S/C Thornton in which the Director was interested. It was necessary to also communicate with Kansas City and again St. Louis following this call. I approved the night memoranda and immediately sent them forward. As indicated in the foregoing, I then called this matter to Mr. Rosen's attention.

October 23, 1953

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

In connection with the handling of the [redacted] kidnaping case in your division I want to extend my appreciation and commendation for the diligent efforts of the Investigative Division personnel in performing their duties relative to this major investigation.

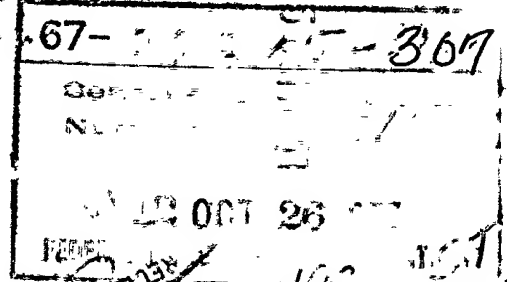
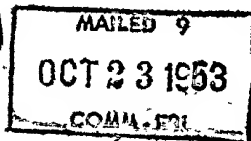
b6

The industry with which the supervisory, clerical and stenographic personnel applied themselves, voluntarily contributing a great deal of overtime, has truly been noteworthy. Please convey my gratitude to the employees for their excellent attitude and cooperation.

Sincerely yours,

LRH:mao

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Gearty _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
Sizoo _____
Miss Gandy _____



80 OCT 28 1953

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Glavin

DATE: October 10, 1953

FROM : H.L. Edwards *ape*

SUBJECT: GRENAP

*Investigative Div**A. Rosen*RE: DELAY IN ADVISING DIRECTOR OF ERRONEOUS
CRIMINAL RECORD ON SUBJECT [REDACTED]

b6

The Director has inquired concerning the delay in advising him that the Identification Division had determined that the fingerprints of subject [REDACTED] submitted by the St. Louis Office, were not identical with those of [REDACTED] alias [REDACTED] FBI # [REDACTED], who has a criminal record. It is noted the fingerprints of subject [REDACTED] were received in the Identification Division from the St. Louis Office at 7:15 AM on October 3, 1953, and the Identification Division advises Supervisor R.J. Gallagher of the Investigative Division was notified of the fact that these two individuals were not identical at 3:15 AM on that date. The Director noted "Up till 9:40 AM I was not advised. Why?"

b6

Supervisor R.J. Gallagher of the Investigative Division advises he received a call from Supervisor E.S. Deiss of the Identification Division between 8:30 and 8:45 AM October 3, 1953, advising that the fingerprints submitted by the St. Louis Office were not identical with the criminal record previously used in this case. (Identification Division advises Gallagher was notified at 8:15 AM). Supervisor Gallagher advises he immediately called a stenographer, dictated a memorandum, and then told Mr. Frank L. Price, Chief of the Criminal Section. Mr. Price was momentarily tied up on long distance calls in connection with the Grenap case but as soon as he was free, he and Gallagher went to Mr. Rosen's Office and reported the matter to him at approximately 9:23 AM. Mr. Rosen thereupon telephonically informed Mr. Ladd of the development and was told to immediately obtain all the facts. In view of the fact the Director was not in his office at the time, Mr. Ladd immediately proceeded to Mr. Tolson's Office but was unable to see Mr. Tolson because he was tied up at the time. A few minutes later Mr. Rosen and Mr. Price called at Mr. Ladd's Office and reported they had double checked the matter with the Identification Division, whereupon Mr. Ladd again returned to Mr. Tolson's Office and advised him of the fact that the [REDACTED] arrested in St. Louis was not identical with the [REDACTED] whose criminal record had been released.

Mr. Ladd reports that although he was in his office at 8:20 AM on October 3, the matter was not called to his attention until 9:40 AM or later and there appears to have been entirely too much delay in reporting the matter to him in order that he could advise the Director. In view thereof, Mr. Ladd recommends that letters be

FEDERAL BUREAU OF INVESTIGATION

FDH:dh OCT 23 1953 158

80005-1295

directed to Supervisor Gallagher, Section Chief Price and Assistant Director Rosen. ✓

RECOMMENDATIONS OF THE ADMINISTRATIVE DIVISION

The Administrative Division recommends that letters of censure be directed to Supervisor R.J. Gallagher, Section Chief Frank L. Price and Mr. Rosen for the delay on the part of the Investigative Division in seeing that this important development was brought to the attention of the Director.

I also recommend probation for Gallagher and Price for delay in advising Mr. Rosen.

10/10/53

*Letter to Gallagher
Price + Rosen
10-16-53
PDH:ggp*

*Jagnew
10/10*

*Jagnew
10/12*

*I concur
H.*

PERMANENT BRIEFS OF THE PERSONNEL FILES OF MR. GALLAGHER AND MR. PRICE HAVE PREVIOUSLY BEEN FURNISHED MR. CLEGG IN CONNECTION WITH AN INQUIRY BEING MADE IN THE HANDLING OF THE GRENAP CASE.

OFFICE MEMORANDUM UNITED STATES GOVERNMENT

TO : Mr. Tolson
FROM : H. H. Clegg

DATE: 10-9-53

SUBJECT: IMPROPER RELEASE IN CRENAF CASE,
OCTOBER 7, 1953

111 B...

SYNOPSIS:

A press release announcing solution of CRENAF case was released about 11:10 a. m., 10-7-53 followed at about 11:30 a. m. by release of criminal record of subject Hall, who had been positively identified. At same time criminal record of [redacted] with aliases, including names of [redacted] and [redacted] was released on woman in custody at St. Louis whose name was reported as [redacted]. This was an erroneous ident. Also released at same time was criminal record on [redacted] based on name check of [redacted] or [redacted] with no positive evidence he was third party mentioned by subject [redacted] later said photo of [redacted] whose record was released was not person he had in mind.

All participating employees of Investigative Division knew only [redacted] had been positively identified and other two were name-check "possible identification" records. So did Supervisor E. C. Kemper of Crime Records, who collected information and presented it to Mr. Nichols with statement that records of [redacted] and [redacted] were based on name checks.

Investigative Division Supervisor O. F. Myers, in charge of General Crimes Unit, states he felt Director, and Messrs. Ladd and Rosen would want descriptions and criminal records of the three suspects so he, on his own volition, prepared a memo dated 10-7-53 in early morning entitled: "CRENAF - Possible Identification of Subjects." He knew only [redacted] had been positively identified yet in details the language indicates clearly that the criminal records on the [redacted] woman and [redacted] were on the actual subjects. Concerning [redacted] he begins "This individual was arrested by the St. Louis Police Department early this morning.....", and concerning [redacted] his memo begins, "This is the individual that is now reported to be in the Pittsburg, Kansas, Area with the victim." He admits his language

cc: Mr. Glavin

HHC:cs

Attachment

23 OCT 30 1953

in details is "injudicious" and he depended on the words "possible Identification" in the title as a flag. This memo initialled by Frank Price, Chief of Criminal Section and by Messrs. Rosen and Ladd. Price and agree now memo is misleading but it didn't occur to them then it was so due to "possible identification" language in title of memo. Ladd relied on the details being correct but they were not.

Mr. Nichols and Mr. Ladd advise they depended on phraseology in details of Myers' memo in approving release. Chief of Investigative Division's Criminal Section Frank Price saw a carbon copy of the narrative statement of the criminal records of of the three people, which was not attached to a press release. Mr. Ladd was present and understood these records were to be released to press. Mr. Price, who later entered room, states he saw no press release and thought these records were prepared for future release in event of later positive identification. Mr. Ladd believes Mr. Nichols explained in Price's presence their purpose. Mr. Price states not. Mr. Nichols was uncertain as to this.

Price instructed St. Louis Office to consider sending fingerprints by speed photo or most expeditious manner and later learned that Chief of St. Louis P.D. had ordered speed photo machine removed two weeks earlier. Fact, next day, 10-8-53, established that although ordered removed, the machine will not be actually removed until January 1954. No instructions issued for St. Louis to give by phone a detailed description of fingerprints of arrested persons which would have eliminated the [redacted] woman (whose record was furnished to the press) as soon as her fingerprints available in St. Louis, although St. Louis Office did not take actual fingerprints until around noon on 10-7-53. They should have been taken earlier.

RECOMMENDATIONS:

1. SA G. F. Myers, #3-13, \$8,560, Chief of the General Crimes Unit in the Criminal Division under whose supervision is the kidnaping supervisor's desk. His memo of October 7, although in the title indicated possible identification of subjects, in the details there was a clear indication of positive identification. He admits erroneous language in details, claiming he depended on the title as a flag. This memo, relied on by Messrs. Ladd and Nichols, largely

contributed to the errors in the release. Recommend censure, probation and transfer to the field as a Special Agent. Permanent Brief.

I agree. He should not be used in any supervisory capacity. 10-11.Tolson.

DIRECTOR'S NOTATION: "I CONCUR AS TO CENSURE & PROBATION" H.

2. F. L. Price - Supervisor in charge of Criminal Section of Investigative Division - GS-15, \$10,800. He approved Myers' memo although knowing the details as to two of the subjects were were inaccurate. He stated he did not recall whether he approved this memo due to the large number that he was handling during a short period of time. Also, although he asked the St. Louis Office to send fingerprints by speed photo machine, he did not think of instructing, after learning there was no such machine, that a detailed telephonic description of the fingerprints with classification be telephoned to the Bureau which would have avoided the mistake by eliminating the record on the [] woman. There is doubt about any responsibility on his part for approving the criminal record as a release since he claims that he thought this was for future release in the event of positive identification. Recommend censure and probation. Permanent brief attached.

b6

DIRECTOR'S NOTATION: "I CONCUR" H.

I recommend censure; probation; transfer to field on general assignment; demotion from GS-15 to GS-13.

I agree JPM:10-9

LETTER to Price
10-16-53
FDH:ges

3. Assistant to the Director L. B. Nichols. Although informed by his supervisor, Mr. Kemper, that the identifications of [] and [] were based on name checks, he states that he assumed when the release was made that the identification was positive and his assumption was erroneous. He states also that he relied on the details in the Myers' memo which was misleading. Recommend censure and probation.

Letter to Nichols
10-16-53
WST:egs

I agree JPM: 10-2

I recommend a strong letter
of Censure

10-11- Tolson

DIRECTOR'S NOTATION: "YES NICHOLS IS PRINCIPALLY RESPONSIBLE FOR THIS HORRIBLE BLUNDER" H.

4. Assistant Director A. Rosen. He approved the Myers' memo relying on the title "Possible Identification of Subjects" as a flag and now agrees that the data in the details is misleading; and he has general responsibility for the failure within his division. Recommend censure and probation.

Letter to Rosen
10-16-53
FDH:egs

I recommend strong letter of censure.
Tolson

I agree JPM:
10-2

DIRECTOR'S NOTATION: "TO BE
CENSURED AND PLACED ON
PROBATION" H.

Censure only per R. Clavin
10-16-53 - EAC.

5. Assistant to the Director D. M. Ladd. He relied on Myers' memo which was misleading and did not check on its accuracy, although the Chief of the Investigative Division and the Supervisors were all informed that two of the identifications were not positive. Recommendation; censure.

Letter to Ladd
10-16-53
WST:egs

I recommend strong letter of
censure. Tolson

I agree JPM

DIRECTOR'S NOTATION: "YES" H.

6. SAC J. E. Thornton. There was a failure to take fingerprints with sufficient promptness, there was a failure to use speedier methods of sending in by telephone or otherwise a description of the fingerprints and there was a failure to accurately ascertain that there was still a speed-photo machine in the Police Department; although it had been ordered removed two weeks earlier, it had not, in fact, been removed. It is recommended that this situation be given consideration in connection with the over-all action as to SAC Thornton.

Thornton's removal as SAC has been recommended. Tolson

DIRECTOR'S NOTATION: "YES" H.

7. No further action is recommended as to any other employees in this matter at the Seat of Government since no evidence was found involving any improper action by other employees.

I agree

JPM

10-9

DIRECTOR'S NOTATION: "OK" H?

DETAILS

BACKGROUND

On October 7, 1953 there was released at approximately 11:10 a. m. a statement announcing the solution of the case involving the kidnaping of [redacted]. At approximately 11:30 a. m. this release was followed by the release of information giving the previous criminal record of [redacted] and [redacted]. It has been determined that at the time of the releases there was a positive identification only of [redacted] based on latent fingerprints found on the ransom communication, and the information concerning the [redacted] woman and [redacted] was based upon a name check only with no positive identification. Receipt of fingerprints on October 8, 1953 show that the [redacted] woman in custody at St. Louis was not identical with the individual whose criminal record was furnished the press. Likewise it was learned later in the day, on 10-7-53, that [redacted] denies that the photograph of [redacted], whose record was given to the press, was the individual that he had in mind when he indicated that a [redacted] was a third party involved in the kidnaping.

b6

Memo prepared by Supervisor O. F. Myers,
Investigative Division

A memo from Mr. Rosen to Mr. Ladd dated October 7, 1953, dictated by Supervisor O. F. Myers, Investigative Division, carries the title "KIDNAP - Possible Identification of Subjects." There then follows, each treated separately, a brief sketch concerning [redacted] and [redacted]. Concerning [redacted] whose FBI Number is shown, the memo begins, "This is the individual who was apprehended in St. Louis, Missouri, in a drunken condition." Concerning [redacted] was., FBI No. [redacted] the opening sentence is, "This individual was arrested by the St. Louis Police Department early this morning and has admitted going to the school in Kansas City where the victim was kidnaped but claims she went there to get the [redacted] boy instead of victim." There then follows her description in which it is pointed out that the physical description coincides very well with that furnished by the Sisters at the school in Kansas City except her height, but her height corresponds with that furnished by the Kansas City cab driver. There follows descriptive information and criminal record based on Identification Division records. Information relating to [redacted] was., FBI No. [redacted] beings with this sentence, "This is the individual that is now reported to be in the Pittsburg, Kansas, Area with victim". There follows information from Identification Division records as to the description and criminal record of this individual.

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This memorandum was initialed by Supervisor O. F. Myers; by F. L. Price who had been in charge of the GREENAP case on the night detail; by Mr. Rosen and by Mr. Ladd. This memo was stamped into the Director's Office, October 7, at 9:07 a. m. Mr. Nichols advises that a copy of this memo was handed to him personally, presumably shortly after its preparation.

The fingerprint card on the woman in custody at St. Louis in this case was received in the Identification Division on the morning of October 8, bearing the name [redacted] was., [redacted] Twelve of her latent fingerprints have been identified on the ransom communications. This individual has no previous criminal record in the Bureau's files. Her fingerprints are not identical with the individual whose record was released to the press on October 7, and whose name, as reflected on fingerprint cards, is [redacted]

[redacted] and [redacted] Her name had been previously searched in the Ident. Division under the name [redacted]

INTERVIEWS

The following is a brief statement of the pertinent comments made by the following who were interviewed:

FRANK L. PRICE, in charge of the GREENAP case on the night shift and Chief of the Criminal Section, Investigative Division

At 3:22 a. m. he got the first message from St. Louis furnishing the names of [redacted] and [redacted] and [redacted] He requested name checks at Ident. Division and was furnished some criminal records on individuals bearing similar names with records in the general area of Kansas City and he knew these to be prospective or possible idsents based on name checks and not positive idsents. He repeated this fact to other supervisors who were on night duty and he made the statement again to Supervisor E. C. Kemper of the Crime Records Section when Kemper arrived to assist in the preparation of any information for possible future release. He later learned of the positive identification of Hall as a result of comparing latent fingerprints on the ransom communication. He knew that there,

was no positive ident on the [] woman and as to [] whose name was first given as [] the St. Louis Office had stated that [] was giving meager and contradictory information due to his being doped and drunk. He asked St. Louis to send a speed-photo of fingerprints of the subjects in custody and was advised that the St. Louis Police Department had removed their speed-photo equipment two weeks previously. A large number of memos were prepared between the initial call and 11:00 a. m., October 7, and he has been unable to find any indication that there was a positive identification of any of the subjects except [] except in the memo prepared by Supervisor O. F. Myers referred to above. b6

Price told Kemper that he could not rely as a positive identification on the records of [] and [] as it was only a name check record. He does not now recall whether he saw or read or approved [] memo since he was handling so many items and phone calls at the time. He states that he never saw the press release but was in Mr. Ladd's Office at about 11:15 a. m. Mrs. Nichols came in and exhibited to him carbon copies of a "briefed-down" version of the criminal records of the three individuals. He looked these over, noted some typographical errors. Mr. Nichols asked if they were OK and he replied that they seemed to be in substance versions of the criminal records of the three individuals whose records were in his office and he could not vouch for the accuracy of them since he did not have the records to check. Mr. Nichols told him he would accept the responsibility for such accuracy and Price did not indicate that they were positive identifications. This question did not arise. b6

Supervisor O. F. Myers, Supervisor in
Charge of General Crimes Unit, Investigative Division

Supervisor O. F. Myers, who is head of the unit which handles kidnaping cases, was handling the day shift problems concerning the CREWAP case while Price was on the night shift. As to his memo of October 7, no one asked for it; He voluntarily prepared it with the thought in mind that the Director and Messrs. Ladd and Rosen would like to have the criminal record of the suspects. He knew at the time this was prepared that [] had been positively identified from latent fingerprints. He knew positively that the other two had not and it was solely on the basis of a name check and he considered them "possible idents." b6

b6

He knew definitely the records on [] and [] were not positive, for which reason he placed in the title these words, "CRENAP - Possible Identification of Subjects." This to him was a definite flag as to their being possible ident's rather than positive. He had no doubt as to the identification status of the three subjects. He furnished no information orally to Mr. Kemper but was present when Price made comments, with Kemper present, that [] and [] are suspects and only possible ident's.

b6

Looking back in retrospect at his memo, he knows that he was injudicious in his phraseology in making positive statements in describing [] and []. He was relying on the word "Possible" in the title being a sufficient flag. He knows he made a mistake and he wants placed in the record his sincere regrets.

CHARLES L. GREEN

Charles L. Green arrived about 8:00 a. m. as a Supervisor in the Investigative Division who in the CRENAP case was handling the ransom list. He furnished no information to Mr. Kemper when he arrived. Messrs. Price and Gallagher, another supervisor, made it clear that records were not based on positive identification.

Supervisors HARRY L. MORGAN,
RICHARD J. GALLAGHER, R.L. BRUGGEMAN

b6

These Supervisors were additionally interviewed relative to the above matter and generally corroborated the statements as submitted by Messrs. Price and Myers. These Agent Supervisors did not collaborate with Myers in the preparation of the above-mentioned memo; however, they all admit they were told by Price that the criminal records and photographs of subjects [] and [] were based strictly on name checks and that the photograph and criminal record of [] had been confirmed through a latent fingerprint. Tickler copies of several memoranda written by the above Supervisors have been located and a review indicates that in each instance where reference was made to the possible subjects [] and [] the criminal records relating to them are labeled as possibly identical. There appears to have been no misunderstanding on the part of these Supervisors as to the fact there was no positive identification of the criminal records of [] and [] with the subjects in this case.

E. C. KEMPER, Crime Records Section,
Records & Communications Division

Kemper arrived at the Bureau at 5:15 a.m. and after checking with Mr. Nichols was dispatched to Price's office, Investigative Division at 5:30 a. m. Kemper was instructed to assist in the collection of material which would eventually lead to a press release. He told Price he wanted to get the details on the criminal records and Price indicated that they had some criminal records and photographs; however, these were the result of a name check. Kemper borrowed one copy of each criminal record, went into an adjoining office and made detailed notes regarding each individual subject's record. He then returned to Mr. Nichols' Office, handed him his notes, of the criminal records, advising at the same time that these records were based on a name check. Mr. Nichols looked at the notes and indicated that he wanted to get as far ahead as possible in preparing a press release and instructed Kemper to write a narration of each of the three criminal records. Kemper returned to Price's office three or four more times that he can recall, on the third occasion he procured three photographs and returned them to Mr. Nichols' Office. Kemper states that outside of the initial indication, at 5:30 a. m., that the criminal records and photographs were the results of name checks, nothing further was said to him to indicate that the identifications were improper.

Assistant to the Director L.B.NICHOLS

Kemper is correct when he furnished information to Mr. Nichols and advised that the identification of [] and [] was on the basis of name checks. Mr. Nichols issued the initial release about 11:10 a.m. and twenty or thirty minutes later furnished the records on [] and [] which were released to the press. He stated that he had always been very conservative in making certain that identifications were positive, this was a habit with him, but in this instance he did not check as he usually does. He assumed that the identifications were positive and on this assumption he accepts the responsibility for the release. There had been a Kansas City Star, "radio flash" somewhat earlier; they were endeavoring to expedite the release; he read factual statements to ACAD Moss at St. Louis; he gave Mr. Ladd a copy and then gave out the release. Mr. Ladd approved the release. Mr. Ladd also approved the subsequent criminal records. Price's statement as to his review of the criminal records is correct.

b6

Mr. Nichols stated that he definitely did rely upon the memo dated October 7, prepared by Supervisor O. F. Myers which made positive statements in the details indicating to him a positive identification of [] and []. He had a copy of this memo of Myers handed to him personally prior to the preparation of the release. b6

(Memo dated October 7 shows that S. C. Postetter, Kansas City, telephoned Mr. Rosen at 7:15 a. m. advising that [] will not identify the photograph of [] whose criminal record was included in the release. This memo was stamped in Mr. Nichols' Office, October 7, at 12:50 p.m., approximately an hour after the criminal records had been released to the press.) b6

Assistant Director A. ROSEN

Mr. Rosen had initialed the memo of October 7 prepared by Supervisor O. F. Myers referred to above. Mr. Rosen stated that he knew positively when he arrived at the office in the early morning that the only positive identification of the three suspects was the identification of [] by latent fingerprints. He knew that the other two were only possible identifications based on name checks. He was handling a large number of memos and rushing them through. He remembers the Myers memo, he recalls initialling it, he recalls that it was labelled "possible identification of subjects" but he was not impressed at the time with the positive statements in the details indicating the identification of [] and []. Viewing it now he recognizes that Myers was wrong in making these positive statements and he, Mr. Rosen, was not impressed with the positive statements at the time but he is now certain that they should not have been made in this manner. He did not see the press release at any time. b6

Concerning the expeditious transmittal of fingerprints by speed-photo, his information is that the supervisors had requested this and that the St. Louis Office advised that the speed-photo machine in the St. Louis PD had been removed two weeks previously. After it was too late during the day of October 8, an Agent learned from a captain in the St. Louis PD that although the speed-photo had been ordered discontinued, actually it was still in use and was not to be removed until January 1, 1954.

Assistant to the Director D. M. LADD

Mr. Ladd stated that he was furnished with a copy of the press release. He indicated his approval to Mr. Nichols and he did so in reliance on the memo of Supervisor O. F. Myers which in the

D O

details indicated a positive identification of all three subjects.

Concerning the second release to the press of the criminal records of the three subjects, Mr. Nichols in Ladd's Office had carbon copies of these criminal records. Price was in the office and saw them. It is his belief that Nichols made it clear to all three that these records were to be given to the press. Price interposed no objection, he made no comment to the effect that two of the identifications were not positive and were based solely on name checks. Mr. Ladd through Price should have spoken up at this time since Mr. Ladd, in reliance upon Myers' memo "Possible Identification of Subjects" he does not recall that this made any impression at the time.

As for the failure to get the fingerprints sent in by speed-photo or otherwise at an earlier date, he stated that the records showed that the requests were made by the Investigative Division Supervisors but this was not properly followed up.

Frank Price, Chief, Criminal Section, Investigative Division, stated that he personally requested that speed-photo fingerprints be sent in and in the early morning of October 7 the St. Louis Office advised that the speed-photo service had been removed two weeks previously. (Memo dated October 7 shows that Supervisor Frank L. Price told SA [redacted] of the St. Louis Office at 4:25 a. m., October 7, to give full consideration to speed-photo in transmitting fingerprints of the subjects.) He then instructed that they get in the photograph and fingerprints the most expeditious way possible whether this meant that an Agent should fly them in or they should be sent by airmail. He instructed that the most expeditious way be followed. Mr. Price stated he got the first call about the arrest in St. Louis. He personally called about the fingerprints and the photograph and that he issued instructions to get them here expeditiously. In answer to questions he stated he did not instruct that a fingerprint expert of the St. Louis Office telephone in the classification and detailed description of the fingerprint patterns on a finger-by-finger basis, describing the location of cores, deltas, ridge counts, bifurcations and islands. He states no one else had talked to St. Louis from his office that he knew of about getting in the fingerprints and although other supervisors working in there had some follow-up responsibility generally, he felt that he was the only one who had issued instructions on this point in the Investigative Division.

b6

November 17, 1953

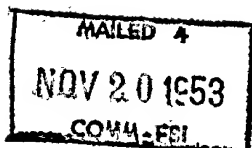
Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I am dropping you this note to let you know how pleased I am with your splendid contributions to the special kidnaping school held from October 26 to November 6.

Your discussion of the Greenlease case was presented in a particularly outstanding manner and was highly instrumental in engendering the enthusiasm of the class. It is evident you prepared your material with care and endeavored to make it interesting as well as instructive. Your success has been admirable and I am most appreciative.

Sincerely yours,
J. Edgar Hoover



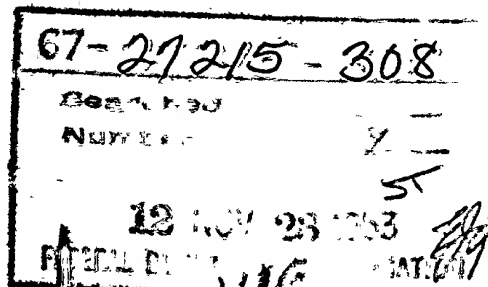
LRH:rk

Salutation for Reading Room.

DEC 1 1953

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Gearty _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
 Sizoo _____
Miss Gandy _____

NOV 17 5 18 PM '53
RECEIVED READING ROOM
FBI
U.S. DEPT. OF JUSTICE



October 29, 1953

MEMORANDUM FOR MR. TOLSON

MR. LADD

MR. ROSEN

MR. TRACY

b6

During the course of the evening of October 27, 1953, a check was made of various offices at the Seat of Government to determine whether mail is being handled in accordance with Bureau regulations and with the proper attention to rapidity. In the office of Mr. E. C. Anderson of the Identification Division there was found a letter enclosing an investigative report from the Charlotte Office destined for Bureau file 17-12689 entitled [redacted] Veterans Administration Matter." This document was stamped into the Bureau on October 16, 1953. It was received in the Investigative Division at 3:45 P.M. on October 20, 1953. It appears this matter was sent to Supervisor Anderson of the Identification Division by [redacted] of the Investigative Division with a cover routing slip dated October 23, 1953, and bearing the remark "Per call Friday, October 23. Will expect your call Wednesday, October 28."

The cover letter from the Charlotte Office suggests that a copy of the fingerprints of the subject be sent to the Foreign Liaison Offices in Europe.

An explanation is requested as to why this particular matter could not have been discharged with a greater degree of promptness. As you are aware the Superintendent's Manual for Seat of Government employees requires that communications from field offices and outside sources be answered on the day of receipt. At rare intervals a situation will develop where a longer period of time is required and in those instances it is incumbent upon each employee having occasion to handle the matter to see that the greatest possible speed is exercised so that the appropriate action may be quickly consummated.

The explanation of Mr. Rosen and Mr. J. M. Adams of the Investigative Division is desired.

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Gearty _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
 Sizoo _____
Miss Gandy _____

EDM:mew

NOV 5 1953

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COMM - FBI

OCT 30 1953

MAILED 27

It appears that the report of Special Agent Carmon J. Stuart dated October 14, 1953, at Charlotte which reached the Bureau with a cover letter was not date stamped into the Investigative Division. It does appear that this document was stamped into the Bureau on October 16, 1953.

It does not appear that this report was time stamped into the Identification Division. The cover letter was not time stamped into the Identification Division. Mr. Tracy should take steps to see to it that documents are time stamped into his division in order that responsibility may be appropriately fixed. An explanation is requested from Mr. Tracy for not having taken such action in this instance.

Very truly yours,

J. Edgar Hoover
John Edgar Hoover
Director

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Gearty _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
 Sizoo _____
Miss Gandy _____



UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

3-122

In Reply, Please Refer to
File No.

WASHINGTON 25, D. C.

Director
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

Dear Sir:

For inclusion in the fund to be paid to the designated beneficiary of any Special Agent, of the FBI who has previously contributed to this fund and who dies from any cause except self-destruction while employed as a Special Agent. I am forwarding herewith (by CHECK - MONEY ORDER) the sum of \$10, payable to the Assistant Director, Administrative Division, FBI, to be included in said fund. Payment will be made for death by self-destruction after the Agent has been a member of the fund for a continuous period of two years. It is understood and agreed that the sum tendered herewith is a voluntary, gratuitous contribution to said fund which I understand is to be administered in the following manner. The liability of the fund shall not under any circumstances exceed the amount of monies in the fund at the time any liability shall occur.

The Director of the FBI will appoint a committee which shall consider all matters pertaining to the acquisition, safe keeping and expending of said fund, which committee will recommend appropriate action to the Director in pertinent matters. The Assistant Director of the Administrative Division of the FBI shall receive all contributions and account for same to the Director. Upon the death of any Special Agent who is a member of said fund the appointed committee will consider the case and submit a recommendation to the Director as to its conclusions. Appropriate instructions will then be issued to the Assistant Director of the Administrative Division, directing him to pay to the designated beneficiary the sum of \$10,000. The following person is designated as my beneficiary for FBI Agents' Insurance Fund:

Name H. Rosen Relationship Father Date 10-30-53
Address 2859 Hampton Rd S.E. Shaker Heights, Ohio

The following person is designated as my beneficiary under the Chas. S. Ross Fund providing \$1500 death benefit to beneficiary of agents killed in line of duty.

NAME Same Relationship _____ Date _____
Address _____

67-NOV-RECORDED-1

23 NOV 121 3 1953

OCT 30 1953

3/gha

Very truly yours,

Alex Rosen
Special Agent

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Clegg *MC*

DATE: 11/12/53

FROM : G. G. Gearty *G*SUBJECT: SPECIALIZED IN-SERVICE COURSE #1
(KIDNAP SQUAD COURSE - EASTERN SECTION)
10/26/53 - 11/6/53MR TOLSON *V*
Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Gearty _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
 Sizoo _____
Miss Gandy _____

Alex Rosen

In connection with the captioned matter, I feel special commendation should be considered for Assistant Director Rosen for his contribution to the success of this course of training. Obviously, with members of his staff he had given a great deal of time and thought to this school as indicated by the excellent presentation of his material. I thought his discussion of the [] Case was excellent, particularly by his use of the actual recordings made during the course of the case as well as his use of slides and pictures to illustrate his discussion. The manner in which he built up the tension ever present in a kidnaping case was very noteworthy and was the subject of very favorable comments by all members of the class. I feel he contributed a great deal in helping to build up the enthusiasm of the entire class in anticipation of the problems present in any kidnaping case as well as in anticipation of the practical problem they were to handle at Quantico.

RECOMMENDATION:

It is recommended that Assistant Director Rosen receive a letter of commendation.

GCG:ATP

done
11-17-53
FBI

Agree =
members of the present
school have told me
Rosen's lecture was outstanding

Agree
RECORDED
5 11/11

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Searched	_____
Number	9
12 NOV 23 1953	
FEDERAL BUREAU OF INVESTIGATION	

61

30 NOV 27 1953

30 NOV 25 1953

I agree

3-9/11/11
3-9/11/11

Mr. Tolson	✓
Mr. Ladd	✓
Mr. Nichols	✓
Mr. Belmont	✓
Mr. Clegg	
Mr. Glavin	
Mr. Harbo	
Mr. Rosen	
Mr. Tracy	
Mr. Mohr	
Mr. Trotter	
Mr. Winterrowd	
Tele. Room	
Mr. Holloman	
Miss Gandy	✓

Dear Mr. Hoover:

I thought you would wish to know that following your appearance on television on Tuesday, I have on numerous occasions been told by people who approached me about the magnificent and extraordinary presentation which you gave on that occasion.

These comments still come to me and they are so praiseworthy that I thought you would be pleased to hear of them.

Respectfully,

Al Rosen

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AB 704E

November 19, 1953

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HAVER

29 215 310

November 20, 1953

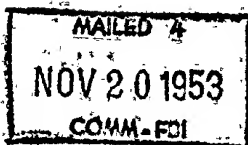
Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

Thanks so much for your note of November 19.
I am very happy to learn of the favorable comments
which have been made to you, and it was thoughtful of
you to bring them to my attention.

Sincerely,

J. E. H.



NOV 20 12 25 PM '53
RECEIVED READING ROOM
F B I
U.S. DEPT. OF JUSTICE

NOV 20 1 23 PM '53
F B I
U.S. DEPT. OF JUSTICE

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Gearty _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
Sizoo _____
Miss Gandy _____

RHD:pa

30 DEC 1 1953

NOV 20 1 50 PM '53
RECEIVED READING ROOM
F B I
U.S. DEPT. OF JUSTICE

Handwritten initials

Handwritten initials

December 29, 1953

~~PERSONAL AND CONFIDENTIAL~~

0
Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have given most careful consideration to the circumstances relating to the recovery of two twenty-dollar bills which were at first believed to be a part of the unrecovered [redacted] ransom money although it was later determined that the recovered bills were of different series from those having identical numbers in the ransom money. Due to the failure to immediately recognize the possibility that the two bills might not be part of the ransom money, considerable unnecessary investigation was conducted. From a review of all of the facts it is apparent that the Investigative Division should have anticipated any problems that might arise in connection with the ransom money list which was disseminated in this case. Your division should have been sufficiently alert to have realized that there were limitations to the published list and you should have seen to it that the divisional offices were advised of these limitations.

Had such action been taken a great deal of investigative time and effort which were expended following discovery of the two twenty-dollar bills could have been saved and I want you to be certain that appropriate measures are taken in the Investigative Division to prevent any similar omissions in the future.

67-27215-311

Very truly yours,
J. Edgar Hoover
John Edgar Hoover
Director

MAILED 3
JAN - 4 1954
COMM - FBI

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Gearty
Mohr
Winterrowd
Tele. Room
Holloman
Miss Gandy

UIC: 993
CC: Mr. Ladd (Personal Attention)

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Tolson

DATE: Dec. 15, 1953

FROM : L. B. Nichols

SUBJECT: GRENAP

ALEX ROSEN

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Gandy
Mohr
Winterrowd
Tele. Room
Holloman
 Sizoo
Miss Gandy

On the memorandum from Mr. Rosen to Mr. Ladd dated December 8, 1953, setting out the circumstances of the recovery of two bills which have serial numbers which are the same as serial numbers on the ransom list but which, in fact, pertain to different "series" years than the bills on the ransom list, the Director noted "Nothing herein places the responsibility for failure to properly list the bills. What is our excuse for not listing the series number?" This memorandum answers the Director's question. The questions necessarily raised in submitting a precise answer to the Director's question will be the subject of a separate memorandum.

The series year was not included on the ransom list printed in Grenap because it did not occur to anyone at the Bureau or in the Field that the mathematical possibilities of bills with the same serial number but from different series turning up in this transaction were sufficiently great to justify the addition of the series number to the list. Nor did it occur to anyone when the first two ransom bills appeared in Michigan and Indiana to check them against the Recordak reproductions of the actual bills.

SHOULD SOMEONE HAVE THOUGHT OF THIS?

The series is the date of the authorization of Congress to produce the notes. It is one of 8 principal identifying characteristics on this particular type of currency, namely, Federal Reserve notes. No duplication has occurred in any of the previous kidnaping cases. A thorough review of the file reflects that in the past the significance of a series year along with the various other identifying characteristics was considered. Nevertheless, only the denomination, the character of the bill, and the serial numbers have ever been included on ransom lists. This has never been a problem before. It is only a minor, investigative problem now.

RECORDED-26

The items which appear in a printed ransom list, including Grenap, represent a compromise between what is desirable and what is practicable. The ransom list is a working document for the public. Only the most essential information should be included if we expect public co-operation.

cc - Mr. Ladd
Mr. Rosen

FEC:ps:mtd

FEB 18 1954

SENT DIRECTOR

67-272105-312
JAN 24 1954
FEDERAL BUREAU OF INVESTIGATION

JAN 27 1954

LICE

CHICK OEL

12/29/53

CLASSIFIED

38-00
PERS FILES

Memorandum to Mr. Tolson

Dec. 15, 1953

The points of identification which were pointed out to the Bureau by the Treasury Department in March of 1938 are as follows:

1. Denomination
2. Character (i.e. silver certificate, U. S. note, Federal Reserve note, etc.)
3. Serial number
4. Series
5. The check letter
6. The portrait
7. Face plate serial number
8. In the case of Federal Reserve notes, Federal Reserve District.

The Statistical Section of the Records and Communications Division handles the mechanical preparation of the ransom list. The procedures for performing this elaborate and complicated step are their responsibility. The gathering of the material used in the list is essentially an investigative step. Both steps are taken in strict accordance with section 66 of the Manual of Instructions.

PREPARATION OF FUTURE RANSOM LISTS:

There is a very serious doubt in my mind as to whether the series year should be included in future ransom lists. All of the considerations I could think of are set forth in a separate memorandum which will be submitted to the Executives Conference for a determination as to whether the series year should be included.

In view of the fact that the question of the series number was passed upon in the discussions which led to the evolution of Section 66 of the Manual of Instructions, the fact that duplication like that here presented has not previously occurred and the further fact that the addition of the series number would not per se cure the problem presented by this duplication, I feel that no culpable error has been committed.

In the final analysis, a bill thought to be some of the ransom money must be compared with the Recordak picture of the bill and all of the 8 characteristics of identification gone over before any further investigative or prosecutive action is taken.

ACTION:

None. This is for information.

- 2 -

(SEE ADDENDUM ATTACHED)

ADDENDUM: 12/22/53 JPM:DW

I think that the Investigative Division being the investigative branch of the Bureau should have anticipated the problems that would arise in connection with the ransom list which was distributed. b6
It is true that the ransom list in the [] case followed previously approved procedures but it seems to me that the Investigative Division should have been sufficiently alert to realize that there were limitations to this list and the field's attention should have been called to these limitations. If that action had been taken, a great deal of investigative time would not have been wasted in running out leads on the two \$20 bills which were turned up.

Since it appears to be a lack of functioning on the part of the Investigative Division rather than on the part of any one individual, I think that Mr. Rosen should be censured in this instance.

I agree
12/22/53
JPM:DW
I concur. The principal responsibility is that of the Inv. Div.

H.

February 24, 1954

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

Upon careful consideration of the information developed during the recent inquiry into the handling of the Fraud against the Government case involving Brown and Root I find that there were most serious inadequacies and weaknesses on the part of the Houston Division as well as the Investigative Division. In both places there was an absence of proper aggressiveness in supervising a case of this importance, anticipating the Bureau's needs in properly fulfilling its responsibilities and seeing to it that the investigation was carried forward to a logical conclusion without delay and that any obstacles to such an end were removed.

Had the proper care and attention been given this matter in your division I have no doubt that the weaknesses discovered would never have occurred. Therefore, I shall expect you to take immediate measures to correct the situation within the Investigative Division in order that in the future investigations of this importance will be handled properly.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Gearty _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
Miss Gandy _____

JIC: ggs
cc: Mr. Ladd (Personal Attention)

MAR 1 1954
FEB 25 1954
MAILED 31

RECEIVED
FEB 24 1954
ADJ. PH. 5H
11 FEB 23 1954
P. L.

TO: MR. D. M. LADD
FROM: A. ROSEN
SUBJECT:

Mr. Tolson has noted that calls to and from this Division are not being handled by me and has asked why.

I try to handle the calls whenever possible, however, it is noted that of the three incoming calls to this Division on February 17th, they were not handled by me, but were handled by Keep and Evans. I only made one outgoing call of the three outgoing calls made from this Division on that day and that was to Los Angeles at 6:12 p.m.

With reference to the specific calls questioned, the call to Keep at 1:00 p.m., was while I was at lunch. On the call to Evans at 3:53 p.m., this call was not referred to me.

With reference to the call to Evans at 6:49 p.m., I was in Mr. Ladd's office. Mr. Price talked to Malone, Los Angeles, at 12:10 p.m., on the Irvine, Civil Rights case, pursuant to my instructions that he check with the supervisor and immediately ascertain from the Los Angeles office when the investigation would be completed and the present status of the case. Winterrowd talked to Boston at 12:27 p.m., pursuant to my instructions that he follow the Boston office and ascertain whether the background material, which was needed in order to prepare a memorandum summarizing the information which we had on suspect Rino in the Brinks's case, had been sent to the Bureau, and he was instructed to make sure that the other offices involved furnished the information which we needed by the deadline set in this case.

I called Clegg, Los Angeles, in connection with the Irvine, Civil Rights case, at 6:12 p.m., in line with the Director's instructions that Malone was to be instructed that he was to understand clearly he was not to participate in any conference Olney might hold in California with local authorities.

I will make every effort to handle these calls consistent with existing instructions.

AR:WW

3
30 MAR 11 1954

RECORDED-EX

67-27215-314	
Search	161
Num	
12 MAR 9 1954	
FBI - LOS ANGELES	

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. TOLSON

DATE: February 17, 1954

FROM : MR. R. T. HARBO

SUBJECT: BROWN AND ROOT
FRAUD AGAINST THE GOVERNMENT

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Gearty
Mohr
Winterrowd
Tele. Room
Holloman
 Sizoo
Miss Gandy

BACKGROUND:

Inspector Nugent at Houston has submitted by teletype an analysis of the handling of the above-captioned matter in the Houston Office and an analysis as to Seat of Government supervision of the matter as can be determined from instructions given the Houston Office on failure to give instructions. Mr. Nugent finds no delay by the Houston Office in initially getting the facts as to costs promptly after submission by Brown and Root to the Army. He points out that the progress report of November 3, 1953, indicated the case was of substantial magnitude and that subsequent allegations enlarged the magnitude of the investigation. He pointed out that Assistant U.S. Attorney Snodgrass assumed responsibility for the case November 27, 1953, by having replaced the previous Assistant U.S. Attorney, and that Snodgrass evidenced an aggressive attitude.

Nugent points out that the Houston Office, in a communication to the Bureau dated December 1, 1953, requested the assignment of three Accountants on "Special," and estimated 135 Accounting Agent days plus interviews with a large number of witnesses in order to properly handle the case. Inspector Nugent expresses the belief that a serious error occurred when the Bureau, by letter of December 7, 1953, declined Houston's request and instructed that one of two Accountants working the case discontinue efforts on the Brown and Root investigation, and in lieu thereof commence work on a delinquent bankruptcy case.

Although Houston file contains a memorandum prepared by Agent [redacted] January 13, 1954, indicating that the Assistant U.S. Attorney was writing the Department, this memorandum was initialed for the file by the SAC Santoiana without action. The Inspector believes that "alert heads-up supervision by the SAC, Santoiana, would have anticipated" the possibility of a complaint concerning the lack of manpower and should have caused Santoiana to discuss the case with the Assistant U.S. Attorney and with the Bureau.

EDM:bjc

cc: Mr. Boardman

Mr. Nichols

Insp. Williams

2/19/54
Memo to Mr. Tolson
2/19/54
JHW:jaw/Edm:aln

RECORDED 13

MAR 15 1954

EX-121

FE

STERN

MEMORANDUM TO MR. TOLSON
RE: BROWN AND ROOT

b6

SAC Santoiana explained to the Inspector that he considered the case an important one, while at the Bureau in January ^{WAS} prepared to discuss it and did discuss the case with Supervisors [] and []. A progress report from Houston dated January 5, 1954, brought the Bureau up to date immediately prior to the SAC's arrival at the Bureau. This report reflected an estimated 255 Agent days needed to complete the investigation. The SAC recalls that Bureau Supervisors mentioned the feeling that Houston should not strive to get the maximum of criminal accounts but should get a few good ones so that other differences in the contract could be renegotiated. The SAC says he was informed by the Bureau, while he was here, that an accounting report should be submitted immediately. He also stated: "No particular instructions were given to me nor were any particular questions asked."

RECOMMENDATIONS: NUGENT'S OBSERVATIONS:

Inspector Nugent has submitted the following recommendations. It is respectfully requested that action as to these recommendations be held in abeyance until the Seat of Government aspects of this matter and explanations now being prepared can all be consolidated into a memorandum which will present a complete picture to the Director.

In order that the Director may now know of the immediate thinking of Inspector Nugent as indicated in his teletype received subsequent to the close of business February 17, 1954, Nugent's recommendations are set forth:

(1) Supervision at Seat of Government indicated failure to recognize potentialities and investigative magnitude even after urging by the Houston Office. Vigorous aggressive supervision was lacking in that interim reports were accepted of an incomplete nature and demand not made for comprehensive progress reports. Procrastination evidenced by U.S. Attorney. Thorough analysis of progress reports would have disclosed investigative Agent not taking aggressive attitude but leaning on inexperienced Assistant U.S. Attorney for guidance in the investigative field as distinguished from the prosecutive field. Nugent, for the delinquencies mentioned above, recommends:

(a) As to Seat of Government Supervisor [],
[], censure and probation.

b6

MEMORANDUM TO MR. TOLSON
RE: BROWN AND ROOT

(b) For Seat of Government Section Chief,
Courtney Evans, censure and probation.

(c) For Assistant Director A. Roan, censure
and probation.

(2) SAC Joseph Santoiana, Houston . . . censure
and probation for failure to properly discharge his
function as SAC. charged with immediate supervision of
this case; failed upon receipt of Agent [redacted]
memorandum of January 13, 1954, to recognize the possibility
of criticism to Bureau; failure to fully discuss this
case with Assistant U.S. Attorney and have proper liaison;
failure to advise the Director while in Washington of the
potentialities of this case.

(3) SA [redacted] Houston, severe censure . . .
for lack of aggressiveness; leaning heavily on an inexperienced
Assistant U.S. Attorney for guidance of an investigative
nature; for allowing Assistant U.S. Attorney to have access
to Bureau administrative data; Inspector's belief (without
proof) that [redacted] advised the Assistant U.S. Attorney
that the Bureau had declined to make available additional
personnel; failure to recognize possibility of criticism of
Bureau by Assistant U.S. Attorney.

RECOMMENDATIONS:

THIS SYNOPSIS WAS PREPARED BASED ON INSPECTOR NUGENT'S
TELETYPE. WE HAVE NOT YET RECEIVED EXPLANATIONS FROM THE SEAT
OF GOVERNMENT PERSONNEL AS TO NUGENT'S ALLEGATIONS AND AS TO
OTHER QUESTIONS PRESENTED BY THE TRAINING AND INSPECTION DIVISION.
IT IS THEREFORE RESPECTFULLY RECOMMENDED THAT THE FOREGOING
RECOMMENDATIONS OF NUGENT BE CONSIDERED ONLY AS EXPLANATORY AND
THAT ADMINISTRATIVE ACTION BE WITHHELD UNTIL THE ENTIRE PICTURE
CAN BE PRESENTED TO THE DIRECTOR. THIS WILL BE PROMPTLY DONE.

This must be expedited

*Yes. Get all facts promptly & do
check of our group & accounting
operations as it looks as if we will
have to make some drastic
changes in organization. H.*

*W. J. [unclear]
2/19/54
[unclear]*

March 4, 1954

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

X Investigative Division

Dear Mr. Rosen:

The dilatory manner in which the recent request of the Oklahoma City Division for approval of certain correspondence to a United States district judge at Tulsa, Oklahoma, was handled by the Investigative Division was displeasing to me. I have observed that although the request of the Oklahoma City Division was received in your office on February 15, 1954, and thereafter assigned to a special agent supervisor in the Investigative Division for handling, due to the necessity of making certain revisions in the memorandum prepared by this supervisor the memorandum was not completed until February 19, 1954.

In view of the manner in which the preparation and revision of the memorandum in question was handled in this instance, I shall expect you, as Assistant Director of the Investigative Division, to take immediate steps to re-examine the administrative procedures of the Investigative Division in order to prevent a recurrence of such delays in the future.

Very truly yours,

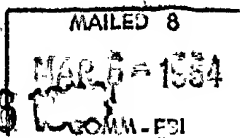
J. Edgar Hoover

John Edgar Hoover
Director

CC - Mr. Boardman (Personal Attention)
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Gearty
Mohr
Winterrowd
Tele. Room
Holloman
Miss Gandy

4

MAR 16



Handwritten signatures and initials

7-27215-3

RECEIVED
MAR 4 7 39 PM '54
U.S. DEPT. OF JUSTICE
FBI
55 PM '54

March 12, 1954

Mr. Alex Rosen
~~Statler Hotel~~
~~16th and K Streets, Northwest~~
Washington, D. C.

Apt 434
2480 Astor Pl NW

Dear Al:

I was sorry to hear of your
attack of flu, and the news this morning
that you were feeling better was most
welcome.

Take good care of yourself and
do whatever the doctor says. Don't worry
about the office and if any of us can do
anything for you, please let us know.

Sincerely,

J. Edgar Hoover

WEC:adv

CC: [redacted]

MAR 16 1954

ADDENDUM:

[redacted] of Mr. Rosen's office advised
SA Supervisor W. E. Clark 3/12/54 that she had just
talked with Mr. Rosen and he was feeling better. He
indicated he would not be going to the hospital after
all but may go to a hotel. His absence from work
began Thursday, March 11.

(Later, on 3-12-54 SA Clark was informed
that Mr. Rosen had gone to the Statler Hotel.)

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Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Mohr _____
Trotter _____
Winterrowd _____
Tele. Room _____
Holloman _____
Miss Gandy _____

MAILED 8

MAR 12 1954

98
MAR 19 1954

67-27215-317

FEDERAL BUREAU OF INVESTIGATION

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Tolson

DATE: 2/25/54

FROM : R. T. Harbo

b6

SUBJECT:

[redacted] with aliases;

INTERSTATE TRANSPORTATION OF STOLEN MOTOR
VEHICLE (ITSNV); CONDITIONAL RELEASE VEHICLESYNOPSIS:

The Director inquired as to the delay from 2/15/54 to 2/19/54 in handling the above matter which involved the furnishing of information to U. S. District Judge Savage, Tulsa, Oklahoma, by the Oklahoma City Office regarding allegations made by [redacted] incarcerated at Leavenworth, concerning SA Clement J. Hood and [redacted] a representative of the National Automobile Theft Bureau.

CHRONOLOGY:

2/15/54 - Oklahoma City letter dated 2/10/54 received by Mr. Rosen's office 3:50 PM. This letter enclosed copies of correspondence between [redacted] and Federal Judges Savage and Phillips, copy of memorandum from SA Hood, and signed statement of [redacted] in addition to copies of proposed letters to Judge Savage over the signatures of SAC N. R. Johnson and SA Hood, which were forwarded with the recommendation that they be furnished Judge Savage if approved by the Bureau. Letter prepared that afternoon under date of 2/16/54 in answer to Oklahoma City letter.

2/16/54 - Teletype from Oklahoma City dated 2/15/54 received by Criminal Section, Investigative Division, at 8:25 AM, which requested Bureau to submit teletype authorization to handle matter as recommended in Oklahoma City letter of 2/10/54 as Judge Savage was to be in Denver for 2 weeks beginning 2/14/54 and desired the information be made available to his secretary at Tulsa, Oklahoma, for forwarding to him at Denver. This teletype was referred to Supervisor W. P. Jones at approximately 10:00 AM for preparation of detailed memorandum explaining background of situation which was dictated at approximately 1:30 PM but was dictated 2/17/54 to correspond with

Attachment

cc: Mr. Tolson
Mr. Glavin

POLICE

RECEIVED

DEC 11

7/4

RECEIVED

3-7

the date on the attached proposed teletype to Oklahoma City instructing that the letters to Judge Savage be revised to include more details regarding the interviews of the subjects, and to furnish the signed statements of subjects to Judge Savage. This memorandum from Mr. Price to Mr. Rosen was received by Mr. Winterrowd later in the afternoon but, due to other specials, was not revised by him until approximately 7:15 PM, at which time he instructed Supervisor Jones to make certain revisions which were completed that same evening by Jones. The letter dated 2/16/54, to Oklahoma City, which was dictated 2/15/54, was recalled and destroyed upon receipt of the incoming teletype from Oklahoma City.

2/17/54 - Revised memorandum bearing date of 2/17/54 received by Mr. Winterrowd during forenoon. Memorandum discussed with Mr. Winterrowd and Supervisor F. L. Price by Mr. Rosen in midafternoon with the result Supervisor Jones was instructed to make further revisions for the purpose of adding additional facts and statements. The revisions were made by Supervisor Jones, and the memorandum was returned to Winterrowd at approximately 5 PM. Winterrowd reviewed the memorandum at approximately 7 PM and placed it in the mail for Mr. Rosen's attention the following morning.

2/18/54 - The revised memorandum bearing date of 2/17/54 was received by Mr. Rosen at 9:19 AM, and Mr. Ladd at 12:33 PM. During the early evening, Winterrowd was instructed by Mr. DeLoach, at Mr. Tolson's request, to telephonically instruct the Oklahoma City Office to send the proposed letters for Judge Savage to the Bureau for approval by air mail special delivery that evening, which was done, and the memorandum of 2/17/54 was rewritten under date of 2/18/54 to reflect the action taken in accordance with Mr. Tolson's instructions.

2/19/54 - Supervisor [] was advised at his home during the evening that the letters had arrived from Oklahoma City. Pope immediately came to the Bureau at 9 PM and dictated a letter and teletype under date of 2/20/54 approving the revised letters.

b6

CONCLUSION:

This matter involved information being furnished a federal judge concerning allegations made against a Bureau Agent and necessarily received close attention at the Bureau. The delay from 2/15/54 to 2/19/54 was occasioned by the revisions made in the instructions to be issued the Oklahoma City Office, the final revision being made on 2/18/54 when Mr. Tolson requested the Oklahoma City Office be instructed to immediately send the revised letters for Judge Savage to the Bureau for approval. In view of the urgency of this matter, the various revisions should have been handled more promptly.

RECOMMENDATIONS:

It is recommended that (1) Earl H. ~~Winterrowd~~, Number 1 Man, Investigative Division, be censured for his failure to have this matter handled in a more expeditious manner;

(2) A letter go forth to Alvin ~~Pesen~~, Assistant Director, Investigative Division, instructing that he take immediate steps to re-examine the administrative procedures of the Investigative Division to prevent a recurrence of such delays in the future.

Details contained in Synopsis

PERMANENT BRIEF OF EARL HUGO WINTERROWD IS ATTACHED.

Office Memorandum • UNITED STATES GOVERNMENT

TO : *[Signature]*
FROM : *RKH* R. T. Harbo

DATE: 2/19/54

SUBJECT:

[Redacted] AND *[Redacted]*
Fugitive James T. [Redacted]
(Delinquencies in law) at
Houston at Seat of Government

b6

SYNOPSIS

with reference to the handling
the Houston Division and by the Seat of
are set out hereinafter the delinquencies
recommendations for administrative action.

matter by
ment, there
ot. and

DELINQUENCIES - THE HOUSTON DIVISION

[Handwritten: X In]
Lack of aggressive supervision. *b6* Santoiana. He
did not obtain opinion from ALA concerning scope of this
case and prevent procrastination on the part of the ALA. A SA
informed *[Redacted]* that he intended writing Assistant AG line
and *[Redacted]* wrote a memorandum to his concerning this. *[Redacted]*
did not indicate that Cliney would possibly complain concerning
lack of manpower; but if he or SA Santoiana had been alert, they
would have anticipated this and immediately advised the Bureau.
SA *[Redacted]* admitted following a process report to the ALA in which
was contained the estimate of 250 cases to be investigated.
He should not have shown any report to the ALA which
contained administrative detail. SAC Santoiana failed to discuss
this matter with the Director while in Washington in January.
This indicates he *[Redacted]*
[Redacted] leaned heavily for investigative guidance, as distinct
guished from prosecutive guidance, on the ALA. *[Handwritten: 5/11/54]*

b6

DELINQUENCIES - LACK OF SUPERVISORY ATTENTION

b6
here was failure to give this matter proper supervision. The brief progress reports which were received should
have indicated that this matter was not receiving proper attention
in the field and that the scope of the case was not being worked
down through conferences with the ALA there. Investigative
personnel denied Houston's request for three additional accounts.

Attachments

JHW:jaw/EDM:atn

cc: Mr. Boardman
Mr. Nichols
Mr. Glavin
Mr. Rosen

RECEIVED
Letter to Bureau dated 2/24/54
[Signature]

b6

b6

and ordered Houston not to put a second accountant on the case as the office had intended. The use of the second accountant was denied because of other accounting work on hand, of equal importance, needing attention and the belief of the Investigative Division that nonaccountants could adequately handle instant case. Seat of Government Supervisor [] in a letter of 12/7 to Houston issued detailed instructions for the handling of this case; however, Houston did not put sufficient manpower on the case and the Seat of Government Supervisor did not follow up sufficiently. After Supervisor [] and Unit Chief [] conferred with SAC Santolana at the Seat of Government concerning this case, they should have written a memorandum concerning the same. In conference with SAC they should have given specific instructions as to the case. This was not done. Assistant Director Rosen and Number One Man Winterrowd should have interviewed SAC Santolana about this case while he was here. When the matter of the AUSA writing to the Department concerning this case and the fact that apparently SA [] had talked too much, came up, the fact that earlier progress reports had been submitted to the Department on December 10, 1953, by the Investigative Division and thereafter, should have been brought to the Director's attention. There is no specific rule that progress reports should or should not be disseminated; however, documents containing administrative data are not to be disseminated.

RECOMMENDATIONS:

b6

1. SA(A) [] Houston. Non-veteran. Censure and probation because he exhibited a report containing Bureau administrative detail to the AUSA; did not take an aggressive attitude in this case but was leaning on an inexperienced AUSA for guidance of an investigatory nature, as distinguished from prosecutive guidance; did not anticipate and advise SAC in advance of criticism to Department by AUSA; inadequate progress reports. Inspector unable to prove that [] advised AUSA that the Bureau had turned down requests for three additional Agents but Inspector believes [] gave this information to AUSA. It is also recommended that he be transferred after this investigation is completed in Houston. He is essential to it now.

I agree
J.M.
2/20

I agree - He should not
be used on any supervisory
work

I agree
J.M.
2/20

2/21
I concur.

2. SAC J. F. Santolana, Houston, Non-veteran. Censure and probation. He did not give this matter aggressive supervision. After receiving SA [redacted] memorandum that the AUSA intended writing a letter to Assistant AG Olney, he should have advised the Bureau. He failed to discuss this matter with the Director or Mr. Rosen in Washington, D. C., indicating he did not recognize potentialities and scope of case. Did not vigorously re-present manpower needs to Bureau following Bureau refusal to make more agents available. Also recommend that consideration be given to an early transfer of SAC Santolana to a smaller office in view of this and the difficulty he recently had with the press in Houston. In this case he had inadequate liaison with AUSA. It is noted that SAC Santolana has been in charge of the Houston Office only since 11/10/53, and that former SAC Lorton was in charge theretofore during the time the case has been under investigation.

Agree
2/20
2/20
2/20
I recommend censure, probation, and removal as SAC. - Demotion from GS 15 to GS 13 and removal from Houston.

FOLLOWING RECOMMENDATIONS MADE ON SEAT OF GOVERNMENT PERSONNEL AND THESE ARE BEING MADE NOW DESPITE ANY IMPENDING INSPECTION OF THE ACCOUNTING AND FRAUD SECTION OF THE INVESTIGATIVE DIVISION BECAUSE WE FEEL THAT ACTION SHOULD NOT BE DELAYED WITH REGARD TO THE DELINQUENCIES DESCRIBED:

3. SA [redacted] Seat of Government. Veteran. Censure and probation. He did not give this case the vigorous aggressive supervision it should have gotten. He did not recognize its potentialities and investigative magnitude. When Houston asked for three additional accountants, which request was declined by the Bureau, he should have followed the case to insure that sufficient personnel was used on it to bring it to a logical and early conclusion. He failed to write a memorandum reflecting his conference with SAC Santolana concerning this case and failed to issue specific instructions to SAC while in conference because he relied on written instructions previously sent to Houston. In view of this man's fine record, as evidenced from his personnel brief, and his general potential for advancement, coupled with the fact that he is relatively young in the service, it is also recommended that consideration be given to his further seasoning in the field. He is not an accountant although he supervises cases involving accounting.

Why was such an assignment made?
Agree
2/20
2/20
I recommend censure, probation and transfer to New York. He should not be used as a Supervisor.
-2a-
2/21

4. [redacted] Unit Chief, Seat of Government. Non-veteran. Censure and probation. He failed to see that this matter received vigorous, aggressive supervision at the Seat of Government. He should have insured that the matter had sufficient manpower assigned to it to bring it to an early investigative conclusion. He should have insured that the procrastination of the AUSA in Houston concerning the scope of inquiry was stopped. He should have written a memorandum of the results of his interview with SAC Santolana concerning this matter and he should have issued specific instructions in that interview. [redacted] supervises seven other agents and his fraud unit handles roughly 1,000 pending cases.

Jagred
JPW
2/20

I recommend censure and probation.

2/21

Jagred
2/23

Yes,
[initials]

SEE FURTHER RECOMMENDATIONS
ON FOLLOWING PAGE

5. SA Courtney Allen Evans, Section Chief, Seat of Government. Nonveteran. Censure and probation. It is also recommended that he be passed over when considered for reallocation for GS-15 in April, 1954. As Section Chief, he was fully cognizant of this case and should have insured that it receive vigorous and aggressive supervision by the Seat of Government. His section sent through a memorandum declining Houston's request for three additional accountants for this case and directing that only one of the two accounting agents Houston wanted to put on the case be used and that the second agent be used on equally important other accounting cases then needing attention. He is responsible for delinquencies of subordinates in his section. He should have insured that the Houston Office followed the instructions set out in Bureau letter of 1/14/54.

R Recommend
censure only and probation. He should not be recommended
JPM 2/20

6. Earl H. Ginterrowd, Special Agent - No. 1 man, (in charge of Criminal and Accounting and Fraud Sections), Investigative Division. Nonveteran. Censure and probation. He should have discussed this matter with SAC Santoiana when the latter was here in January to obtain specific instances of delinquencies, weaknesses and need in the field; tested the knowledge of SAC Santoiana concerning the case; and found out what the Houston Office was doing in this matter. Has responsibility for weak performance of subordinates in this case.

R Recommend
censure only a strong
JPM 2/20 letter of censure
2/21

7. Assistant Director Rosen. Recommended: censure and probation. As Assistant Director, he should have interviewed SAC Santoiana himself concerning this matter. As division head he is responsible for the delinquencies of subordinates in his division.

R Recommend
censure only a strong letter of censure
JPM 2/20
2/22

8. As previously ordered by the Director, Inspector
Nugent will make a full inspection of the Accounting and Fraud
Section. This will begin Wednesday, 2/24/53, if approved.

I agree to all recommendations

2/20/54

30422
JFM
2/20

This survey should
be expedited

2/21

2/21

yes. K

noted
mr

ATTACHED ARE THE PERSONNEL BRIEFS OF ALL EMPLOYEES
INVOLVED EXCEPT THAT OF ASSISTANT DIRECTOR ROSEN.

DETAILS

This case, alleging fraud against the government on the part of Brown & Root, Inc., in that they were charging expenses on one government contract against other government contracts, came to the attention of the Houston Office from a complaint on 3/20/53. Houston's letter of 4/1/53 to the Bureau set out the facts and stated that an investigation would be made. The Bureau by letter 4/13/53 directed it be presented to the U. S. Attorney for his opinion and that a report should be submitted by 5/4/53. Houston letter of 5/4/53 said the Assistant U. S. Attorney there believed there was no violation until an accounting report was made available to the Army by Brown & Root showing charges. Houston advised the investigation would be held in abeyance until this report was received; and advised that the Bureau directed deadline would not be met. The report was received 5/13/53, the investigation continued, and thereafter progress reports were received from Houston as follows:

Report 6/17/53 (estimated time to complete - 60 days); report 7/22/53 (estimated time to complete - 7 days on crane runway phase and 45-60 days on shield adaptors phase); report 8/26/53 (estimated time to complete 15-20 days on gun shield adaptors phase. 60 days on time cards phase if AUSA desired this investigation); report 9/28/53 (estimated time to complete - 30 days); report 11/3/53 (estimated time to complete - 60 days); report 1/5/54 (estimated time to complete - 255 days); report 2/12/54 (estimated time to complete - 85 Special Agent Accountant) days and 18 Special Agent days.)

Houston's letter 7/6/53 advised SA [] was working this case and would complete first allegation within a week or 10 days but that there would be other allegations and that it was not recommended that other accountants be sent to Houston to aid this investigation. Houston airtel 12/1/53 advised the AUSA desired the case be expedited; that 4 accountants would be needed to complete it by 2/15/54; and recommended that three be sent to Houston; and that if these three accountants were not available, a second accountant in Houston would be assigned to aid [] in the case. [] letter in answer 12/7/53 directed Houston not to send the second accountant on the case, inasmuch as he had other expedite investigations assigned to him; that the Bureau believed non-accountants could handle the work needed under the direction of one accountant; and that the request for the 3 accountants was being declined. SAC Santoiana while at the Seat of Government in January discussed this case with Supervisor [] Unit Chief Hurley, and Section Chief Evans. No memorandum reflecting that conference was written. No specific instructions were issued to the SAC during the conference because a letter of instructions had gone to Santoiana on 1/14/54, but [] instructions and Santoiana had not yet read this letter which crossed with his coming to Washington. The letter concerning []

progress report of January 5, 1954, estimating 255 Agent-days to complete, stated this time appeared excessive. It directed that AUSA [] be again contacted and the scope of the investigation again discussed, looking forward to limiting it. Houston teletype of 2/6/54, reflects SAC Santoiana and SA [] conferred with AUSA [] on 2/5/54, resulting in an estimate of 85 SA(A) days and 165 Agent-days to complete. SAC Santoiana stated that there were four Special Agent Accountants in Houston, one of which was already assigned this case and the other three were committed on other Accounting work which was expedite work. He said the maximum number of Accountants which could be utilized was six and if this was done it would, of course, materially affect other investigative work in the office. He said that these six would be assigned to the matter immediately.

Under date of 2/12/54, as you know, the [] received a memorandum from Assistant Attorney General Oliver [] referring to a letter of 1/16/54, from the U.S. Attorney in [] the Department. This letter made reference to the [] case, pointed out an estimate of 250 SA days would be required to complete the investigation and said that he (the U. S. Attorney) had been informed that there was insufficient manpower available in the Houston Office to materially speed the investigation and that additional assistance had been denied by the [] Office. At that time the administration of this [] in the Houston Division, as well as all the [] of [] was brought to your attention.

By teletype 2/15/54. In view of the fact that it is not possible to take fully qualified accountants and clerical personnel to the Grand Jury at least 30 days to prepare this case for trial. Grand Jury will sit from February 23 until March 10, 1954.

1. I, aggressive supervision, has been given to the nation by SAC Sanborn, steps would have been taken to become the procrastination of the AUSA, as evidenced by the case records, and the interview with by Inspector Agent.

2. Careful review of Santolana would have indicated that [redacted] was not taking an active role in the prosecution of the case. [redacted] attitude was leaning towards being stunglished.

3. Houston, Texas, 11/13/54. Memorandum - 10/13/54
on 1/13/54. The idea of the AUSA and the
Assistant Attorney General. The memorandum did not specifically
of Jack's temper, alert, healthy, and
will be anticipated and the AUSA and the
and some of the other things. AUSA and the

to Bureau, administrative [redacted] has carried down the [redacted] as lined to the case.

5. Failure of SAC Sarason to discuss the matter with the Director while in Washington, D. C., in 1954. He did not recognize its potentialities despite his knowledge of the contrary.

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5. In a memorandum dated 2/12/54 from Section Chief Evans to Mr. Rosen it is stated that while SAC Santoiana was at the Seat of Government, this case was discussed with him by Supervisor [] Unit Chief [] and Section Chief Evans. No memorandum concerning this conference was written to point out what instructions were given to SAC Santoiana, the possibilities of limiting the scope of the inquiry, and an attempt to arrive at an estimated time it would take to complete it. The Investigative Division states that no new instructions were given to SAC Santoiana at this time so it was not deemed necessary to record the informal conversations had with him. It was stated that the Bureau desired that the scope of investigation be limited, that the Agent days required to complete appeared excessive and that the matter be discussed with the USA in Houston to bring to his attention the need for expediting the case. It was stated that the SAC was told to advise the Bureau whether the work situation in his office would permit assignment of additional personnel to this case. The results of this conference should have been reduced to writing to record the above instructions.

6. When the Director was advised that this matter had been mishandled in Houston and that SA [] had showed the AUSA a progress report, it should have been also pointed out to the Director that reports in this case had been sent to the Department by the Investigative Division by routing slip since 12/10/53. These reports went directly from the Investigative Division to the Records Administrative Branch in the Department.

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7. The Investigative Division was aware, from a review of the progress reports received, that the AUSA in Houston would not give an opinion of the limit of the scope of this investigation. They should have seen to it that he was properly briefed and educated on the Bureau's responsibilities and experience in this type case so that he would have been in a position to limit it.

8. The Investigative Division supervises accounting cases on individual case bases and issues instructions concerning accounting phases where necessary. The suggestions and

regulations for handling, as well general instructions for preparation of accounting reports, are set out in Bureau manuals. The inspection staff of the Training and Inspection Division looks into the qualifications and training of accountants in the course of field inspections. The Investigative Division is provided with these analyses to aid in its guidance in future supervision.

R

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. BOARDMAN

DATE: 3/19/54

FROM : A. ROSEN *R*

SUBJECT:

Viper

Tolson	_____
Ladd	_____
Nichols	_____
Belmont	_____
Clegg	_____
Glavin	_____
Harbo	_____
Rosen	_____
Tracy	_____
Gearty	_____
Mohr	_____
Winterrowd	_____
Tele. Room	_____
Holloman	_____
Sizoo	_____
Miss Gandy	_____

Mr. Tolson has noted that calls to and from the Investigative Division are being handled by someone other than me and asked if there is some reason for this. I wish to advise that I try to handle all calls whenever possible. We usually do not run into any difficulty on incoming calls except when I am not in the office, in which event the calls are handled by others in my absence. This may occur when I am either out to lunch, at the Executives' Conference, or on the many occasions I have to go to other offices pursuant to direct request or in connection with official business. I wish to point out that I will make every effort to take all calls which are directed to me.

With reference to the outgoing calls there are attached hereto ticklers of memoranda, which have been prepared by the various Supervisors pursuant to my instructions in connection with the instant inquiry. It is noted that Mr. Evans called Milnes at St. Louis at 3 p.m., and McFarlin, Cincinnati, at 3:30 p.m., in connection with two cases which he was following. Ticklers of the memoranda prepared pursuant to these calls are attached.

*Not in file
in file
3-25*

There are also attached memoranda from Mr. Price, Mr. Winterrowd, Mr. Coneys, and Mr. Keep with reference to the telephone calls they handled on March 17th, and the reasons therefor. I have examined these calls and it appears the calls were made in view of the necessity of answering inquiries of the Director, which required immediate attention, or were pursuant to specific instructions from me that certain information and explanations be obtained in order to submit appropriate memoranda in connection therewith.

As an example, it is noted that one call was made in connection with the labor difficulties and Anti-Racketeering in the St. Louis area; another in connection with the Congressman King case; another one was in connection with the apprehension by Bureau Agents of an I.O. fugitive; another was in connection with one of the Top Ten Fugitives, which call was handled by Coneys, who was on night duty. Other calls related to the

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Depaki

Memo for Mr. Boardman

Herman case. This is the one involving the jewelry and money taken from the woman, who was left by Herman down in Virginia; and others were in connection with Informant [redacted] Another was handled by Keep in order to get information, which was immediately desired in connection with an observation made by the Director.

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The memoranda with reference to the above-entitled matters are attached. I will make every effort to handle these calls consistent with existing instructions.

are

Not Attached

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Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. L. V. Boardman *Ly*

DATE: March 3, 1954

FROM : A. Rosen *R*SUBJECT: THEFT OF \$150,000 IN GEMS
FROM THE HOME OF [REDACTED]
[REDACTED] WISCONSIN
February 8, 1954

b6

~~W. G. BANNISTER~~

In connection with the proposed press release which Mr. Banister submitted, it was necessary to confer with him telephonically on the evening of March 2 and the morning of March 3.

I am submitting this memorandum at this time inasmuch as the telephone calls were lengthy, one of them extending from 9:50 A.M. March 3, until 10:52 A.M. March 3. Another telephone call on the same subject matter from 11:09 A.M. until 11:22 A.M. with Inspector Brown, who is at Chicago. A small portion of the first call was also taken up in a conversation with Inspector Brown.

Mr. Banister has been persistent in his demands that the press release in question go forward exactly as he has outlined it. I have been as persistent as he has in my objections to putting out the press release.

I have dictated a detailed memorandum representing the telephone call which will be from ten to twelve pages in length. I have also dictated a summary memorandum of the telephone call with reference to the press release, outlining my recommendations with reference to the matter of the press release.

I am submitting this memorandum at this time in view of the length of the telephone calls as I saw no other way of handling the matter under the circumstances.

I am attaching a tickler of the memorandum I prepared on March 3rd immediately following the telephone call with Mr. Banister.

This explanation was prepared on March 3rd and I do not recognize that this was a very lengthy telephone call but it was necessary under the circumstances as I had to get the information from Banister and I have no way of describing

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Gearty
Mohr
Winterrowd
Tele. Room
Holloman
Sizoo
Miss Gandy

AR:JMT:WW

7 APR 43
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MAR 26 1954

Memo for Mr. Boardman

the conversation except to state that it was necessary for me, in order to obtain the information I desired, to persistently ask question after question in order to obtain the background I needed. I can describe it in no other way except to say that it was "just like pulling teeth."

I regret that this was necessary but it had to be done if I was to get the information which was needed.

ADDENDUM: 3/10/54 JPM:DW

The longest telephone call that Mr. Rosen had with Mr. Banister in connection with the above-captioned case, according to the Telephone Room records, started at 9:52 a.m. and terminated at 10:53 a.m. The call was placed person to person and cost \$25.15. It appears that the call was unnecessarily long and from the attached tickler copy of Mr. Rosen's memorandum it appears he was endeavoring to obtain information from Mr. Banister which was not readily and immediately available. I think under the circumstances the protracted calls should also be called to Mr. Banister's attention in connection with the action being recommended against him in connection with the handling of this case.

3.

I agree.

3-10

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OK

h.

Thurs
3/17

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. J. P. Mohr *JPM*

DATE: March 16, 1954

FROM : A. Rosen *R*

SUBJECT:

*W. G. BANISTER**Please Copy
in Banister
Personnel
File
3/18*

Tolson	_____
Ladd	_____
Nichols	_____
Belmont	_____
Clegg	_____
Glavin	_____
Harbo	_____
Rosen	_____
Tracy	_____
Gandy	_____
Tele. Room	_____
Holloman	_____
Sizoo	_____
Miss Gandy	_____

In line with your suggestion that I prepare a short memorandum reflecting my recollection of a comment made by Mr. Banister during the course of a conversation which I had with him during the course of the recent activity in Chicago in the Montos case, the following is submitted.

To the best of my recollection, it was during my only conversation with Banister on the evening of February 28, 1954, which was Sunday night, at 10:43 P.M., that the conversation which is germane took place.

By way of background, I had asked the Agent on duty at the Chicago Office, Agent [] if it was possible for me to talk to SAC Banister in order to find out what further action was going to be taken by the Chicago Office, and also as to what had happened up to that point. The information received up to 10:43 P.M. had been unclear.

I asked [] whether Mr. Banister could speak with me and, if he could get away from the scene without jeopardizing his plans, I would like to talk with him.

It was then at 10:43 P.M. that Banister called me. This was a short while after I had asked [] to see if he could get a hold of Banister.

It is my recollection that ASAC Moore was in charge of the scene; that they were covering the plumbing shop, and I got the impression that Banister had left the scene under ASAC Moore's control.

We were discussing future action and particularly whether the Chicago Office should move into the place and try to take Montos, or whether it would be well to wait until later on the following morning. During the course of the discussion, it is my recollection now that I asked Banister for his recommendation as to what action should be taken so that I could have the benefit of his on-the-scene reaction.

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Memorandum for Mr. Mohr

I had led up to this question by asking him whether he had sufficient manpower, and I also recall his giving me a description of the premises to the effect that they had a glass front and that it was going to be most difficult to get any cover because of the type of building and the area. It is my recollection that I so reported this previously.

However, I did ask Banister for his recommendation and it is my recollection that when I asked him for his recommendation he indicated that he was asking me to give him instructions because that's what I was getting paid for. The exact language I do not recall, but it was to the effect that I was getting paid a lot of money - much more than he - to answer questions.

The above is to the best of my recollection as to this incident now. I did not write it up at the time inasmuch as I felt that Banister was on the scene, had considerable responsibility on his hands at that time, and I did not make any issue of it at that time.

April 12, 1954

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have carefully considered the facts developed relative to the inefficient handling of a phase of the Fraud Against the Government investigation of Leonard Darlington Long, and others, in the Investigative Division and it is apparent that this matter was not properly analyzed by you and your subordinates. You were seriously at fault in approving a recommendation to send a Special Agent Accountant to San Juan on a special assignment when you were not in possession of all the facts relative to the qualifications of a Special Agent Accountant who was already assigned to that office. Had you seen to it that a check was made of Bureau records relative to the qualifications of the Special Agent Accountant in the San Juan Office it would have been obvious to you that there was some reason to question the observations of the Special Agent in Charge.

I shall expect you in the future to fulfill your responsibilities in a more acceptable manner and to insure that such matters are properly analyzed and handled in your Division so that there will be no recurrence of a dereliction of this type.

COMM - FBI

APR 12 1954
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Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Mohr _____
Trotter _____
Winterrowd _____
Tele. Room _____
Holloman _____
Miss Gandy _____

RECEIVED READING ROOM
NEMCD:my

APR 16 1954

Searched _____
Numbered _____

Very truly yours,
J. Edgar Hoover

John Edgar Hoover
Director

APR 14 1954

RECEIVED
DIRECTOR

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Tolson

DATE: 3/23/54

FROM : R. T. Harbo *RB*SUBJECT: et al
NATIONAL BANKRUPTCY ACT -
MAIL FRAUD
ADMINISTRATIVE ACTION

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Mohr _____
Trotter _____
Winterrowd _____
Tele. Room _____
Holloman _____
Miss Gandy _____

SYNOPSIS:*INVESTIGATIVE DIV**X INVESTIGATIVE DIV. CONF.*

During the inspection of the Accounting and Fraud Section, it was found that a bankruptcy case, Bufile 49-12701, had been improperly handled by the Houston Office and the San Antonio Office. Reports submitted failed to reflect that certain persons contacted were exhaustively interviewed and revealed other weaknesses. These reports were initialed for the file without corrective action being taken by Supervisor A. J. McGrath (now No. 1 Man to Section Chief C. A. Evans). (Supervisor in charge - Accounting Unit) has supervised the case since November 18, 1953, and did not take action to correct the weaknesses which review of the file would have disclosed. The initial report submitted by the Houston Office which contained several weaknesses was prepared by a Special Agent (A) having only limited experience. Neither Mr. McGrath nor offered any explanations for the deficiencies disclosed. Mr. Evans said the deficiencies should have been noted and corrective action taken. He pointed out the case had never been brought to the attention of the No. 1 Man Winterrowd (general supervision over the Accounting and Fraud Section) nor to the attention of Assistant Director Rosen.

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RECOMMENDATIONS:

1. Albert J. McGrath . . . Censure for his failure to recognize the weaknesses in the investigations conducted by the Houston and San Antonio Divisions and for his failure to provide an inexperienced Special Agent Accountant with proper guidance and training.

RECORDED-55

67-80005-1343

Numbered 180

11 APR - 1954

FEDERAL BUREAU OF INVESTIGATION

Attachments

cc: Mr. Glavin (Attn: H. L. Edwards)

JBN:mew

2. [REDACTED] . . . Censure for his failure to detect the above weaknesses after taking over as supervisor of this case and for his failure to call the attention of the Houston Office to the inadequacy of a 2-week report submitted by that office.

3. Courtney A. Evans . . . Censure. Mr. Evans has been Section Chief of the Accounting and Fraud Section since November 1953 and as such exercises supervision over these matters.

4. No. 1 Man Earll H. Winterrowd . . . No administrative action since this case never came to his attention.

5. Mr. Rosen . . . No administrative action since this case never came to his attention.

Separate recommendations will be submitted as to handling of this case in the field.

BRIEFS ON McGRATH AND [REDACTED] ATTACHED. BRIEF ON EVANS ALREADY IN THE DIRECTOR'S OFFICE.

DETAILS:

A bankruptcy case, Bufile 49-12701, was opened by the Houston Division prior to May 12, 1953. The case was supervised at the Bureau by Albert J. McGrath from its inception until November 18, 1953, and since then by [redacted] Former Inspector L. R. Pennington headed the ~~Section~~ which handled this case until November 1953 and since that date Courtney A. Evans, has been Chief of the Accounting and Fraud Section, *which includes this unit.*

The first report submitted by Houston was prepared by [redacted] a Special Agent (A) with only limited experience, under date of August 7, 1953. This report has a number of deficiencies principally concerned with the failure to obtain or at least report all the information in the possession of the persons being interviewed. Illustrative of this is the fact one witness said that between \$300,000 and \$500,000 worth of merchandise was unaccounted for since contemplated bankruptcy. No details were reported. This same person alleged that the subjects had knowledge of various lots of merchandise stored in warehouses around Houston. If the interviewing Agent obtained any details concerning this allegation, he failed to report them. Representatives of the principal creditor who stands to lose some \$150,000 in this case furnished a financial statement issued by the bankrupt and dated only a few months prior to bankruptcy which there is every reason to believe is false. The report does not disclose that inquiry was made to determine whether credit was extended based on this statement.

A later report submitted by the San Antonio Division sets forth an interview with a public accountant. This man said he turned "the books and records over to a member of the [redacted] family." The report does not indicate he was questioned as to what books and records were turned over nor does it reveal the identity of the person involved. This public accountant may have prepared the financial statements furnished to the creditor as mentioned above. The report does not indicate he was questioned in this regard nor does it state that he was questioned as to any working papers he might have prepared from the books and records.

Both of the above-mentioned reports were initialed for the file by SA(A) Albert J. McGrath without affirmative action.

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One report has been submitted by the Houston Office since this case has been supervised by Supervisor in Charge [redacted] This was a 2-week report which is considered incomplete since it does not set forth all of the investigation to be performed and does not contain a proper estimate of the time required to complete the investigation.

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SA McGrath offered no explanation except he agreed the Houston Office and the San Antonio Office should have performed investigation as suggested in the case write-up. [redacted] agreed and said he had supervised the case since November 18, 1953. Mr. Evans pointed out McGrath had many special assignments while he was handling this case but agreed this case should have had more aggressive supervision and that the delinquencies should have been caught. Mr. Evans also pointed out no memoranda were prepared in this case and that it never came to the attention of No. 1 Man Winterrowd (who had general supervision over the Accounting and Fraud Section) nor to the attention of Assistant Director Rosen. Mr. Winterrowd agreed with Mr. Evans' comments and Assistant Director Rosen concurred.

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. TOLSON

DATE: 3/15/54

FROM : J. P. MOHR

SUBJECT: WILLIAM GUY BANISTER
Special Agent in Charge
Chicago Division
Not on Probation

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Nease
Mohr
Winterrowd
Tele. Room
Holloman
Sizoo
Miss Gandy

The following is a list of the items for the Director to use in his interview with Mr. Banister, who is scheduled to report to the Seat of Government on Wednesday, March 17, 1954. The items start with the attempted apprehension of Nick George Montos, top ten fugitive, on February 28, 1954:

1. NICK PALERMO; NICK GEORGE MONTOS, FUGITIVE, UNLAWFUL FLIGHT TO AVOID PROSECUTION, ROBBERY, IO #2528, WANTED FLYER #96; AMERICO DE PIETTO, WITH ALIAS, FUGITIVE, INTERSTATE TRANSPORTATION OF STOLEN PROPERTY

This case involves the theft of a collection of art objects worth approximately \$150,000 which had been stolen from [redacted] of [redacted] Wisconsin, on February 8, 1954. We got into the case when New York Criminal Informant [redacted] who was working in Chicago endeavoring to locate stolen furs, was given a steer on the art collection stolen from [redacted] Special Agent [redacted] of the New York Office arrived in Chicago on February 28, 1954 to impersonate an expert supposedly to advise [redacted] regarding the authenticity of the art objects and their possible value. Informant [redacted] and Agent [redacted] made a contact with an individual named [redacted] who drove [redacted] and [redacted] and [redacted] identified the individual they contacted there as [redacted] in his waistband and [redacted] allegedly was armed. [redacted] and [redacted] were shown the art objects and tentative arrangements were made for another meeting in about two hours. [redacted] and [redacted] arrived at the Chicago Office at about 5:00 p.m. on February 28, 1954.

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Plans were made for [redacted] and [redacted] to go through with their second contact that evening with [redacted] which was estimated would occur about 7:00 p.m. [redacted] was [redacted] when he left the [redacted] he was to light a cigarette lighter and light a cigarette for himself and for Informant [redacted] This signal would indicate to the surveilling Agents that [redacted] was in the warehouse and permit them to enter the warehouse [redacted] and the informant had left.

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SAC Banister made arrangements whereby Agents were in a position to cover [redacted] and the informant.

JPM:DN

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TJ
Bismarck

left the warehouse at 6:05 p.m. and [] gave the prescribed signal. [] had advised that he planned to go out and get [] after they had left. It seems that [] 6:05 p.m. and 6:15 p.m. when the surveillance was established. SAC Banister claimed that the surveilling Agents were not in place sooner because of a lack of cover and he was waiting for dusk before placing the Agents. The Chicago Office raided the warehouse at about 1:15 Central Standard Time and [] although the []

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Inspector B. C. Brown was sent to Chicago to check into the reasons for the failure of the Chicago Office to apprehend [] and he concluded that [] and [] outguessed the Chicago Office on timing the second contact. SAC Banister in a memorandum he submitted assumed full responsibility for the failure of the Chicago Office to effect the apprehension in this case. Mr. Banister, as previously indicated, based his decision in this matter on the lack of cover and his desire to afford maximum protection of the informant and Agent []

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During the course of the evening, Assistant Director Rosen was in contact with the Chicago Office and had only one telephone conversation with Mr. Banister prior to the raid of the warehouse. This telephone conversation with Mr. Banister occurred at 10:43 p.m. Washington time and lasted for 15 minutes. During this conversation Mr. Rosen has stated that Mr. Banister failed to advise him that the Agents were not in a position to surveil the warehouse at the time the informant and Agent [] departed. As a matter of fact Mr. Rosen has stated that in discussing the matter with Mr. Banister, he asked Mr. Banister his recommendation as to the proposed action, namely whether to raid the warehouse or wait until the following morning, and Mr. Banister made some comment to the effect that Mr. Rosen was getting paid a lot of money, much more than he, to answer questions. Mr. Rosen stated he then had Mr. Banister review the entire situation for him as to conditions at the scene and at the conclusion of the conversation it was agreed that Mr. Banister would raid the warehouse around 1:00 a.m. Central Standard Time.

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Mr. Banister in a letter to the Director dated March 11, 1954, stated that he was twice called away from the scene to answer questions by Mr. Rosen and that he distinctly recalled starting to report that if a signal had been given by Special Agent [] they were not in a position to see it. He said he never recalled finishing that statement. Mr. Banister in this letter also said, "The constant calls from the Bureau, specifically Mr. Rosen, through which no helpful suggestions or advice were made constituted interference unwarranted by the circumstances."

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Mr. Rosen has advised that he only spoke to Mr. Banister once before the raid and that was at 10:43 p.m., Washington time, and that he did not call Mr. Banister from the surveillance but in prior conversations with Special Agent [] who was on duty in the Chicago Office, he left instructions that if Mr. Banister could be contacted he would appreciate him calling because [] did not have the answers to questions necessary to make a decision as to what should be done that night. The official records at the Bureau reflect that on February 28, 1954, Mr. Rosen had only one telephone conversation with Mr. Banister during the course of the raid on the warehouse and that was at 10:43 p.m. Mr. Banister did have a second conversation with Mr. Rosen at 3:31 a.m., Washington time, which call is reflected in the official toll call records at the Seat of Government, which was about an hour and a half after the raid had taken place. Mr. Rosen has stated he had no intention to call Mr. Banister away from the firing line, that ASAC Moore was on the scene, that the facts were not in the possession of anyone in the Chicago Office and he simply had to get the facts in order to make an intelligent decision as to what action the Chicago Office should take on the night of February 28, 1954.

It does not appear that Mr. Banister is in a good position to object to being called in a situation of this kind to furnish on the scene information to the Bureau, particularly to an Assistant Director who was trying to decide what action should be taken under the circumstances.

b6

CONCLUSION - It definitely appears that the investigation to apprehend [] was bungled by the Chicago Division since that division failed to accomplish its objective. There may have been obstacles, there may have been reasons for caution, nevertheless the fact remains that we did not apprehend [] nor the other two principal subjects involved in this case who are now fugitives. It also appears that the Chicago Office failed to have proper communication coverage with the result that the Bureau was not furnished with first-hand, accurate information of the events that transpired and there is some indication that the Bureau may have been furnished with misinformation. Possible instances of misinformation have been reviewed by the Training and Inspection Division and it appears that some of it was due to misunderstanding, possibly poor telephone communication, certainly not with Banister, or for other reasons. At least it is virtually impossible at this time to fix responsibility on this score. The Director has already approved censuring SAC Banister and placing him on probation for the failure of the Chicago Office to apprehend [] and for Banister's further actions in this case.

2. On March 3, 1954, Assistant Director Rosen and SAC Banister had two conversations during which Mr. Rosen was discussing with Mr. Banister a proposed press release that Mr. Banister wanted to make. Mr. Banister referred to certain heat being generated in Chicago concerning the informants and felt that the press release should be made immediately to protect the informants. Mr. Rosen in delving into the question of where the heat was being generated asked Mr. Banister specifically for the name of [redacted] source of information in California. Mr. Banister indicated he knew the identity of this informant but stated he did not desire to give it to Mr. Rosen over the telephone. A discussion on this point ensued which apparently became somewhat acrimonious. In any event, Mr. Banister did not furnish the name of the informant to Mr. Rosen and subsequently did so by letter.

b7D

It developed that Mr. Banister did not have the name of the informant's source of information in California but he had to go to LaFitte to obtain it. Inspector B. C. Brown in checking into this aspect of the matter recommended that Mr. Banister be censured for his lack of clarity in expressing himself and also because his language approached insubordination. Inspector Brown also thought that Banister should be censured because of his admission that he reacts to temper with temper because the Inspector believed this to be an exceedingly serious weakness in an SAC in an office as large as Chicago.

In a letter dated March 11, 1954 to the Director, Mr. Banister refers to this incident and stated that [redacted] "flushing furiously and stammering gave the name" of his source of information in California. Mr. Banister also said in asking the informant for the information he considered "it was the rudest, most discourteous thing I can recall having done and I am ashamed. May I also call your attention to the inaccurate thinking engendered by the tyrannical control of words. [redacted] is carried on the Bureau's rolls as an informant. This promptly starts the type of thinking which indicates that he is subject to our control as are normal informants. He is not an informant either by dictionary definition or by Bureau cobbledyhook. He is an [redacted]"

b7D

The records of the Bureau reflect that [redacted] was approved as an informant in August 1952. He has a criminal record reflected under FBI # [redacted]. He has been the subject of several Bureau cases. He is about [redacted] of age and [redacted] back to 1924, reflecting [redacted] Not including monies paid to him since February 28, 1954, he has received \$10,901.96 including expenses. In connection with the cases in Chicago of recent origin, the Chicago Division has recommended he be reimbursed for expenses in the amount of \$1,448.39. Chicago has also recommended he be given \$1000 for the information which he has furnished. The Chicago Division has also recommended he be commended by letter for his actions.

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Mr. Banister in his letter of March 11, 1954 said [] gives every indication of being scrupulously honest and he believed he could probably be a wealthy man if he were not so honest. He also states his pay has been niggardly from the Bureau and that the pay to him should be generous. The records reflect that [] has been a valuable informant but they also reflect that he has a rather unsavory background. He is presently employed as an undercover agent by the National Board of Fire Underwriters when he is not assisting the Bureau and there are indications he is working with informants through law enforcement agencies to locate stolen goods and then tipping off the law enforcement agencies so they can recover the stolen goods and [] and his informants can split any reward money being paid by insurance companies. There are indications that [] has such an arrangement with his source of information in California and that rewards are being split with a Lieutenant of the Los Angeles Police Department.

b7D

CONCLUSION - The Director has already approved a letter of censure for Banister's lack of clarity in expressing himself in his conversation with Mr. Rosen. Most certainly Mr. Banister should not have refused to furnish Mr. Rosen with [] source of information in California if he had it. As it turned out, Banister was wrong, he did not have it and could not have furnished it to Mr. Rosen at the time it was requested. I also think that Mr. Banister is wrong in quibbling about whether [] is or is not an informant since it appears he most certainly is and he should be treated like any other informant. It also appears that the Bureau has not been niggardly in its payments to [] and he has received expenses incurred by him when working for the Bureau and the amounts recommended by the field for information furnished. It definitely appears that Mr. Banister is not using good judgment in recommending that the Director commend [] a man with a criminal record who may be subjected to deportation at some later date.

3. On March 3, 1954, Mr. Rosen and Mr. Banister engaged in one long distance telephone call which started at 9:52 a.m. and terminated at 10:53 a.m. for a total of 61 minutes and this call cost \$25.15. This is the call that Mr. Rosen described as being like pulling teeth from Banister to obtain information concerning a possible press release in connection with the Nick Palermo case.

It appears that this call was unnecessarily long and from the information furnished by Mr. Rosen it definitely appears that Mr. Banister did not have readily and immediately available the necessary information to evaluate the situation. The Director has approved that Mr. Banister be censured for his protracted calls.

4. UNKNOWN SUBJECT, THEFT OF \$183,000 IN FURS FROM A. TEITELBAUM OF BEVERLY HILLS, CALIFORNIA, DECEMBER 17, 1953, INTERSTATE TRANSPORTATION OF STOLEN PROPERTY.

This is the case that Informant [] originally came to Chicago to help develop. On February 24, 1954, the Chicago Division advised that informant [] had been in contact with furs believed to be loot in this case. On February 27, 1954, the Chicago Office advised that the informant was backing out of negotiations for the furs to permit the Chicago Office to develop the case. Without any indication of investigation subsequent to February 27, 1954, the Chicago Office advised by teletype of March 8, 1954 that female employees of the Chicago Office had been used in a pretext contact and that two subjects had been placed under arrest and that inventory of the merchandise recovered was being made.

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The Chicago Division was called on March 8 and March 9, 1954 with a request for information concerning this case without success. Teletypes were received from Chicago on March 8 and March 9, 1954 none of which contained complete information, specifically as to why the female employees were used and details with respect to processes issued, subjects arrested or merchandise recovered. A teletype was sent to Chicago on March 10, 1954 enumerating 15 questions which the Bureau desired specifically answered. Chicago responded with the answers on the same date.

The Chicago Division explained that the Bureau was not notified of the plans to use female employees due to the shortage of time since the Chicago Division received information at around noon on March 8, 1954 from Informant [] that the two subjects were getting ready to move the furs. The Chicago Office stated that no move was undertaken by the female employees until 2:00 p.m. March 8, 1954. The female employees after a 25-minute pretext visit where the furs were located left the subjects at approximately 3:25 p.m. and the arrests were effected at approximately 3:30 p.m. March 8, 1954. It is noted that the Manual of Instructions specifically states that "FBI employees other than Special Agents must not be used on surveillances without specific Bureau authority." It is also noted that the subjects in this case were arrested at approximately 3:30 p.m. Central Standard Time, March 8, 1954. However, a Commissioner's hearing was not had until between 4:00 p.m. and 5:00 p.m. March 9, 1954. The reason for the delay was given as the fact that the U. S. Commissioner had a heavy calendar.

b7D

CONCLUSION - In connection with this matter, SAC Banister failed to keep the Bureau advised of all developments in instant case even though it was an important one and failed to obtain prior Bureau authority to utilize female employees in connection with this case in accordance with Bureau instructions. Mr. Banister gives

as his reason for failure to get prior Bureau approval the question of time but it seems about an hour elapsed before the female employees actually made the pretext call from the time it was decided to use them. It also appears that the Chicago Office was not as aggressive as they might have been to insure a prompt arraignment of the subjects. The Investigative Division has recommended a letter of censure to SAC Banister for the failure of the Chicago Office in this case.

5. [REDACTED] FUGITIVE; [REDACTED]
WITH ALIAS, ET AL; THEFT FROM INTERSTATE SHIPMENT

A teletype emanated from the Chicago Office at 11:36 p.m. on March 13, 1954, setting forth among other information the arrest of [REDACTED] on the night of March 12, 1954. No prior information had been received from the Chicago Office. SAC Banister was asked to explain the delay. Mr. Banister has advised that the delay was occasioned by the fact that [REDACTED] had been arrested by Bureau Agents, searches, inventories of stolen property recovered, interviews and surveillances were continued into March 13, 1954 with a view to apprehending other subjects and since additional developments were anticipated.

CONCLUSION - In this instance the Chicago Division failed to promptly notify the Bureau of an arrest and waited a day to do so. Even though other developments were immediately contemplated, it is felt the Chicago Office should have informed the Bureau of Szusalski's arrest more promptly. The Investigative Division has recommended that a letter go forward to the Chicago Office instructing them to advise the Bureau more promptly in similar situations in the future.

RECOMMENDATIONS

1. It is recommended that the attached letter be furnished to Mr. Banister when he reports to the Bureau for conference on Wednesday, March 17, 1954. This letter censures Mr. Banister for the various items set forth in this memorandum and places him on probation.

letter being prepared

2. I recommend that Mr. Boardman interview Mr. Banister while he is here at the Seat of Government and go over each of the matters

I agree
asked Boardman
to do this. H.

major cases.

I agree
3/17

✓

Done
3/17

Office Memorandum • UNITED STATES GOVERNMENT

TO : Inspector BERNARD C. BROWN

DATE: March 5, 1954

FROM : SAC W. G. BANISTER

SUBJECT: UNKNOWN SUBJECTS; Robbery of
\$150,000. in Gems from [redacted]
[redacted] Wisconsin, 2/8/54;
ITSP

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AX ROSEN

In response to your request for my explanation of my declining to furnish Mr. ROSEN the identity of [redacted] contact in Los Angeles, this is what I recall of the conversation with Mr. ROSEN, which occurred on March 3, 1954. My explanation also will contain my reason for answering him as I did.

b7D

There were many telephonic conversations with Mr. ROSEN during the time this case was receiving concentrated attention. The same subject matter or a portion thereof was discussed several times. I was being called many times by the Bureau and required to explain certain phases of the Chicago operations.

Instant conversation began with a discussion as to filing against [redacted] as I recall, for the second time. Mr. ROSEN asked for the language of the complaint this time, which had been authorized by the U.S. Attorney's representative. I started out with [redacted] since the complaint to be filed was authorized against [redacted] also, meaning that I intended using the names of both men. He interrupted and said that I already had process out on [redacted] I started to explain that I wanted to file against [redacted] also. He refused my request. I then went ahead describing the complaint. We discussed the property. I explained to him what I intended to describe in the complaint. He asked if I could file a secret complaint and I told him that if we didn't release information on the complaint the Commissioner would do so. We then started talking about the general press release and again took up the subject of getting process on [redacted] Mr. ROSEN said that his only reason for considering filing against [redacted] was press reasons. I pointed out that the press had been calling me every few minutes. He said that he was sure I had a "no comment" answer. I recall remarking that that didn't do much good, they quoted me anyway and he commented that those were the problems of the big league and that I was in the big league now. I then started out to give him some new and additional information which had come in from California through [redacted] who had telephoned his contact there. Mr. ROSEN asked if I had found his name. I told him that I had

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WGB:WAC

WGB:WAC

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MAR 20 1954

MEMO TO INSPECTOR BERNARD C. BROWN

not and that I would rather not give it to him over the phone anyway. Mr. ROSEN said, "Well, hell, I'd like to know his name". I said that I would send it to him but I'd just rather not give it to him over the telephone, when he interrupted saying, "What's happening out there, your telephone tapped or something". I then said, "Al, you can think up the dammedest extraneous things", when he interrupted again and said, "Well, damn it, I thought we were on the same team but now I don't know, I wonder". I then went ahead to tell him about information received from California, showing that the California contact was in danger and so was [redacted]. Mr. ROSEN wanted to know why [redacted] could not be picked up. I pointed out that we could not dispose of the case. I then asked about the decision on the press release. He checked and said that because so many things were going on that they had not received final approval. I pointed out again that I wanted to get 206 off the spot, that I had \$185,000 in furs floating around Chicago and that I wanted them. Mr. ROSEN then asked to speak to Inspector BROWN.

I would like to point out that Mr. ROSEN and I had both been up for a long time. We were very tired. I would like to further point out that my idea of the conversation was that two men with one single thought in mind were engaging in conversation exploring many possibilities seeking to find a suitable answer to the problem confronted. I know the value of an informant. I do not believe in using their names. I never at any time declined to give Mr. ROSEN the name of the informant. I was trying to suggest the inadvisability of doing it over the telephone. I know that telephone operators have listened to telephone conversations before. California contact was in danger. I never had any idea that Mr. ROSEN didn't have a right to the name if he wanted it. I thought the name of the California contact was known to agents in the office. I thought I had heard it. I knew we could obtain it. We have since obtained it. It has been furnished to Mr. ROSEN by a confidential letter. I think we should not have done so because [redacted] did not appreciate the request to furnish it. We have not yet obtained the name of the police lieutenant. It will be done if the Bureau wants it. Mr. ROSEN had an edge in his voice when he started insisting upon getting his name. I react to any man's temper with temper. That doesn't make me right.



In Reply, Please Refer to
File No.

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

Post Office Box 812

Chicago 90, Illinois

March 11, 1954

PERSONAL

Mr. Tolson	/
Mr. Boardman	/
Mr. Nichols	/
Mr. Belmont	/
Mr. Clegg	/
Mr. Glavin	/
Mr. Ladd	/
Mr. Rosen	/
Mr. Tracy	/
Mr. Harbo	/
Mr. Mohr	/
Mr. Winterrowd	/
Tele. Rm.	/
Mr. Holloman	/
Miss Gandy	/

Mr. J. Edgar Hoover
Director
Federal Bureau of Investigation
U. S. Department of Justice
Washington 25, D. C.

W. G. BANISTER

Dear Mr. Hoover:

A. X. ROSEN

The purpose of this letter is to confirm information I gave to Inspector B. C. Brown, which was briefed into a teletype on March 7, 1954, in connection with the case involving the art treasures of [redacted] and our attempt to apprehend Nick George Montos, Top Ten Fugitive.

I have answered many questions in connection with these matters and have tried to give clear answers. Twice I was called away from the scene to answer questions by Mr. Rosen. I distinctly recall starting to report that if a signal had been given by Special Agent [redacted] we were not in a position to see it. I never recall finishing that. And, the question was not raised again. For your information it was not a fault of timing or a fault in planning that made it impossible for us to see [redacted] Montos and associates would not permit [redacted] and [redacted] to proceed according to plan. The only fault lies probably with nature. There were not enough trees behind which we could hide. It was daylight when the signal was given. To have approached any closer would have unnecessarily jeopardized the lives of these two men.

In answering the questions propounded I wish that you would keep in mind that in being called away from the scene my detachment of agents were left without leadership; I was not available immediately to afford

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Letter to Mr. Hoover
March 11, 1954

that leadership in the event it became necessary for them to act; I was prepared to go into a house with agents which would probably result in having to kill Montos and could well result in having some of my agents injured. Obviously under such condition I am not mentally prepared to coldly and objectively report in standard Bureau fashion. The constant calls from the Bureau, specifically Mr. Rosen, through which no helpful suggestions or advice were made constituted interference unwarranted by the circumstances. Going from the firing line to the reporter's desk requires time for organization of thought and of planning the report. There was certainly no intention to withhold any information.

Sincerely yours,

W. G. Banister

W. G. BANISTER
Special Agent in Charge

*These matters
were discussed
with Banister
here in Wash.
JFB
3/11/54*

*memo
dated 3/11/54* →

Mr. Tolson

3/9/54

R. T. Harbo

F. GUY BANISTER
SAC, CHICAGO

A R O S E N

SYNOPSIS

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In connection with the [redacted] et al. case in Chicago (involving \$150,000 in art. objects) on February 28, telephone conversations were held on March 3 between Assistant Director Rosen and SAC Banister. During two of the conversations, Mr. Rosen asked Mr. Banister for the name of the informant in Los Angeles who had furnished information to [redacted] who was serving as an informant for the Chicago Office. Mr. Rosen's memoranda concerning these conversations were prepared immediately following the conversations and are attached hereto. One conversation extended from 9:50 AM until 11:09 AM. The memorandum is 9 pages in length. The other conversation was from 3:09 PM to 3:32 PM. Messrs. Price and Staffeld were in Mr. Rosen's office during both the conversations, and they were present while he dictated the memoranda.

Mr. Banister claims he told Mr. Rosen that he did not have the name available (of the Los Angeles informant) and that he would prefer not to give it to Mr. Rosen over the phone. In SAC Banister's written statement to Inspector Brown dated March 5 Mr. Banister stated, "I thought the name of the California contact was known to Agents in the office. I thought I had heard it. I knew we could obtain it. We have since obtained it." Mr. Rosen's memorandum of March 3 reflects that in order "to obtain further details concerning the so-called 'heat' that existed, I asked Mr. Banister if he knew the identity of the California informant. He said that he thought that he did know the identity. I asked him for his name. He said that he did not have it now but he thought he would be able to get it. I asked as to what status this informant had in California, and he said that he thought that the California informant was known to our Los Angeles Office. I asked him specifically whether our Los Angeles Office would be able to ascertain the identity of the California informant, and it was then that Banister stated that he thought he could find the informant's name and identity."

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Glavin _____
Harbo _____
Rosen _____
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Mohr _____
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EDH: jaw
cc: Mr. Glavin
Mr. Rosen
Mr. Boardman

Attachment

89 APR 15 1954

RECEIVED
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FBI - CHICAGO

"Later on March 3, 1954, at 3:09 PM, Banister called and furnished information concerning additional developments in the case. During the conversation I followed up on my initial request of the morning and asked Mr. Banister whether he had learned the identity of the informant's contact in Los Angeles. He said he did not have it available, but he would prefer not giving it to me over the telephone. I asked him whether he thought his telephone was tapped, and he advised me that I could 'think of the damndest questions.' Banister stated he knew the identity of the informant. I told him I would appreciate knowing the identity of this individual in California but if he did not want to give it to me over the telephone that was his prerogative, I thought I was entitled to it. He said he would prefer not giving it to me over the telephone but would not mind putting it in writing. I further stated, 'I am at a loss to understand his reason for not making such information available to the Bureau and I thought I was calling on the behalf of the Bureau.'"

It should be noted that Mr. Rosen's memorandum was prepared immediately after the conversation and Mr. Rosen's position is supported by two Agents in his Division who were witnesses to the questions put by Mr. Rosen. On the other hand, Mr. Banister's memorandum was prepared two days later.

During the course of the conversation and following Mr. Banister's statement that he would prefer not to give the name of the informant to Mr. Rosen over the telephone, it appears from the Bureau's records, that Banister stated that he did know the informant, because in Mr. Rosen's memorandum were additional questions which would have been illogical to ask in the event Banister told Mr. Rosen that he did not know the name of the informant. On the other hand, Banister did not submit the identity of the California informant until two days later, although he stated he thought the identity was available to the office at the time he had his telephone conversation with Mr. Rosen.

With regard to Banister's stating that Mr. Rosen "could ask the damndest questions," Mr. Banister's statement to Inspector Brown dated March 5, contains Mr. Banister's version of this conversation to the effect, "Al, you can think up the damndest extraneous things." It appears that the word extraneous has been substituted in Mr. Banister's version. It does not appear to the Inspector that Mr. Rosen's question was extraneous at all but went to the very heart of the investigation.

Mr. Banister further alleges in his statement to Inspector Brown that Mr. Rosen also spoke to him as follows: "Well, damn it, I thought we were on the same team, but now I don't know, I wonder." Mr. Rosen's memorandum covering this point indicates Mr. Rosen said he would appreciate knowing the identity of the informant in California but that if Mr. Banister did not want to provide this identity over the phone that was his prerogative.

Mr. Rosen stated as reflected in his memorandum, "I thought I was entitled to it. He said he would prefer not giving it to me over the phone but would not mind putting it in writing." Mr. Rosen further indicated that he was at a loss to understand Mr. Danister's reason for not making such information available to the Bureau. Mr. Rosen recalls, as does Messrs. Price and Staffeld, who were present also, -----Mr. Rosen making some reference to the fact that "we were all on the same team", but none of them recalled that Mr. Rosen made the statement as indicated in the language used by Mr. Danister.

Danister stated to Inspector Brown that he realizes that both he and Mr. Rosen had been working long hours and were very tired. Mr. Danister states that Mr. Rosen had an edge in his voice when he started insisting upon getting the informant's name. Mr. Danister further stated in his memorandum of March 5 to Inspector Brown that he reacted "to any man's temper with temper. That doesn't make me right." The facts are that Mr. Rosen left at 11:30 PM the previous night, and it is his recollection that he was well rested on March 3 when he conversed with SAC Danister. Messrs. Price and Staffeld, who heard Mr. Rosen, detected no edge in Mr. Rosen's voice while he was conversing with Mr. Danister.

Inspector's Conclusions:

1. It will be noted that Mr. Rosen had conversation with Mr. Danister lasting more than an hour on the morning of March 3, at which time he requested the identity of the informant in California; however, it was not until Danister called in at 3:09 PM on March 3, when Mr. Rosen pursued the topic as to the identity of the informant that this issue arose. It appears that Mr. Danister did not make himself clear to the Bureau, for if he had told Mr. Rosen that he did not know the identity of the informant in California, then it would have been ridiculous for Mr. Rosen to have pressed Mr. Danister further for the informant's identity. However, memoranda prepared at the Bureau shows that Mr. Rosen did pursue the topic in an effort to identify the California informant.

2. There is a discrepancy between Mr. Rosen's version and Mr. Danister's version of the conversation immediately after Mr. Rosen asked Mr. Danister whether Danister thought his telephone was tapped. In view of Mr. Danister's reluctance to provide the information which Mr. Rosen sought, it appears that Mr. Rosen's question was perfectly in order but this caused Danister to make a statement which approached insubordination.

3. Mr. Danister was reluctant to provide information over the telephone concerning the identity of an informant fearing that an operator might listen in; however, it certainly seems that when an Assistant Director asks an SAC for information, the Assistant Director should be provided with the data sought forthwith.

4. It appears that Mr. Denister's own language that he reacts to temper with temper creates doubt as to whether Mr. Denister possesses the necessary stability to deal with the many complex situations which would face an Agent in Charge in an office the size of Chicago.

RECOMMENDATION:

b6

A separate memorandum has been submitted concerning poor timing on the part of the Chicago Office in connection with a raid planned in the [redacted] investigation (looking toward the arrest of Montos) out of which instant matter arose. In that memorandum Mr. Denister is being recommended for probation and censure. It is now recommended that severe censure be provided for Mr. Denister, not only for the lack of clarity in expressing himself, but for his language, which approached insubordination, and for his admission that he reacts to temper with temper, which is believed to be an exceedingly serious weakness.

I recommend letter of Censure for lack of clarity in expressing himself 3/7/54

*2 agree
Jpm
3/9*

*2 agree
Jt*

*yes
Jt*

*2 agree
V
3/9*

PERMANENT BRIEF ATTACHED.

DET-113

None submitted, all covered in synopsis.

*Handled
Jpm
3/17*

17. RATING OR SPECIALTY		TIME IN THIS CAPACITY: TOTAL	LAST SIX MONTHS
CLINICAL EVALUATION		NOTES.—Describe every abnormality in detail. (Enter pertinent item number before each comment; continue in item 73 and use additional sheets if necessary.)	

NOTES.—Describe every abnormality in detail. (Enter pertinent item number before each comment; continue in item 73 and use additional sheets if necessary.)

RECORDED - 68
67

67-27215-322

Searched _____
Numbered 13

17 APR 27 1954

FEDERAL BUREAU OF INVESTIGATION

(Continue in Item 73)

REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES

Type III
Class 1

LABORATORY FINDINGS

45. URINALYSIS: SPGR 2.01003

46. CHEST X-RAY (Place, date, film number, result)

47. SEROLOGY (Specify test used and result)

ALBUMIN	SUGAR	MICROSCOPIC
Neg	Neg	Neg

Negative

Kahn, Negative 1.1

48. EKG

50. OTHER TESTS

2/20

EGG normal

49

20

Entered on card 4-22-54 w/BN/pia

APR 27 1954

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT 72 1/2		52. WEIGHT 183		53. COLOR HAIR Grey		54. COLOR EYES Hazel		55. BUILD: SLENDER <input type="checkbox"/> MEDIUM <input checked="" type="checkbox"/> HEAVY <input type="checkbox"/> OBESE <input type="checkbox"/>		56. TEMP. Normal	
57. BLOOD PRESSURE (Arm at heart level)						58. PULSE (Arm at heart level)					
SITTING SYS. 134 DIAS. 88		RECUM. BENT SYS. DIAS.		STANDING (3 min.) SYS. DIAS.		SITTING 84		AFTER EXERCISE		2 MIN. AFTER	
59. DISTANT VISION		60. REFRACTION		61. NEAR VISION							
RIGHT 20/ 20		CORR. TO 20/		BY S. CX				CORR. TO		BY	
LEFT 20/ 20		CORR. TO 20/		BY S. CX				CORR. TO		BY	
62. HETEROPHORIA: (Specify distance) ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. PC PD											
63. ACCOMMODATION RIGHT LEFT				64. COLOR VISION (Test used and result) AOC 1940 Normal				65. DEPTH PERCEPTION (Test used and score) UNCORRECTED CORRECTED			
66. FIELD OF VISION				67. NIGHT VISION (Test used and score)				68. RED LENS			
69. INTRAOCULAR TENSION											
70. HEARING		71. AUDIOMETER						72. PSYCHOLOGICAL AND PSYCHOMOTOR (Tests used and score)			
RIGHT WV 15 /15 SV 15 /15		250 500 1000 2000 3000 4000 8000 250 512 1024 2048 4096 8192									
LEFT WV 15 /15 SV 15 /15		RIGHT LEFT									

73. NOTES (Continued) AND SIGNIFICANT OR INTERVAL HISTORY

(Use additional sheets of plain paper if necessary)

74. SUMMARY OF DEFECTS AND DIAGNOSES (List diagnoses with item numbers)

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (Specify)

76. PHYSICAL PROFILE

P	U	L	H	E	S

77. EXAMINEE (Check)



IS

QUALIFIED FOR



IS NOT

STRENUOUS PHYSICAL EXERTION AND USE OF FIREARMS.

PHYSICAL CATEGORY

78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER

A	B	C	E

79. TYPED OR PRINTED NAME OF PHYSICIAN

SIGNATURE

s/ R. Olmsted

80. TYPED OR PRINTED NAME OF PHYSICIAN

SIGNATURE

81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (Indicate which)

SIGNATURE

s/ A. T. Smith

82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY

SIGNATURE

NUMBER OF ATTACHED SHEETS

ATTACHMENT TO STANDARD FORM 88
(Revised July 21, 1952)

Report of Medical Examination

FOR INFORMATION AND GUIDANCE OF MEDICAL EXAMINER:

The following portions of the attached examination report form need not be completed:

2	67
3	68
11	69
14	71 (unless other
17	examination indi-
62	cates desirable)
65	72

Item 48, the electrocardiogram, is not required unless the examinee is over 35 years of age or unless other examination indicates such is desirable.

If the examinee is an applicant, the Chest X ray and blood type and Rh factor (Items 46 and 49) are not necessary unless the facilities for affording same are readily available to the examiner.

FOR ALL EXAMINEES, WHETHER CLERICAL OR SPECIAL AGENT APPLICANTS OR EMPLOYEES:

The medical examiner should answer the following question:

Examinee is qualified for strenuous physical
(is or is not)
exertion. (Designate which)

FOR ALL MALE EMPLOYEES OR APPLICANTS:

The medical examiner is requested to answer the following:

Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms?

no
If answer is "yes" please specify.

IT IS ESSENTIAL THAT ALL STATEMENTS IN ITEMS 59, 61, 64 AND 70 PERTAINING TO VISUAL ACUITY, COLOR VISION AND HEARING BE COMPLETED IN DETAIL.

Robert D. Hano
(Signature of Medical Examiner)

4-12-51

(Date)

67-27215-302
ENCLOSURE

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

4-21-54

I certify that I have ^{returned} ~~received~~ the following

Government property for official use:

Manual # 18 -- Handbook for Personnel Assistants
and Counselors.

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY. 18

44-111-105A
44-111-105

FILE
WRG

FILE

NOT RECORDED-14
Sincerely yours,
Rub
Alex Rosen

Mr. Tolson

3/31/54

R. T. Harbo

**BUREAU ACTION ON REQUEST OF
FORMER SAC GODFREY, SAN JUAN
ASSIGNMENT OF ACCOUNTANT ON SPECIAL**

BACKGROUND

b6

It will be recalled that in connection with an involved Fraud Against the Government matter, [redacted] et al, former SAC Godfrey, San Juan, advised the Bureau that SA (A) Thomas J. Wilkes was not, in his opinion, qualified to appear as a Government witness in the above case. Inquiry developed that according to Bureau records, Wilkes was fully qualified as an accountant and as an expert witness; that the Investigative Division accepted Godfrey's conclusion without checking Bureau records or questioning Godfrey about it, and by memorandum prepared by Supervisor J. C. Stokes 3/8/54 recommended that a Special Agent Accountant be sent to San Juan on special assignment. This memorandum was personally approved by [redacted] and [redacted] Supervisors in Charge; Courtney Evans, Section Chief, Accounting and Fraud Section; E. Hugo Winterrowd and Assistant Director Rosen. Under date of 3/11/54 a memorandum was prepared setting forth the facts and recommending administrative action for all persons involved except Assistant Director Rosen. No action was recommended as to Rosen because he was on sick leave and his explanation could not be obtained.

Mr. Rosen has explained that he agrees the Bureau records as to Wilkes' qualifications should have been checked before a recommendation was made that an accountant be sent to San Juan on special assignment and that the apparent discrepancy between the Bureau records and the opinion of Godfrey should have been reconciled. He did not recall whether he knew such a check had not been made but recommended that "if I am culpable in this, I recommend that I receive a letter of censure because the preliminary check should have been made."

ORIGINAL FILED IN
Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Gearty _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
Miss Gandy _____

cc - Mr. Glavin (Attn. H. L. Edwards)

PR22

64

RECOMMENDATION:

Assistant Director A. Rosen . . . censure for his failure to make certain Bureau records as to qualifications of SA (A) Thomas J. Wilkes were checked before approving recommendation made in his division that an accountant be sent to San Juan on special assignment.

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Tolson

DATE: 3/11/54

FROM : R. T. Harbo

[A. ROSEN]

SUBJECT: BUREAU ACTION ON REQUEST
OF SAC GODFREY, SAN JUAN,
ASSIGNMENT OF ACCOUNTANT
ON SPECIALBACKGROUND:

Investigative Division

In connection with an involved Frauda Against the Government matter, [redacted] et al, SAC Godfrey, San Juan, by telephone on March 5, 1954, and by radiogram March 6, 1954, furnished information regarding a request made by Special Assistant to the Attorney General Isaiah Matlack for assignment of a thoroughly capable accountant with considerable experience as a witness, who would be able to stand up under the most severe cross examination. SAC Godfrey advised the only available accountant, Thomas J. Wilkes, did not, in his opinion, meet the requirements by reason of his limited experience as a witness. Supervisor [redacted] coordinated the information received from Godfrey and prepared a memorandum, Evans to Rosen, dated 3/8/54, and recommending that one of three named accountants be sent to San Juan for a period of approximately three weeks to handle the particular inquiry desired by Matlack and to appear as an expert witness. This recommendation was approved, but the Director inquired about why Wilkes was not qualified after being in the Bureau seven years, and also raised the question as to the program of developing accountants.* A separate memo has been submitted as to Wilkes.

ACTION TAKEN:

as to qualifications of Agent Wilkes
This inquiry on the part of the Director has been handled by separate memorandum, but in doing so the Training and Inspection Division found that, according to all records available at the Bureau, Wilkes is fully qualified as an accountant to handle all types of Bureau cases and that he has testified as an expert accountant and that SAC McGowan had reported Wilkes made a reliable witness. Two inspection reports indicated Wilkes was fully qualified.

Explanations were requested from all Investigative Division personnel who handled and approved the memorandum prepared by [redacted] as to (1) Why the personnel file of Wilkes was not checked to determine whether he was qualified (2) Why the records of the Administrative Division regarding the qualifications of accountants were not checked before it was decided to send a man to San Juan (3) Why inspection

cc: Mr. Glavin (Attn: H. L. Edwards)
Entire program as to developing accountants will be submitted to Executives Conference for consideration March 18.

b6

b6

RECEIVED CIVIL

SAC, Puerto Rico ltr 3/16/54

WEMED/mjmu

reports covering offices where Wilkes was assigned were not checked to determine whether Wilkes was fully qualified (4) What inquiry, if any, was made to determine the validity of the conclusion of SAC Godfrey that Wilkes was not qualified.

b6

Supervisor [] explained the [] case is an important one, that it is a complicated controversial matter in which the Bureau has a considerable investigative interest, that he did not check the personnel files or other Bureau records regarding Wilkes' qualifications, because of the opinion expressed by Godfrey, who was on the scene, had conferred with Special Assistant to the Attorney General Matlack as to qualifications required and that the Bureau should therefore follow the recommendations of Godfrey. Further, that he was influenced to accept Godfrey's decision by reason of the fact Wilkes was recommended for a letter of censure on March 3, 1954, for improperly handling a Fraud Against the Government case.

b6

Supervisors William J. Hurley and [] who approved [] memorandum, concurred in the comments of Supervisor [] Section Chief C. A. Evans said the action was taken under his direction and instructions and agreed with [] E. Hugo Winterrowd said he concurred in the foregoing and added that while inspectors' reports indicated Wilkes had appeared as an expert witness, the fact remains that the [] case is an exceedingly involved one of great magnitude, that SAC Godfrey has been fully cognizant of the case since its inception and that, in his opinion, was fully qualified to make recommendations in this matter. He said he went along with the recommendation in the interest of expediting the investigation and in the interest of handling it properly. He said he had no reason whatsoever to doubt the sincerity of Godfrey's recommendations.

CONCLUSIONS:

1. The Bureau records regarding the qualifications of Wilkes were not checked prior to submission of the recommendation that a man be sent to San Juan on special assignment for approximately three-weeks' period.

2. Had the Bureau records been checked it would have been found Wilkes was carried as fully qualified, and it would have been necessary to have consulted with SAC Godfrey regarding the basis for his conclusion.

3. No conclusion can be reached as to whether a man would have been sent to San Juan if the explanations had been secured from Godfrey, who is to cease duty March 19, 1954.

Inspector Nugent points out that the investigation was one deserving of immediate attention, inasmuch as there was barely enough time to complete the required work by the trial date.

The Bureau has been put to the expense of sending an Agent on special assignment to San Juan to serve on per diem throughout this investigation.

RECOMMENDATIONS:

1. Supervisor [redacted] Investigative Division
.....censure.

2. Unit Chief William J. X Hurleycensure.

3. Supervisor in Charge [redacted]censure.

4. Section Chief Courtney A. X Evans (Accounting and Fraud Section).....censure.

5. E. Hugo X Winterrowd, Divisional No. 1 Man.....censure.

6. Assistant Director A. ~~Rosen~~.....no action, inasmuch as his explanation has not been obtained, because he is on sick leave. A tickler has been set up to obtain his explanation, following which a recommendation will be submitted.

Handwritten: ~~File~~ 3/11 I agree 2/13 3-11
OK
yes. H.

7. A letter go forth to SAC at ~~San~~ Juan concerning inadequate justification for his conclusion in this instance. This letter will help guide new SAC Crosby.

Handwritten: agree to all 3/11
agree 3/11 I agree 2/13 3-11 yes
yes H.

BRIEF OF ALL PERSONNEL ATTACHED EXCEPT THOSE OF EVANS AND WINTERROWD, WHICH ARE ALREADY IN THE DIRECTOR'S OFFICE.



Best Copy Available



R

✓
A Rosen

Mr. J. Edgar Hoover
Director
Federal Bureau of Investigation
U. S. Department of Justice
Washington 25, D. C.

b7D

Dear Mr. Hoover:

39565-434

I understand that you
had previously been in
contact with the
State of the California
over the [redacted]
individual.
and it in the [redacted]
given to us. [redacted]
contact [redacted]

As in the [redacted]
[redacted] [redacted]

[redacted]
[redacted]
that we [redacted]
[redacted]
[redacted]

89 APR 20 1954

Letter to Mr. [redacted]
March 11, 1957

being scrupulously honest. I believe that [redacted] is
probably be a wealthy man if he were not so honest. He
puts on a good act and an attractive one. Allocated with
us his expenses should be met. His pay has been
niggardly. It should be generous. Certainly, every
effort should be made to give him every protection.
To bandy the name of his associate over the telephone
is a breach of security and should not be required or
tolerated. People can get killed over this kind of
thinking and there have been leaks from telephone
operators before.

You are intensely interested in the Brink's
robbery. [redacted] had he been available, might have
obtained extremely valuable information in this case
when he was working with an agent who understood
something of the operations of the criminal line and
was thoroughly familiar with the law. [redacted] needs
such guidance for he is not Bureau trained nor is he
amenable to Bureau rules and regulations. It would be
unwise to use him on all types of cases and more
particularly on the more or less routine matters.
Even when we do not succeed in breaking a big case of
our own, as of this time, as for example the fur case
involving A. Paul Fur Company, he has rendered valuable
service to us for he has helped in eliminating a fence.
As long as fences exist there will be thieves to steal
and sell to them.

I furnished the name of this man's company.
I hope that they are retained in deepest confidence.

Sincerely yours,

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Boardman *sp*

FROM : Mr. Winterrowd *CHW*

SUBJECT: DEATH OF MR. HERMAN ROSEN
FATHER OF ASSISTANT DIRECTOR ROSEN *A. ROSEN*

DATE: May 5, 1954

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Mohr _____
Trotter _____
Winterrowd _____
Tele. Room _____
Holloman _____
Miss Gandy _____

This is to advise that Mr. Rosen's father, aged 73, passed away from a heart attack suddenly and unexpectedly on the afternoon of May 5, 1954. He received this word at approximately 7:45 P.M. *sp*

Mr. Rosen has reservations for Cleveland, Ohio, on Capital Airlines, flight leaving Washington 9:00 P.M. and arriving in Cleveland 11:20 P.M.

Mr. Rosen's family resides at 2859 Hampton Road, S.E., Shaker Heights, Cleveland, Ohio.

At 8:20 P.M., I called SAC McCabe, Cleveland, and advised him of the foregoing together with Mr. Rosen's reservations and asked that any assistance in comfort which might be rendered should be given by the Cleveland Office. Mr. McCabe was also asked to determine when the funeral is to take place as well as where the body is resting. Mr. McCabe said he would advise us on May 6, 1954, as soon as this information could be obtained. He said that he would offer any services possible.

cc: Mr. Nichols
Movement

EHW:rem *✓*

7-27215-323

11 MAY 10 1954

FEDERAL BUREAU OF INVESTIGATION

MAY 11 1954

85
MAY 12 1954

PERS. FILES

CERTIFICATE

ALEX ROSEN
Name (Please type or print)

Investigative Division
Office or Division

1. Are you now or have you ever been a member of, contributed to, affiliated or associated with, any organization listed on the attachment to this certificate?

NO

Answer "Yes" or "No"

2. If your answer is "Yes" state the name of the organization, dates of membership and extent of participation. An explanation regarding membership in any of these organizations may be attached hereto on a separate sheet of paper, if you desire to explain the circumstances of your membership.

Name

Address

From

To Office Held

~~X~~

~~X~~

~~X~~

~~X~~

CERTIFICATION

I hereby certify that the above information is correct and complete to the best of my knowledge and belief. I make this statement with the understanding that it will be used by the Department of Justice in carrying out the provisions of Executive Order 10450 and with knowledge that any false statement or omission of material fact may be sufficient cause for my dismissal or rejection of my application, and, further, may be cause for punishment as a violation of law including Section 1001, Title 18, U. S. Code.

April 8, 1954
(Date)

Alex Rosen
(Usual Signature)

Attachment

APR 29 1954

March 23, 1954

ORGANIZATIONS DESIGNATED BY THE ATTORNEY GENERAL OF THE
UNITED STATES PURSUANT TO EXECUTIVE ORDER 10450.

Abraham Lincoln Brigade
Abraham Lincoln School, Chicago, Illinois
Action Committee to Free Spain Now
Alabama People's Educational Association (See Communist
Political Association)
American Association for Reconstruction in Yugoslavia, Inc.
American Branch of the Federation of Greek Maritime Unions
American Christian Nationalist Party
American Committee for European Workers' Relief (See Socialist
American Committee for Protection of Foreign Born Workers Party)
American Committee for the Settlement of Jews in Birobidjan, Ind.
American Committee for Spanish Freedom
American Committee to Survey Labor Conditions in Europe
American Committee for Yugoslav Relief, Inc.
American Council for a Democratic Greece, formerly known as
the Greek American Council; Greek American Committee
for National Unity
American Council on Soviet Relations
American Croatian Congress
American Jewish Labor Council
American League Against War and Fascism
American League for Peace and Democracy
American Lithuanian Workers Literary Association (also known
as Amerikos Lietuviu Darbininku Literaturos Draugija)
American National Labor Party
American National Socialist League
American National Socialist Party
American Nationalist Party
American Patriots, Inc.
American Peace Crusade
American Peace Mobilization
American Poles for Peace
American Polish League
American Polish Labor Council
American Rescue Ship Mission (a project of the United
American Spanish Aid Committee)
American-Russian Fraternal Society
American Russian Institute, New York, also known as the
American Russian Institute for Cultural Relations with
the Soviet Union
American Russian Institute, Philadelphia
American Russian Institute of San Francisco
American Russian Institute of Southern California, Los Angeles

American Slav Congress
 American Women for Peace
 American Youth Congress
 American Youth for Democracy
 Armenian Progressive League of America
 Associated Klans of America
 Association of Georgia Klans
 Association of German Nationals (Reichsdeutsche Vereinigung)
 Association of Lithuanian Workers
 (also known as Lietuviu Darbininku Susivienijimas)
 Ausland-Organization der NSDAP, Overseas Branch of Nazi Party
 Baltimore Forum
 Black Dragon Society
 Boston School for Marxist Studies, Boston, Massachusetts
 Bulgarian American People's League of the United States of America
 Bridges-Robertson-Schmidt Defense Committee
 California Emergency Defense Committee
 California Labor School, Inc., 321 Divisadero Street,
 San Francisco, California
 Carpatho-Russian People's Society
 Central Council of American Women of Croatian Descent,
 Also known as Central Council of American Croatian Women,
 National Council of Croatian Women
 Central Japanese Association (Beikoku Chuo Nipponjin Kai)
 Central Japanese Association of Southern California
 Central Organization of the German-American National
 Alliance (Deutsche-Amerikanische Einheitsfront)
 Cervantes Fraternal Society
 China Welfare Appeal, Inc.
 Chopin Cultural Center
 Citizens Committee to Free Earl Browder
 Citizens Committee for Harry Bridges
 Citizens Committee of the Upper West Side (New York City)
 Citizens Emergency Defense Conference
 Citizens Protective League
 Civil Rights Congress and its affiliated organizations,
 including:
 Civil Rights Congress for Texas
 Veterans Against Discrimination of Civil Rights
 Congress of New York
 Columbians
 Comite Coordinador Pro Republica Espanola
 Committee to Aid the Fighting South
 Committee for Constitutional and Political Freedom
 Committee to Defend Marie Richardson
 Committee for the Defense of the Pittsburgh Six
 Committee for a Democratic Far Eastern Policy
 Committee for Nationalist Action
 Committee for the Negro in the Arts
 Committee for Peace and Brotherhood Festival in Philadelphia
 Committee for the Protection of the Bill of Rights
 Committee to Uphold the Bill of Rights

Committee for World Youth Friendship and Cultural Exchange
 Commonwealth College, Mena, Arkansas
 Communist Party, U. S. A., its subdivisions, subsidiaries
 and affiliates.
 Communist Political Association, its subdivisions, subsidiaries
 and affiliates, including:
 Alabama People's Educational Association
 Florida Press and Educational League
 Oklahoma League for Political Education
 People's Educational and Press Association of Texas
 Virginia League for People's Education
 Congress of American Revolutionary Writers
 Congress of American Women
 Connecticut Committee to Aid Victims of the Smith Act
 Connecticut State Youth Conference
 Council on African Affairs
 Council of Greek Americans
 Council for Jobs, Relief and Housing
 Council for Pan-American Democracy
 Croatian Benevolent Fraternity
 Dai Nippon Butoku Kai (Military Virtue Society of Japan
 or Military Art Society of Japan)
 Daily Worker Press Club
 Daniels Defense Committee
 Dante Alighieri Society (between 1935 and 1940)
 Dennis Defense Committee
 Detroit Youth Assembly
 Emergency Conference to Save Spanish Refugees (founding
 body of the North American Spanish Aid Committee)
 Families of the Baltimore Smith Act Victims
 Families of the Smith Act Victims
 Federation of Italian War Veterans in the U. S. A., Inc.
 (Associazione Nazionale Combattenti Italiani,
 Federazione degli Stati Uniti d'America)
 Finnish-American Mutual Aid Society
 Florida Press and Educational League (See Communist
 Political Association)
 Frederick Douglass Educational Center
 Freedom Stage, Inc.
 Friends of the New Germany (Freunde des Neuen Deutschlands)
 Friends of the Soviet Union
 Garibaldi American Fraternal Society
 George Washington Carver School, New York City
 German-American Bund (Amerikadeutscher Volksbund)
 German-American Republican League
 German-American Vocational League (Deutsche-Amerikanische
 Berufsgemeinschaft)
 Harlem Trade Union Council
 Hawaii Civil Liberties Committee

Heimuska Kai, Also known as Nokubei Heiteki Gimusha Kai,
 Zaibel Nihonjin, Heiyaku Gimusha Kai, and Zaibei Heimusha
 Kai (Japanese residing in America Military Conscripts
 Association)
 Hellenic-American Brotherhood
 Hinode Kai (Imperial Japanese Reservists)
 Hinomaru Kai (Rising Sun Flag Society -- a group of Japanese
 War-Veterans)
 Hokubei Zaigo Shoke Dan (North American Reserve Officers
 Association)
 Hollywood Writers Mobilization for Defense
 Hungarian-American Council for Democracy
 Hungarian Brotherhood
 Independent Socialist League
 Industrial Workers of the World
 International Labor Defense
 International Workers Order, its subdivisions, subsidiaries
 and affiliates
 Japanese Association of America
 Japanese Overseas Central Society (Kaigai Dobo Chuo Kai)
 Japanese Overseas Convention, Tokyo, Japan, 1940
 Japanese Protective Association (Recruiting Organization)
 Jefferson School of Social Science, New York City
 Jewish Culture Society
 Jewish People's Committee
 Jewish People's Fraternal Order
 Jikyoku Iinkai (The Committee for the Crisis)
 Joint Anti-Fascist Refugee Committee
 Joint Council of Progressive Italian-Americans, Inc.
 Joseph Weydemeyer School of Social Science, St. Louis,
 Missouri
 Kibei Seinen Kai (Association of U. S. Citizens of Japanese
 Ancestry who have returned to America after studying in Japan)
 Knights of the White Camellia
 Ku Klux Klan
 Kyffhaeuser, also known as Kyffhaeuser League (Kyffhaeuser
 Bund), Kyffhaeuser Fellowship (Kyffhaeuser Kameradschaft)
 Kyffhaeuser War Relief (Kyffhaeuser Kriegshilfswerk)
 Labor Council for Negro Rights
 Labor Research Association, Inc.
 Labor Youth League
 League of American Writers
 Lictor Society (Italian Black Shirts)
 Macedonian-American People's League
 Mario Morgantini Circle
 Maritime Labor Committee to Defend Al Lannon
 Massachusetts Minute Women for Peace
 Maurice Braverman Defense Committee

Michigan Civil Rights Federation
 Michigan School of Social Science
 Nanka Teikoku Gunyudan (Imperial Military Friends Group
 or Southern California War Veterans)
 National Association of Mexican Americans (also known as
 Asociacion Nacional Mexico-Americana)
 National Blue Star Mothers of America (not to be confused with
 the Blue Star Mothers of America organized in February 1942)
 National Committee for the Defense of Political Prisoners
 National Committee for Freedom of the Press
 National Committee to Win the Peace
 National Conference on American Policy in China and the
 Far East (a conference called by the Committee for a
 Democratic Far Eastern Policy)
 National Council of Americans of Croatian Descent
 National Council of American-Soviet Friendship
 National Federation for Constitutional Liberties
 National Labor Conference for Peace
 National Negro Congress
 National Negro Labor Council
 Nationalist Action League
 Nationalist Party of Puerto Rico
 Nature Friends of America (since 1935)
 Negro Labor Victory Committee
 New Committee for Publications
 Nichibei Kogyo Kaisha (The Great Fujii Theatre)
 North American Committee to Aid Spanish Democracy
 North American Spanish Aid Committee
 North Philadelphia Forum
 Northwest Japanese Association
 Ohio School of Social Sciences
 Oklahoma Committee to Defend Political Prisoners
 Oklahoma League for Political Education (See Communist
 Political Association)
 Original Southern Klans, Incorporated
 Pacific Northwest Labor School, Seattle, Washington
 Palo Alto Peace Club
 Partido del Pueblo of Panama (operating in the Canal Zone)
 Peace Information Center
 Peace Movement of Ethiopia
 People's Drama, Inc.
 People's Educational Association (Incorporated under name
 Los Angeles Educational Association, Inc.), also known as
 People's Educational Center, People's University,
 People's School
 People's Educational and Press Association of Texas
 People's Institute of Applied Religion
 People's Radio Foundation, Inc.
 Philadelphia Labor Committee for Negro Rights
 Philadelphia School of Social Science and Art
 Photo League (New York City)
 Political Prisoners' Welfare Committee

Polonia Society of the IWO
 Progressive German-Americans, also known as Progressive
 German-Americans of Chicago
 Proletarian Party of America
 Protestant War Veterans of the United States, Inc.
 Provisional Committee of Citizens for Peace, Southwest Area
 Puertorriquenos Unidos (Puerto Ricans United)
 Quad City Committee for Peace
 Revolutionary Workers League
 Romanian-American Fraternal Society
 Russian American Society, Inc.
 Sakura Kai (Patriotic Society, or Cherry Association--
 composed of veterans of Russo-Japanese War)
 Samuel Adams School, Boston, Massachusetts
 Santa Barbara Peace Forum
 Schappes Defense Committee
 Schneiderman-Darcy Defense Committee
 School of Jewish Studies, New York City
 Seattle Labor School, Seattle, Washington
 Serbian-American Fraternal Society
 Serbian Vidoudan Council
 Shinto Temples
 Silver Shirt Legion of America
 Slavic Council of Southern California
 Slovak Workers Society
 Slovenian-American National Council
 Socialist Workers Party, including American Committee
 for European Workers' Relief
 Socialist Youth League
 Sokoku Kai (Fatherland Society)
 Southern Negro Youth Congress
 Suiko Sha (Reserve Officers Association, Los Angeles)
 Tom Paine School of Social Science, Philadelphia, Pennsylvania
 Tom Paine School of Westchester, New York
 Tri-State Negro Trade Union Council
 Ukrainian-American Fraternal Union
 Union of American Croats
 Union of New York Veterans
 United American Spanish Aid Committee
 United Committee of Jewish Societies and Landsmanschaft
 Federations, also known as Coordination Committee of
 Jewish Landsmanschaften and Fraternal Organizations
 United Committee of South Slavic Americans
 United Harlem Tenants and Consumers Organization
 United May Day Committee
 United Negro and Allied Veterans of America
 Veterans of the Abraham Lincoln Brigade

Veterans Against Discrimination of Civil Rights Congress
of New York (See Civil Rights Congress)
Virginia League for People's Education (See Communist
Political Association)
Voice of Freedom Committee
Walt Whitman School of Social Science, Newark, New Jersey
Washington Bookshop Association
Washington Committee to Defend the Bill of Rights
Washington Committee for Democratic Action
Washington Commonwealth Federation
Washington Pension Union
Wisconsin Conference on Social Legislation
Workers Alliance (since April 1936)
Workers Party, including Socialist Youth League
Yiddisher Kultur Farband
Young Communist League
Yugoslav-American Cooperative Home, Inc.
Yugoslav Seamen's Club, Inc.

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. L. V. Boardman *YH*

DATE: 5/6/54

FROM : E. H. Winterrowd *EW*

SUBJECT:

A. ROSEN

SAC Norman McCabe, Cleveland, called from Cleveland to advise that arrangements have been made for the burial of Mr. Rosen's father, Mr. Herman Rosen, the funeral to be at 12:30 p.m. on Sunday, May 9, 1954, interment at the Lakeview Cemetery.

Funeral arrangements are being handled by the J. D. Deutsch Funeral Home at 1490 Crawford, Cleveland, Ohio. The body will rest at this funeral home until the burial.

Mr. McCabe said that he plans to attend the funeral on Sunday and further that he will visit the funeral home. He has offered the assistance of the Cleveland Office in any manner.

RECORDED-33

cc - Mr. Holloman
Mr. Glavin

EHW:LS

MAY 13 1954

13 MAY 11 1954

67-27215-324	
Searched
Numbered 25
11 MAY 11 1954	
FEDERAL BUREAU OF INVESTIGATION	

Tolson _____
Ladd _____
Clegg _____
Glavin _____
Nichols _____
Rosen _____
Tracy _____
Harbo _____
Alden _____
Belmont _____
Laughlin _____
Mohr _____
Tele. Room _____
Nease _____
Gandy _____

May 13, 1954

PERSONAL AND CONFIDENTIAL

Mr. A. Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have been informed that you have permitted a procedure to exist in the Investigative Division which has required unnecessary retyping of correspondence to the Attorney General. You have required that memoranda to the Attorney General be completely free from erasures, even though these erasures have been expertly performed. Obviously, such a procedure is at variance with the Bureau's basic wishes in the handling of correspondence, and needless work has resulted.

It has also come to my attention that on May 5, 1954, in a conference with Section Chiefs of your division it was announced that outgoing correspondence should not contain the personal pronoun "I" except in unusual circumstances. This rule does not appear in any Bureau manual and appears to have been devised within your division. Strict interpretation of this rule and application to correspondence already on hand necessitated the rewriting of certain documents.

I cannot express too strongly my displeasure for your establishment of procedures which are at a variance with those of the remainder of the Seat of Government. It is imperative that you take immediate steps to streamline operations within your division and refrain from issuing instructions which will result in needless work and unnecessary expenditure of employee time, as well as delay in clearing correspondence out of your division.

Your failure to exercise a proper degree of foresight and your willingness to permit the waste of employee time are considered serious derelictions by you and I want to make it crystal clear that it is your responsibility to

cc: Mr. Glavin
Mr. Boardman

Tolson
Boardman
Nichols
Belmont
Glavin
Harbo
Rosen
Tamm
Tracy
Mohr
Winterrowd
Tele. Room
Holloman
Miss Gandy

EDM:ATN

RECORDED 7

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I want

14 MAY 19 1954

make certain that you are on sound ground in all procedures and that businesslike methods are employed throughout your division.

Any further derelictions of this type on your part will result in very serious administrative action by the Bureau, and I want you to make certain that these instructions are thoroughly understood by the Agent personnel of your entire division.

Very truly yours,

J. Edgar Hoover.

John Edgar Hoover
Director

Mr. Tolson

5-12-54

R. T. Harbo

ESCAPED FEDERAL PRISONERS
(Section 751, Title 18, U. S. Code)

SYNOPSIS

Pursuant to the Director's instructions, a check has been made of the procedures followed by the Investigative Division which resulted in delay in the preparation of a memorandum to the Attorney General responding to his memorandum of 5-3-54 regarding the captioned matter and policy aspects of Escaped Federal Prisoner investigations. The Director already has approved letters of censure for Messrs. Boardman, Winterrowd, Price and [] in this matter.

The following tabulation provides a chronological sequence of procedures followed by the Investigative Division in preparing the reply to the Attorney General's inquiry:

<u>Date</u>	<u>Time</u>	<u>Activity</u>
5-3-54	1:07 PM	- Attorney General's memorandum received in Director's office.
	6:07 PM	- Received in Mr. Tolson's office.
	6:38 PM	- Received in Mr. Boardman's office
	7:12 PM	- Attorney General's memorandum received #1 Man, Investigative Division.
5-4-54	11:00 AM	- Winterrowd called Price and [] to a conference on the matter and for first time gave the memorandum to [] for handling.
	11:30 AM	- Conference completed; [] obtained files and prepared for dictation.
	12:55 PM	- Supervisor [] dictated two page memo to Attorney General, together with two page cover memo.
	1:45 PM	- Dictation completed. Stenographer [] started transcription. [] read each page as completed and noted typographical errors requiring both pages of memo to Attorney General to be retyped.
	5:05 PM	- Completed memoranda submitted to Section Chief Price for approval.

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Gearty _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
Miss Gandy _____

Attachments

cc: Mr. Boardman
Mr. Glavin
Mr. Losen

AGG:jaw

<u>Date</u>	<u>Time</u>	<u>Activity</u>	
5-5-54	8:30 AM	- Memorandum returned to [] by Price for changes Price deemed necessary. A stenographer was not available; [] prepared changes in long hand and gave material to [] a clerk, who typed material twice due to misunderstanding regarding paragraphing and number of copies needed. [] thinks he gave proper instructions, but [] does not recall them.	b6
	12:30 PM	- Memoranda completed and returned to [] who noted erasures on memo to Attorney General. Material given to Stenographer [] for retyping.	b6
	3:02 PM	- Retyped memoranda again submitted to Price for approval.	
	5:10 PM	- Memoranda returned to [] by Price for deletion of pronoun "I" from memo to Attorney General per new instructions of Mr. Rosen issued that morning. ("I" had appeared 5 times in two pages and this usage appears perfectly satisfactory to Inspector Mason. Draft attached.) [] gave memo to Stenographer [] who retyped it.**	b6
5-6-54	8:47 AM	- Completed memoranda submitted to Price for approval.	
	9:59 AM	- Memoranda received in Winterrowd's office for approval.	
	10:24 AM	- Memoranda received in Mr. Boardman's office for approval.	

OBSERVATIONS:

* Most of the time lost in the preparation of the reply was due to retyping to eliminate typographical errors and erasures. E. H. Winterrowd, No. 1 Man of the Investigative Division, advised on 5/11/54 that it has been the understanding in that division for a number of years that all communications to the Attorney General must be letter perfect and free from any erasures. Supervisor [] advised that he was informed of this rule when first assigned to the division and for this reason had the instant memorandum to the Attorney General retyped three or four times solely to comply with the rule. No such rule appears in Seat of Government Stenographer's or Supervisor's Manuals.

[redacted] in charge of the division's stenographic pool, advised she also told of the rule and that all stenographers under her supervision comply with the rule. [redacted] of the Bureau's Reading Room advised that the Stenographers' Manual does not contain such a rule and that the Reading Room will approve a communication to the Attorney General which contains erasures so long as they are expertly made and do not leave a poor impression of the Bureau's efficiency. Director's Office and Mr. Tolson's Office agree with the Reading Room.

** Mr. Winterrowd advised that he and Mr. Rosen were responsible for the Investigative Division rule announced on 5/5/54 that outgoing correspondence should not use the pronoun "I" except in a few unusual instances where clearly called for. P. L. Price, Chief of the Criminal Section, advised that he was told of this new rule at a Section Chief's Conference with Mr. Rosen on the morning of 5/5/54, and after having several outgoing letters returned that day for the deletion of the word "I", he returned [redacted] memo at 5:10 PM that day after observing the word was used in several places in the reply to the Attorney General. Had the memorandum been approved without this change, it would have reached Mr. Boardman's office within 48 hours after being received in the Investigative Division.

Mr. Winterrowd advised that regular procedures followed in the Criminal Section of the Investigative Division require that outgoing communications similar to the one involved in this matter would first be proofread by the stenographer, approved by the supervisor who prepared it, Unit Chief, No. 1 Man of the Criminal Section, Section Chief, No. 1 Man of the Division, and the Assistant Director of the Division before finally sending it on to Mr. Boardman's office (minimum of 6 readings within division). Mr. Winterrowd pointed out, however, that in certain instances where it is necessary to expedite a memo or other communication, the supervisor may bring it directly to him for approval and forwarding to Mr. Rosen in which instances the supervisory officials who were bypassed are furnished tickler copies. When Mr. Rosen is absent, Mr. Winterrowd may initial mail for him.

There is no indication that the reading of mail such as this item by the Unit Chief or the Number One Man of the Section contributed materially to any delay. However, an examination of this procedure to determine whether there is any duplication or whether streamlining could be employed further will be made, if approved, by Inspector Van Pelt, in both the Domestic Intelligence Division, where a similar procedure exists, and in the Investigative Division. This matter will be presented to the Executives Conference within the next few days.

RECOMMENDATIONS:

1. Mr. Rosen, absent from city, has not been contacted in this matter. He should be censured for:

- (a) Improper procedure contrary to basic Bureau procedures existed in his division whereby all memos to Attorney General had to be without erasures.
- (b) Rosen and Winterrowd announced a self-devised rule that the pronoun "I" could not be used in outgoing correspondence except in unusual situations. Strict interpretation of this rule and application to typed correspondence already on hand created needless work.
(CENSURE LETTER IS ATTACHED)

2. Inspector Van Pelt be authorized to make a study in the Domestic Intelligence Division and in the Investigative Division concerning the number of persons who are required to read outgoing correspondence to see if any streamlining measures can be effected, and thereafter prepare findings for presentation to the Executives Conference. If this is approved the matter will be handled before the end of the current inspection of the Domestic Intelligence Division.

b6

3. No other action needed at this time....administrative action as to Winterrowd, Price, [] is pending now.

DETAILS

None...All included in Synopsis.

C. G. Smith

June 2, 1954

Mr. J. P. Mohr
Assistant Director
Federal Bureau of Investigation
Washington, D. C.

Dear John:

If this is the correct procedure,
please indicate the beneficiary on the
Agents Insurance Fund, of which I am a
member, to read as being Lena Rosen, my
Mother, and also on the Ross Fund.

Sincerely,

Alex Rosen

Alex Rosen

*Let to Rosen
6-3-54 C. G. Smith*

*Form made and
sent to file,*

6-3-54

*agc
BHB*

RECORDED-54

35
4 JUN 14 1954

67-27215-326	
Searched	180
Numbered	
1 JUN 10 1954	
FBI	

3 M. J.

May 12, 1954

Mr. Al Rosen
2859 Hampton Road, S. E.
Shaker Heights, Ohio

Dear Al:

Miss Gandy advised me of your call extending congratulations upon my Thirtieth Anniversary as Director of the FBI, and I want you to know that your thoughtfulness meant a great deal to me. It was very kind of you to take the time to call, especially in view of your own personal situation, and I am very grateful.

With kindest personal regards,

I am

Sincerely,

J. Edgar Hoover

/s/ J. Edgar Hoover

JEH:EH:d

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Gearty _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
Miss Gandy _____

8 OCT 4 1954

SENT FROM S O
TIME 5:02 PM
DATE 5-13-54
BY aw



UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

3-122

In Reply, Please Refer to
File No.

WASHINGTON 25, D. C.

Director
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

Dear Sir:

For inclusion in the fund to be paid to the designated beneficiary of any Special Agent, of the FBI who has previously contributed to this fund and who dies from any cause except self-destruction while employed as a Special Agent. I am forwarding herewith (by CHECK - MONEY ORDER) the sum of \$10, payable to the Assistant Director, Administrative Division, FBI, to be included in said fund. Payment will be made for death by self-destruction after the Agent has been a member of the fund for a continuous period of two years. It is understood and agreed that the sum tendered herewith is a voluntary, gratuitous contribution to said fund which I understand is to be administered in the following manner. The liability of the fund shall not under any circumstances exceed the amount of monies in the fund at the time any liability shall occur.

The Director of the FBI will appoint a committee which shall consider all matters pertaining to the acquisition, safe keeping and expending of said fund, which committee will recommend appropriate action to the Director in pertinent matters. The Assistant Director of the Administrative Division of the FBI shall receive all contributions and account for same to the Director. Upon the death of any Special Agent who is a member of said fund the appointed committee will consider the case and submit a recommendation to the Director as to its conclusions. Appropriate instructions will then be issued to the Assistant Director of the Administrative Division, directing him to pay to the designated beneficiary the sum of \$10,000. The following person is designated as my beneficiary for FBI Agents' Insurance Fund:

Name LENA ROSEN Relationship MOTHER Date 6-2-54
Address 2859 HAMPTON RD. S.E. SHAKER HEIGHTS, OHIO

The following person is designated as my beneficiary under the Chas. S. Ross Fund providing \$1500 death benefit to beneficiary of agents killed in line of duty.

NAME SAME Relationship — Date —
Address SAME

FOR CHANGE OF BENEFICIARY ONLY

Very truly yours,

Alex Rosen
Special Agent

JUN 3 1954

CHARGES ON YOUR MSG TO CLEVELAND OHIO ARE

.94 PLUS 9 CENTS TAX TOTAL 1.03

MAY 5, 1954

NIGHT LETTER

MR. ALEX ROSEN
2859 HAMPTON ROAD, SOUTHEAST
CHAKER HEIGHTS
CLEVELAND, OHIO

I WAS VERY SORRY TO LEARN OF THE PASSING OF YOUR FATHER EARLIER
THIS EVENING. I REGRETTED THAT I HAD NOT BEEN INFORMED OF THIS
PRIOR TO YOUR LEAVING TOWN AS I WOULD LIKE TO HAVE TALKED TO
YOU PERSONALLY TO EXPRESS MY DEEP REGRET AND HEARTFELT
SYMPATHY. IF THERE IS ANYTHING THAT ANY OF US CAN DO, DON'T
HESITATE TO CALL ON ME. MY THOUGHTS ~~AND DESIRES~~ WISHES ARE
WITH YOU.

SINCERELY,

JOHN EDGAR HOOVER

LEN:rcw

U.S. DEPARTMENT OF JUSTICE
COMMUNICATIONS SECTION

MAY 6 1954

WESTERN UNION

52 MAY 1954

Tolson
Ladd
Clegg
Glavin
Nichols
Tracy
Harbo
Mohr
Tele. Rm.
Holloman
Gandy

Mr. Tolson
MAY 28 1954

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Winterrowd *W*

FROM : Joyce M. Thau *218*

SUBJECT: *M O*

DATE: May 18, 1954 *1 per D*

Tolson _____

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Rosen _____

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Tele. Room _____

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Gandy _____

I spoke with Mr. Rosen this afternoon while you were out of the office.

He indicated he would probably return to Washington on Sunday, May 23, and would be in the office on Monday, May 24.

JMT

✓ *gr*

NOT RECORDED-1

3

Lucy

218

off

89 MAY 26 1954

June 3, 1954

Mr. Alex Rosen
Assistant Director
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

In accordance with your request, your beneficiary under both the Special Agents' Insurance Fund and the Charles S. Ross Fund has been changed to your mother, Mrs. Lena Rosen.

Sincerely yours,

John Edgar Hoover
Director

COS:jmr *[Signature]*

RECORDED

67-27215-327
Searched _____
Numbered _____
1 JUN 10 1954
FEDERAL BUREAU OF INVESTIGATION
RECEIVED READING ROOM
JUN 11 28 AM '54

[Handwritten signature]

JUN 14 1954

- Tolson _____
- Boardman _____
- Nichols _____
- Belmont _____
- Glavin _____
- Harbo _____
- Rosen _____
- Tamm _____
- Tracy _____
- Mohr _____
- Winterrowd _____
- Tele. Room _____
- Holloman _____
- Miss Gandy _____

MAILED 16
JUN 4 1954
COMM - FBI

[Handwritten signature]

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Tolson

FROM : R. T. Harbo

SUBJECT: A. ROSEN
ASSISTANT DIRECTOR
INVESTIGATIVE DIVISION

DATE: 6/17/54

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Tele. Room _____
Holloman _____
Miss Gandy _____

In pursuance with the Director's instructions a survey was made of the Accounting and Fraud Section in connection with weaknesses discovered in the case entitled "Utah and White River Bands of Ute Indians vs. United States, Court of Claims #45751, Court of Claims."

Personnel under Assistant Director Rosen's general supervision were involved in latter case as follows: (1) Supervisor C. J. Moran and Supervisor in Charge [redacted] failed to make inquiry of Salt Lake City as to the attention being given instant investigation following receipt of Salt Lake City report 4/21/54 showing a 2-day investigative period and 30 days' work remaining to be completed, (2) Supervisor J. H. Carlson and Supervisor in [redacted] sent an air-tel to Salt Lake City 6/3/54 requesting explanation for delay instead of using faster communication, (3) neither Carlson nor [redacted] informed Evans of this situation as they had been instructed to do in matters of this type, and (4) Section Chief Evans and Division No. 1 Man Winterrowd failed to follow through to insure that a teletype requesting explanation for delay at Salt Lake City was sent 6/8 instead of 6/9/54.

Instant case is the 6th in the past six months involving lax supervision reflecting upon the administration of the Accounting and Fraud Section and it appears he has not taken effective action to insure the proper functioning of the section.

RECOMMENDATION:

Assistant Director Rosen

cc: ~~Mr. Rosen~~
Mr. Boardman
Mr. Mohr (Att: H. L. Edwards)
Mr. Sizoo

HCVP:mew

JUL 2 1954

W. Y. measure & probation
to Mrs. Rowland
DCM: [redacted] 6/22/54

67-27215-328	
Searched
censure and probation.	
11 JUN 20 1954	
FEDERAL BUREAU OF INVESTIGATION	

Mr. Tolson

6/17/54

R. T. Harbo

**SURVEY OF ACCOUNTING AND FRAUD SECTION
INVESTIGATIVE DIVISION**

SYNOPSIS

Inquiry conducted by Inspectors H.C. Van Pelt, B. C. Brown, and C. W. Stein in pursuance with Director's instructions following weaknesses discovered in the handling of the case entitled, "Utah and White River Bands of Ute Indians vs. United States, Court of Claims No. 45751, Court of Claims."

OPERATIONS:

Section established November, 1953, to consolidate Accounting Unit, Bribery and Fraud Unit, and Selective Service and Veterans Administration Unit and effect closer coordination of related violations. Has estimated 12,500 cases pending in 34 classifications, principally in Accounting, Anti-trust, Civil, Fraud and Selective Service categories. Headed by Section Chief Courtney A. Evans, assisted by #1 Man A. J. McGrath and three Supervisors-in-Charge, (1) [redacted] of the Accounting Unit, which has six supervisors, (2) W. J. Hurley of the Bribery and Fraud Unit, which has eight supervisors, and (3) [redacted] of the Selective Service and Veterans Administration Unit, which has five supervisors.

Prior survey in March, 1954, made by Inspector J. E. Nugent at which time all pending accounting investigations were reviewed and recommendations made for improved operations. Current survey shows history of six unfavorable incidents in past six months involving lax supervision reflecting upon the administration of the Section. Administrative organization of Section found to be generally sound, and the weaknesses in the above-mentioned cases appear to be the result of human failings to the extent that a change of leadership and more aggressive supervision seem warranted.

Attachments
HCVP:db

cc: Mr. Rosen
Mr. Boardman
Mr. Mohr (Attn: H. L. Edwards)
Mr. Sizoo

cc: ~~SOG Personnel Files:~~
~~E. H. Harrington~~
~~J. R. Tierney~~
~~H. J. Smith~~

cc: SOG Personnel Files:
L.A. Baker

[redacted]
H.M. Clegg

[redacted]
C.J. Moran
J.H. Carlson

[redacted]
C.A. Evans
E.H. Winterrowd
A. Rosen

4 JUN 25 1954

NOT RECORDED

8

During current survey all available supervisory personnel of Section, except two Agents supervising Selective Service investigations, were interviewed and results made matter of record in their personnel files. Procedures set up in the Section in pursuance with the Director's instructions following Inspector Nugent's survey in March 1954, concerning the centralized control of accountants and accounting functions, were found to be satisfactory. Voluntary overtime for May 1954 averaged 2 hours 13 minutes and was found to be equitably distributed. The six unfavorable incidents which occurred during the past six months are:

(1) In November 1953 the Section prepared a memorandum for the Attorney General to enable him to publicly answer an attack against the Bureau in connection with the Obstruction of Justice - Bribery - Conspiracy investigation regarding Eugene Smaldone, et al. The memorandum did not fully set forth pertinent information depicting the important and valuable work performed by the Bureau in this investigation although the facts went to the very heart of the memorandum to the Attorney General. Administrative action taken resulted in censure for Section Chief Evans, 12/8/53. b6

(2) In February 1954 serious inadequacies and weaknesses were disclosed on the part of the Houston Office and the Accounting and Fraud Section in connection with a Fraud Against the Government case involving Brown and Root. Administrative action taken resulted in censure for Assistant Director Rosen and Division No. One Man Winterrowd, censure and probation for Section Chief Evans and Supervisor in Charge Hurley, censure, probation and transfer for Supervisor [redacted] as well as action concerning the field.

(3) In March 1954 it was determined that the Houston and San Antonio Offices and the Accounting and Fraud Section had improperly handled a National Bankruptcy Act - Mail Fraud case involving one [redacted]. Reports submitted failed to reflect complete and exhaustive interviews, and other weaknesses. Administrative action resulted in censure for Section Chief Evans, Section No. One Man McGrath and Supervisor in Charge [redacted]. b6

(4) In March 1954 the Accounting and Fraud Section at the suggestion of the SAC at San Juan recommended sending a Special Agent Accountant to San Juan on special assignment in connection with a Fraud Against the Government case involving [redacted]. b6

[redacted] The Section was not in possession of all the facts in this case to the effect that a qualified accountant was assigned to the San Juan Office already when the recommendation was made. This resulted in needless expense to the Bureau. Administrative action taken resulted in censure for Supervisor [redacted].

8

b6

Supervisors in Charge Hurley and [redacted] Section Chief Evans,
Division No. One Man Winterrowd and Assistant Director Rosen.

(5) On 3/3/54 SAC Milnes of St. Louis was censured and placed on probation as a result of his deficiencies in handling the [redacted] Antiracketeering, Labor Management Relations Act case. Although no disciplinary action was taken against Seat of Government personnel in this case, the Director and Mr. Tolson commented that "This matter has not been vigorously handled either at the Seat of Government or at St. Louis." It was supervised in the Accounting and Fraud Section.

b6

(6) This unfavorable incident involves the following case wherein administrative action has not yet been taken.

8 ob

CHRONOLOGY OF DEVELOPMENTS IN
INSTANT COURT OF CLAIMS CASE

On 3/9/54, Bureau memorandum sent Salt Lake City transmitting copies of memorandum received from Deputy Attorney General William P. Rogers requesting audit of records of Uintah Irrigation Project, Ft. Duchesne, Utah, in civil suit against United States by two Ute Indian tribes alleging misuse of tribal funds in irrigation project. The Bureau's memorandum indicated tentative trial date of 6/21/54, instructed that inquiry be made and report submitted by 3/24/54. Report of Special Agent Accountant (SAA) Leverette A. Baker 3/22/54 received 3/24/54 showing investigative period of five days, describing records available and setting out leads for audit at Salt Lake City. Second report of SAA Baker 4/21/54 received 4/23/54 showing investigative period of two days and estimating at least 30 working days required to complete audit. Third report of SAA Baker 5/28/54 received 6/1/54 showing investigative period of six days and again estimating at least 30 working days required to complete audit.

Salt Lake City airtel 5/27/54 also received 6/1/54 indicating improbable that audit could be completed by 6/21/54. Bureau airtel 6/3/54 sent Salt Lake City advising investigation must be completed by 6/21/54, requesting airtel explanation for delay and plans for completion of investigation by 6/21/54. Airtel reply 6/5/54 received 6/7/54 containing request for four additional accountants. Bureau teletype sent Salt Lake City 6/9/54 requesting explanations and recommendations regarding administrative action.

Explanations received from Salt Lake City show (1) SAA Baker's report 4/21/54 should have contained investigative period of 4/2-16/54; (2) His report 5/28/54 should have contained investigative period of 4/19-23, 5/3-7 and 18-21/54; (3) Responsibility for failure to set forth the correct investigative periods could not be resolved because the reports were prepared from rough drafts which have been since destroyed; (4) Case was under direct supervision of SAC [redacted], who diverted SAA Baker from this assignment to participate in self-inspection of Salt Lake City Office 5/10-14/54; and (5) SAA Baker found additional records 5/21/54 and determined on that date that his prior estimate of 30 working days to complete the audit was inaccurate. ASAC Clegg advised, in SAC [redacted] absence, "No administrative action is recommended." Although specifically requested to advise as to the exact investigative periods of SAA Baker's above-two reports, ASAC Clegg failed to clearly set forth such information and it was necessary to place a long distance telephone call to him to obtain same.

8 Ob

WEAKNESSES OBSERVED

FIELD:

(1) Special Agent Accountant Leverette A. Baker.....(a) Failure to take action from 5/21 until 5/27/54 in notifying Bureau the investigation could not be completed by 6/21/54, after he found additional records 5/21/54 and determined that his prior estimate of 30 days work remaining to be completed was inaccurate; (b) Then informing the Bureau by airtel 5/27/54 instead of using more expeditious means of communication; and (c) Subsequently advising the Bureau by airtel 6/5/54 instead of utilizing faster communication that four additional accountants would be required.

(2) Relief Supervisor [REDACTED].....Approved the 6/5/54 airtel instead of using more expeditious means of communication in informing the Bureau that four additional accountants were needed to complete instant investigation. b6

(3) ASAC Heber M. Clegg.....(a) Approved the 5/27/54 airtel instead of utilizing more expeditious means of communication in notifying the Bureau that it appeared improbable instant investigation could be completed and a report submitted by 6/21/54; (b) Failed to clearly advise the Bureau of exact investigative dates of SAA Baker's reports of 4/21 and 5/28/54 as telephonically instructed by Inspector Van Pelt 6/10/54 and, thus, put Bureau to unnecessary expense of another call to obtain such information; and (c) Failed to recognize above weaknesses attributable to Salt Lake City's personnel and recommend administrative action.

(4) SAC Arthur Cornelius, Jr.....(a) Removing SAA Baker from instant investigation 5/10-14/54 to assist in self-inspection of Salt Lake City Office, and (b) Failure to sufficiently impress upon personnel of Salt Lake City Office the necessity of correctly setting forth investigative periods of reports and the importance of expeditiously notifying the Bureau of developments arising precluding the meeting of deadlines, and (c) Failure to sufficiently impress upon ASAC Clegg the necessity for furnishing complete information to the Bureau, and to recognize personnel weaknesses and make pertinent recommendations concerning administrative action.

SEAT OF GOVERNMENT:

(1) Supervisor Christopher James Moran.....Failure to initiate sufficient and vigorous inquiry of Salt Lake City Office upon receipt of SAA Baker's report of 4/21/54 to ascertain attention being afforded instant investigation.

(2) Supervisor John Howard Carlson.....(a) Prepared airtel to Salt Lake City 6/3/54 instead of using more expeditious means of

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b6

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(c) Latter case is the sixth in the past six months involving lax supervision reflecting upon the administration of the Accounting and Fraud Section and it appears Winterrowd has not taken effective action to insure the proper functioning of the Section.

(6) Assistant Director A. Rosen.....(a) Weaknesses described above attributable to personnel under his control, and (b) Latter case is the sixth in the past six months involving lax supervision reflecting upon the administration of the Accounting and Fraud Section and it appears he has not taken effective action to insure the proper functioning of the Section.

RECOMMENDATIONS

1. Special Agent Accountant Leverette A. Baker, Salt Lake City, non-veteran, not on probation.....Censure and probation.

2. Relief Supervisor [REDACTED] Salt Lake City, non-veteran, not on probation.....Censure.

b6

3. ASAC Heber M. Clegg, Salt Lake City, non-veteran (Director approved attached memorandum of H. L. Edwards 6/9/54 recommending ASAC Clegg's removal from probation but the letter advising ASAC Clegg of such action is being held in abeyance pending on the Director's consideration of this recommendation).....Censure, continuation on probation and rescinding of action taken to remove him from probation concerning which he has not yet been advised.

4. SAC Arthur Cornelius, Jr., Salt Lake City, non-veteran, not on probation.....Censure and probation. Suggest holding in abeyance until personal explanation of SAC Cornelius is obtained. He is presently on annual leave driving across country back to Salt Lake City. Will return to Salt Lake City 6/22/54.

5. Supervisor Christopher James Moran, Investigative Division, non-veteran, not on probation.....Censure.

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7. Supervisor in Charge [redacted] (GS-14,
Investigative Division, nonveteran, not on probation..... Censure,
probation, removal as Supervisor in Charge and transfer to field.
(This will involve reduction from GS-14 to GS-13.)

8. Courtney A. Evans, (GS-14), Chief, Accounting and Fraud Section, Investigative Division, nonveteran, not on probation..... Censure, probation and removal.

Messrs. Rosen, Boardman, and Callahan for Mohr agree.

9. Earl Hugo Winterrowd, No. 1 Man, Investigative Division nonveteran, not on probation.....Censure and Probation.

Messrs. Rosen, Boardman, Callahan for Mohr, and Sizoo agree.

10. A. Rosen, Assistant Director, Investigative DivisionCensure and probation.

Messrs. Rosen, Boardman, Callahan for Mohr, and Sizoo agree.

11. Three Agents not involved in any work delinquencies were recommended by the Inspector for transfer to the field as a means of improving the caliber of supervision in the Accounting and Fraud Section. A separate memorandum has been submitted as to these three Agents along with supporting write-ups as to each Agent.

Permanent briefs are attached for all employees except Mr. Rosen. For the Director's convenience, separate memoranda are attached concerning each employee named herein.

DETAILS

All included in synopsis and in individual memoranda on employees named.

Best Copy Available

June 23, 1954

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-07-2010

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have carefully reviewed the results of a survey conducted by the Training and Inspection Division into the handling of the case entitled "Pineau and White River Bands of the Indians of the United States, Court of Claims Number 22751, Court of Claims," by the Accounting and Fraud Section of the Investigation Division. Among the weaknesses noted in the handling of this case by personnel under your supervision was the failure to make inquiry of the Salt Lake City Office as to the attention being given this investigation following the receipt of a report submitted by the Salt Lake City Office dated April 21, 1954, reflecting a two-day investigative period and thirty days' work remaining to be completed; an air-tel was sent to the Salt Lake City Office on June 3, 1954, requesting an explanation for the delay in this investigation instead of using more expeditious means of communication and the supervisors responsible for sending this air-tel neglected to inform the section chief of this situation as they had previously been instructed to do in matters of this type; Section Chief Courtney A. Evans and Mr. A. Hugo Winterford, the number one man in the Investigation Division, failed to follow through to insure that a teletype requesting an explanation for the delay in the Salt Lake City Division was sent June 6 instead of June 3, 1954. This case is the sixth in the past six months involving lax supervision in the administration of the Accounting and Fraud Section and it is apparent that you have not taken effective action to insure the proper functioning of the section.

RECORDED-78

67-27215-389

In view of this situation you are being placed on probation. I shall expect you to take immediate measures to clean up the administration of the Accounting and Fraud Section to insure that there will be no recurrence of such inadequate supervision among personnel of that section.

Tolson
Boardman
Nichols
Belmont
Harbo
Mohr
Parsons
Rosen
Tamm
 Sizoo
Winterrowd
Tele. Room
Holloman
Gandy

Mr. Tolson (Personal Attention)
Mr. Boardman "
Movements, Louis Blackerman

JUN 23 1954

MAILED 31

John Edgar Hoover
Director

Mr. Tolson

6/18/54

R. T. Harbo

**SURVEY OF ACCOUNTING AND FRAUD SECTION
INVESTIGATIVE DIVISION**

1A. ROSEN

A separate memorandum has been submitted as to the findings of the Inspectors in above-captioned survey. It is the purpose of this memorandum, however, to record that all available Agent personnel (except two Selective Service Supervisors) assigned to the Accounting and Fraud Section were interviewed.

The Inspectors felt that the caliber of supervision could be tightened and improved in the Accounting and Fraud Section if three Agents were transferred to the field, not because of any specific work delinquencies on their part but because in the opinion of the Inspectors they do not show promise for advancement or because they lack essential qualities such as aggressiveness.

The three Agents are:

1. Murdo J. Smith, Veterans Administration Matters Desk.
2. [REDACTED] Bribery and Fraud Unit.
3. [REDACTED] Miscellaneous Fraud Desk.

There is attached for each Agent named a write-up prepared by the Inspector and the permanent brief for each Agent.

RECOMMENDATION:

The three Agents named be replaced as supervisors at the Seat of Government.

Messrs. Rosen and Boardman concur in the recommended transfers.

Tolson _____
Ladd _____
Nichols _____
Belmont _____
Clegg _____
Glavin _____
Harbo _____
Rosen _____
Tracy _____
Gearty _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
Miss Gandy _____

Attachments

EDJ:mew

cc: Mr. Rosen
Mr. Boardman
Mr. Mohr (Attn: H. L. Edwards)
Mr. Sizoo

17 JUL 6 1954

June 22, 1954

COMM - FBI



IN REPLY, PLEASE REFER TO
FILE NO. _____

United States Department of Justice
Federal Bureau of Investigation
617 Walnut Street, S. W.
Knoxville, Tennessee
June 18, 1954

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-07-2010

Mr. J. Edgar Hoover
Director
Federal Bureau of Investigation
U. S. Department of Justice
Washington, D. C.

PERSONAL AND CONFIDENTIAL

RE: HARRY D. LORANCE

Dear Sir:

The writer had occasion recently to be with HARRY D. LORANCE, Claims Manager, Super-Service Motor Freight Company, Incorporated, Nashville, Tennessee, when he mentioned the fact that he was leaving next week to attend the American Trucking Association convention in St. Louis. He is, as you will recall, National Chairman, National Freight Claims Council, of this Association.

There exists no doubt that he is a great personal admirer of yours and he was most appreciative of your sending him your personally autographed picture, and I know you will be interested in his having told me that he is looking forward to seeing again FRANK L. PRICE, who is scheduled to address the members of the Association. He repeated to me the fact that the conference held in Washington with Mr. AL ROSEN, FRANK L. PRICE, and others made for the closest possible relationship with the representatives who were in attendance, and it is obvious he will remain willing to be of assistance to us whenever possible. I thought that you would be interested in knowing that he is still an ardent supporter of the Bureau, and particularly his impression of the favorable reaction made by AL ROSEN, PRICE, and the others who met with them.

This I believe is best evidenced by the fact that the Association, as you know, and mainly through his committee, has been responsible for specific instructions to trucking lines as to the manner in which they are to handle promptly reports to the FBI in the future. Through him it is felt favorable action can be taken with respect to any problems that might exist in our relations with trucking agencies meeting at this convention.

RECORDED - 97

INDEXED - 97

EX-130

17 JUN 20 1954

Special Agent in Charge

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Rosen

DATE: June 15, 1954

FROM : F. L. Price

SUBJECT: UNKNOWN SUBJECT;
EVELYN ANNE SMITH - VICTIM;
KIDNAPING

Call: 3:50 p.m.

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Mohr
Winterrowd
Tele. Room
Holloman
Miss Gandy

SAC Norris called from Phoenix to advise that the "Phoenix Gazette" of Tuesday, June 15, 1954, which had hit the street just before he called, carries a lead editorial critical of the FBI's handling of this case. This editorial, which appears on page 6 of the "Gazette," is as follows:

"FBI RECORD IN THE SMITH CASE

"Criticizing the Federal Bureau of Investigation is a lot like criticizing the Marines. The critic is asking for trouble. But the fact of the matter is that the FBI loused up the Smith-kidnaping case here in Phoenix last week, and we think it is high time to say so.

"Phoenix Police Chief Charles P. Thomas put his finger on the heart of the matter when he said cooperation with the FBI was a one-way street. Local police authorities gave the Federal agents all the information they had. The FBI men wouldn't tell police and sheriff's deputies the time of day.

"On one occasion, after Mrs. Evelyn Anne Smith was on her way home, the FBI men wouldn't even tell the police chief that she had been released. At the Smith home, half a dozen agents kept her incommunicado, not even allowing Chief Thomas to talk to her. Out in the Superstition Mountain area, sheriff's deputies were manning a road block and looking for a fugitive of whom they didn't even have a description because FBI men wouldn't allow anyone to talk to Mrs. Smith. The press received just as arrogant treatment. After sitting on the story for more than twenty-four hours at the request of the FBI, newspapermen weren't allowed to talk to Mrs. Smith when she returned home. At this point, secrecy was of no benefit, if it ever had been. The best thing to do was broadcast a description of the alleged criminal with full details that might lead to his apprehension, but the FBI blacked out the newsmen along with everyone else.

OFM:mfh

cc - Mr. Nichols

SEE ADDENDUM PAGE TWO

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OD

Handwritten: *Don't say anything more about this case*

"We don't want to seem unappreciative of the help afforded by the FBI. No one who has seen a Federal agent in action can doubt the very great professional skill that the FBI brings to its work. But it is obvious that a more cooperative attitude on the part of the Federal men in the Smith case would have brought better results."

"There is good reason to believe that the Smith case has been cracked, but it's no thanks to the FBI. A suspect is under arrest. He was picked up by city police. The ransom money has been turned up. It was spotted by city detectives. The FBI agents have pulled out. The next time they go to work on a Phoenix case, we trust they won't regard local law-enforcement authorities as country yokels unworthy of the slightest confidence."

SAC Norris advised he has received no inquiries concerning this editorial.

ACTION:

SAC Norris was instructed to forward the editorial to the Bureau immediately.

Jim

ADDENDUM: June 15, 1954 (LVB:WMJ)

It would appear from the above-quoted editorial that Chief Thomas not only went before the City Council, but also went to the editorial writer of the "Phoenix Gazette." The FBI from the inception of this case has maintained a "no comment" position and obviously did not ask the newspaper people "to sit on the story."

L. V. Boardman

Boardman

You & Rosen had better look to your own reputation for cause of this vicious attack on FBI. The press was not properly treated for if it had been more of this would

Handwritten: I have not received any more information about this case. I have come about 100 miles to see you.

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Tolson *per B*

DATE: 7/9/54

FROM : E. D. Mason

SUBJECT: ALEX ROSEN
ASSISTANT DIRECTOR
INVESTIGATIVE DIVISION

Tolson	✓
Ladd	✓
Nichols	✓
Belmont	✓
Clegg	✓
Glavin	✓
Harbo	✓
Rosen	✓
Tracy	✓
Mohr	✓
Trotter	✓
Winklerowd	✓
Tele. Room	✓
Holloman	✓
Miss Gandy	✓

BACKGROUND

Mr. Rosen entered on duty as a Special Agent October 16, 1933, and subsequently served in the following field offices: New York City, Buffalo, (Supervisor, Investigative Division), New Orleans, Washington, D. C., (Supervisor, Investigative Division), Washington Field Office (Investigative Division), ASAC, Cleveland, SAC Cleveland (2/16/39), Inspector, Investigative Division and he was designated Assistant Director, Investigative Division 2/13/42.

By letter June 22, 1954, Mr. Rosen was censured because the Training and Inspection Division detected three Supervisors in the Accounting and Fraud Section who did not have promise for advancement and Mr. Rosen was charged with lax administration of the Investigative Division for failing to detect this himself.

Mr. Rosen was placed on probation by letter June 22, 1954, because of the inadequate handling by the Accounting and Fraud Section of the case entitled, "Uintah and White River Band of Ute Indians vs. United States, Court of Claims." On this occasion the Section Chief of the Accounting and Fraud Section was removed. Other administrative action taken affected a number of people at the Seat of Government and in the field. The No. One Man of the Division was placed on probation. This was the sixth case in the past six months involving lax supervision in the administration of the Accounting and Fraud Section. This situation necessitated a change of leadership and more aggressive supervision for the Accounting and Fraud Section. Mr. Rosen should have recognized such a need and should have initiated such action. Among the six weaknesses mentioned were:

a. In November 1953 an inadequate memorandum prepared for the Attorney General in connection with an attack against the Bureau with regard to the Obstruction of Justice - Bribery Conspiracy investigation regarding Eugene Smaldone, et al.

b. In February 1954 serious inadequacies and weaknesses on the part of the Houston Office and the Accounting and

89 JUL 22 1954

cc - Mr. Mohr
EDM:cs

*Letter of censure to
Mr. Rosen*

2/14/54

*30 PM
7/12/54*

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Fraud Section in connection with a Fraud Against the Government case involving Brown and Root. Mr. Rosen was censured on this occasion.

c. In March 1954 the Houston and San Antonio Offices improperly handled a National Bankruptcy Act - Mail Fraud case involving one [redacted] b6

d. In March 1954 the Accounting and Fraud Section at the suggestion of the SAC, San Juan recommended sending a Special Agent Accountant to San Juan on special assignment to handle a Fraud Against the Government case when such action was not necessary and needless expense was incurred by the Bureau. b6

e. On March 3, 1954, SAC Milnes of St. Louis was censured and placed on probation as a result of deficiencies in the handling of an Anti-Racketeering - Labor Management Act Relations case involving [redacted] No disciplinary action taken against Seat of Government; however, Director commented "This case has not been vigorously handled either at the Seat of Government or at St. Louis."

On May 13, 1954, Mr. Rosen was censured because he permitted a procedure to exist in the Investigative Division which required unnecessary retyping of correspondence to the Attorney General. He had established a procedure not countenanced by Bureau rules which forbid an erasure even though expertly done in a communication to the Attorney General. He was charged with a failure to exercise a proper degree of foresight, and a willingness to permit a waste of employee time. b6

In connection with the case entitled [redacted] et al (\$150,000 in art objects), "certain telephone conversations were held on March 3 between Assistant Director Rosen and SAC Banister, then at Chicago. A discrepancy arose as to who said what over the telephone and it was never possible to clearly resolve the discrepancies.

Under date of March 4, 1954, Mr. Rosen was censured for the dilatory manner in which a request of the Oklahoma City Division was handled in connection with approval of certain correspondence to a United States District Judge at Tulsa, Oklahoma. Although the Oklahoma City request was received in the Investigative Division on February 15, 1954, the appropriate memorandum was not completed until February 19, 1954.

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Under date of December 29, 1953, Mr. Rosen was censured for his division not being sufficiently alert to realize that there were limitations to the published list of ransom bills in connection with the [redacted] kidnaping and to take steps to see that the field was advised of these limitations. If he had taken such action a great deal of investigative time and effort could have been saved in the tracing out of two \$20 bills which were not a part of the ransom.

b6

Mr. Rosen was commended November 17, 1953, for his splendid contributions to the special Kidnaping School held from October 26 to November 6, 1953.

b6

On October 23, 1953, the Director's appreciation to the personnel of the Investigative Division was conveyed to Mr. Rosen for the handling of the [redacted] kidnaping case.

b6

By letter October 16, 1953, Mr. Rosen was censured for glaring errors committed by personnel of his division in the [redacted] kidnaping case, unwarranted delay in bringing to the Director's attention the fact that the fingerprints of one of the subjects arrested at St. Louis were not identical with the fingerprints of another individual with a similar name who has a criminal record which had been previously released by the Bureau as pertaining to the individual in custody at St. Louis.

Mr. Rosen received a letter October 16, 1953, in connection with his twentieth anniversary in the Bureau.

PRESENT SITUATION

During the recent Smith kidnaping case at Phoenix as described in a separate memorandum, the Investigative Division was charged with Seat of Government supervisory aspects. In synopsis it may be said that on the part of the Investigative Division there was an inadequate degree of visionary initiative, ingenious aggressive control and instructions.

Specifically Mr. Rosen is charged with the following weaknesses:

a. The instruction which Mr. Winterrowd gave Bryce that the press and police should not know, if at all possible, SACs Malone and Bryce were handling the investigation was unrealistic. Bryce is well known throughout the Southwest; he was working in the victim's home, police and press were also in the victim's home.

h. Mr. Winterrowd, Mr. Rosen's No. One Man, was put on notice at 11:28 p.m., Washington time, June 10, that the Phoenix Chief of Police had become somewhat "exercised" when he did not get into the room to interview the victim. This should have been a signal for Winterrowd to inquire as to relationships with the police and Mr. Rosen must share responsibility for Winterrowd's action although this incident was not called to Mr. Rosen's personal attention as far as we can tell.

i. Mr. Rosen claimed he noted the inadequacy of the summary memorandum prepared by SAC Malone but took no action at that time inasmuch as the Director had instructed Mr. Harbo to proceed to Phoenix to inquire into the handling of the case. Mr. Nichols first went on record concerning the inadequacy of the memorandum.

j. The "no comment" policy toward the press prevailed even after the release of the victim, which fact was known to the newspaper representatives. Mr. Rosen should have taken steps toward recommending a change in this policy to the extent of allowing the FBI to confirm what the newspapermen already knew.

EVALUATION

Mr. Rosen has been in the Investigative Division since June 17, 1939. Since then he has had no personal experience in the field for any extended time. He has shown that he has ability. However, the recent record of the Investigative Division indicates that a man of even greater ability and with a strong background of recent field investigative experience could probably do a better job.

It does not appear that Mr. Rosen or the Investigative Division under his direction have set the example for the field in providing directions of a sufficiently aggressive nature and reflecting the desired degree of initiative and ingenuity and prompt, on-the-spot decisions. Mr. Rosen could undoubtedly function well as a Special Agent in Charge. In view of the above cited deficiencies it seems desirable to provide the Investigative Division with leadership of a higher quality.

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RECOMMENDATIONS

It is recommended that Mr. Rosen:

1. Be censured.

2. Be placed on probation.

3. Be removed as Assistant Director in charge of the Investigative Division.

non

ADDENDUM: LBN:arm 7/9/54. In view of Mr. Rosen's vast experience, it is suggested rather than assigning him as SAC that he be tried out on field assignments which have heretofore been handled by Mr. Connelley, in view of Mr. Connelley's retirement which will leave a vacancy in the field.

I AGREE to recommend letter to non
11-13-54 by Rosen re deficiencies
in Smith case and
that he remain on
probation 7-13

yes.
[Signature]

TO: Mr. Harbo

July 6, 1954

FROM: G. C. Gearty

SUBJECT: SPECIAL CONFERENCE 7-1-54
SAC'S AND ADMINISTRATIVE OFFICIALS
KIDNAPPING SQUAD

Reurmemo to Mr. Tolson 7-2-54 setting forth the fact that a special conference for SAC's and Administrative Officers who had been trained in the Kidnapping Squad courses as well as certain selective representatives at the Seat of Government had been held on 7-1-54.

For record purposes there is set forth below the identification of those who were in attendance at this conference. A copy of this memorandum is being designated for the personnel file of each of those who were in attendance at this conference.

SEAT OF GOVERNMENT REPRESENTATIVES

BOARDMAN, L. V.	MOHR, J. P.	GEARTY, G. C.	GALLAGHER, R. J.
NICHOLS, L. B.	PARSONS, D. J.	WINTERROWD, E. H.	HUGHES, E. H.
BELMONT, A. H.	ROSEN, A.	PRICE, F. L.	BRUGGEMAN, R. L.
HARBO, R. T.	TAMM, Q.	MYERS, O. F.	

(SPECIAL AGENT IN CHARGE)

HOSTETTER, D. S. (SAC)	NEWARK
KELLY, J. J. "	NEW YORK
SIMON, W. G. (ASAC)	NEW YORK
HAWKINS, H. O. (SAC)	ATLANTA
POWERS, E. J. "	MIAMI
AUERBACH, R. D. "	SEATTLE
MALONE, J. F. "	LOS ANGELES
WHELAN, W. M., JR. "	SAN FRANCISCO
McINTIRE, F. H. "	DETROIT
MILNES, J. E. "	ST. LOUIS

(ADM. OFFICER - #1 MAN)

ALDEN, S. (SAC)	KNOXVILLE
CASPER, J. J. "	NEW HAVEN
BOSWELL, H. C. "	NEW ORLEANS
BURKE, W. W., JR. "	LITTLE ROCK
CHILES, M. P. "	SAN ANTONIO
BRYCE, D. A. "	ALBUQUERQUE
POSTER, J. B. "	DENVER
SANTOIANA, J. F. "	PORTLAND
BLAYLOCK, L. "	INDIANAPOLIS
WILLIAMS, W. H. "	OMAHA

GCG:wc

139
JUL 13 1954

July 14, 1954

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

The recently completed inquiry by two Bureau officials into the handling of the investigation of the kidnaping of Mrs. Evelyn Anne Smith at Phoenix, Arizona, has disclosed certain glaring deficiencies on the part of personnel of this Bureau and as Assistant Director in charge of the Investigative Division you were responsible for the proper supervision and adequate instructions to the field in this case and must share in large measure the blame for the inexcusable weaknesses which occurred.

The instructions to Special Agent in Charge Bryce that the press and police should not know that he and Special Agent in Charge Malone were handling the investigation were unrealistic since Mr. Bryce is well known throughout the southwestern part of the United States, he was working in the victim's home and it was known that the police and press were also there. The instructions issued to Special Agent in Charge Malone regarding coverage of the payoff, in which instructions you concurred, did not insist on sufficiently bold and aggressive action and although certain suggestions were offered the Special Agent in Charge they did not go far enough; furthermore, the failure to lay a proper groundwork of instructions in advance as to what to do in the event the victim's car was encountered subsequent to the payoff was clearly a weakness attributable to you and your subordinates. In addition, when instructions were issued by the Bureau for agents to stop the victim's car and determine whether she was physically present, the field was not specifically told to immediately secure a brief description of the kidnaper and ascertain whether the victim had been transported interstate. The agents handling the matter failed to do this and as a result valuable time was lost.

Furthermore, there was a delay in the field from the night of June 10, 1954, to the afternoon of June 11 before the United States Attorney was consulted for a prosecutive opinion regarding the remote possibility of an extradition violation within the jurisdiction of this Bureau and although one of your subordinates

Tolson
Boardman
Nichols
Belmont
Glavin
Harbo
Rosen
Tamm
Tracy
Mohr
Winterrowd
Tele. Room
Holloman
Miss Gandy

Mr. Boardman (Personal Attention)

89 JUL 21 1954

RECEIVED
JUL 14 1954
FBI
JUL 21 1954
JUL 27 1954

was put on notice shortly before midnight, June 10, 1954, that the Phoenix Chief of Police had become dissatisfied when he did not get into the room to interview the victim no inquiry was initiated at that time regarding relationships with the police. While it does not appear that you had personal knowledge of this delinquency it was attributable to one of your assistants in the Investigative Division. Finally, you were derelict in not recommending a change in the "no comment" policy toward the press which continued in force even after the victim had been released and this fact was known to representatives of the newspapers. Certainly good judgment would have indicated the desirability of allowing the agents in the field to confirm what the newspapermen already knew.

The above-mentioned shortcomings on the part of yourself and the Investigative Division reflect most seriously upon your administration of that division and, accordingly, you are being continued in a probationary status. I shall hold you strictly accountable for seeing to it that in the event of a similar investigation of major importance you do not permit these weaknesses to recur.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. A. ROSEN

DATE: July 16, 1954

FROM : C. H. STANLEY

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Mohr
Trotter
Winterrowd
Tele. Room
Holloman
Miss Gandy

SUBJECT: LIST OF 1124 INDIVIDUALS WHO MARTIN DIES
ALLEGED IN 1941 WERE MEMBERS OF SUBVERSIVE
ORGANIZATIONS

By memorandum dated 7/14/54, which is attached, the Department was advised that the file reviews were completed concerning the 294 individuals who appeared on a list of 1124 individuals furnished the Bureau in 1941 by Martin Dies and concerning whom the Civil Service Commission had advised no termination notices have been received. In regard to this memorandum the Director asked "Did we advise Intelligence Agencies of Defense Department re any names in their respective Departments? H."

The names furnished the Department in the memorandum dated 7/14/54, were not furnished to the Intelligence Agencies of the Defense Department on 7/14/54. The purpose of referenced memorandum of 7/14/54, was not to furnish the Department with a new list but rather to refer the Department to memoranda previously furnished it regarding a list of 294 names furnished the Department on 6/11/54, which 294 names were part of the original list of 1124 individuals.

Pursuant to the Director's instructions in his memorandum dated 7/9/54, all supervisors have been instructed that even before any list of subversives is submitted to the Department, the Intelligence Agencies of the Defense Department must be notified. These instructions will be fully carried out and even before any additional new lists are submitted to the Department the Intelligence Agencies will be advised.

BACKGROUND:

By way of background in connection with this matter, the list of 1124 individuals was furnished originally to the Department by Martin Dies on 10/17/41, and to the Bureau on 10/22/41. By memorandum dated 4/9/54, the Department requested the Bureau to furnish it with a copy of the original list furnished by Dies. The Department was furnished with a copy of this list by memorandum dated 4/16/54.

Prior to being asked for a copy of the original Dies list by the Department on 4/9/54, the Employees Security Section had started checking with the Civil Service Commission to ascertain if any of the 1124 were presently employed with the Federal Government. This check

Attachment

WDC:ln

RECORDED - 82

INDEXED-99

13 JUL 23 1954

7-1 EX - 113

EMP. SEC. SECT.

2453
PER. FILES

3 0 0

with the Civil Service Commission revealed no termination notices /// had been received regarding 294 of the 1124. Pursuant to the instructions of the Director, this information was furnished to the Department by memorandum dated 5/11/54. By memorandum dated 6/9/54, the Department requested that the Bureau furnish it with a list of the names of the 294 plus any information not previously furnished by the Bureau. By memorandum dated 6/11/54, the Department was furnished with the list of the names of the 294 and was advised that file reviews were being made and that the Department would be furnished with the results as the reviews were completed. The results of the file reviews were furnished to the Department as rapidly as possible but due to the extensive nature of this review the results were submitted as the review progressed by memoranda dated 6/24/54, 7/1/54, and 7/14/54. The memorandum dated 7/14/54, advised the Department that the file review was completed and transmitted the results of the file reviews of the last group of names of the 294 which were part of the 1124. As stated before, the memoranda dated 6/24/54, 7/1/54, and 7/14/54, did not furnish a new list to the Department but merely furnished additional information to the Department concerning the group of 294 names which the Department specifically asked for on 6/9/54. (61-7582-2402, 2420)

For your further information, at the time the list of 1124 names was furnished to the Bureau by Dies on 10/22/41, the names listed by Dies as being employed with the War Department were furnished to Brigadier General Sherman Miles, Assistant Chief of Staff, G-2, War Department, Washington, D. C., by letter dated 10/24/41. The names listed by Dies as being employed with the Navy Department were furnished to Captain T. S. Wilkinson, Director, Navy Intelligence, Navy Department, Washington, D. C., by letter dated 10/24/41. Each Intelligence Agency was advised at that time that this list emanated from Martin Dies who was Chairman, House Committee on Un-American Activities. (66-7155-9; 66-7155-53-28)

ACTION:

None. This is for your information. Pursuant to the instructions of the Director, all supervisors have been instructed that even before any additional new lists are submitted to the Department the Intelligence Agencies must be advised.

(see addendum, page 3)

[Handwritten initials]

[Handwritten signature]
OVER

8

ADDENDUM: (6/16/54)

The Director instructed that, before sending any additional lists of names to the Department, the intelligence branches of the Department of Defense are to be advised of the names contained on said lists.

It is observed, from reading the foregoing, that the memorandum dated 7/14/54 did not contain names pertaining to a new list to be furnished to the Department. It is noted, however, that the Attorney General, in his conference with the military, apparently furnished names to the armed forces contained on two lists, and indicated he was proposing to disseminate names contained on a third list. What the third list pertained to was not indicated. It could have been that the Attorney General had in mind the Dies list, involved herein. I, therefore, feel that, notwithstanding the fact that the Department has had the names contained in the Dies list since April 16, 1954, it would be desirable for us to immediately bring to the attention of the military intelligence agencies those names of pertinence to each agency contained in the group of 294 names.

I recommend that this be handled immediately, through Liaison.

L. V. BOARDMAN

I agree
✓

7-16

6/16/54:

I have requested an explanation of Mr. Rosen as to why the Defense Department names contained in the list furnished to Deputy Attorney General Rogers under cover of 7/14/54 were not furnished to the military intelligence agencies in advance of the memo of 7/14/54. I had orally instructed, and confirmed by memo to Mr. Rosen, cc Belmont, on 7/8/54, that "before sending any additional lists of names to the Department, the Army, Navy and Air Force, through the intelligence branches, are to be advised of the identities of the names contained on said lists relating to their respective branches of the armed services." This instruction was given immediately after the Director had so instructed me.

L.V. BOARDMAN

2/3 - 3 -

Explanation
Attached
7/16/54

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Boardman

DATE: July 16, 1954

FROM : Mr. Rosen

SUBJECT: LIST OF 1124 INDIVIDUALS WHO MARTIN DIES
ALLEGED IN 1941 WERE MEMBERS OF SUBVERSIVE
ORGANIZATIONS

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Winters
Tele. Rm.
Holloman
Sizoo
Miss Gandy

A. Rosen

In connection with the memorandum from Mr. Stanley to me dated July 16, 1954, you have requested an explanation as to why the Defense Department names contained in the list furnished to Deputy Attorney General Rogers under cover of July 14, 1954, were not furnished to the military intelligence agencies in advance of the memorandum of July 14, 1954.

Your memorandum addressed to me and to Mr. Belmont on July 8, 1954, specifically set forth, "The Director has instructed that, before sending any additional lists of names to the Department, the Army, Navy and Air Force, through the intelligence branches, are to be advised of the identities of the names contained on said lists relating to their respective branches of the armed services."

The material submitted in Mr. Stanley's memorandum to me dated July 14, 1954, was not considered by the supervisors to be an additional list but was part of the 294 names which, in turn, were part of the 1124 names on the original Dies List which had previously been sent to the Department. Therefore, no dissemination of the names appearing therein was made to the intelligence agencies. Immediately upon receipt of your memorandum dated July 8, 1954, as indicated above, your instructions were passed on to all supervisors.

AR: jh

*It should
have been
done*

*JRM
CJS*

RECORDED
INDEXED

89 AUG 21 1954

EX. - 113

13 JUL 23 1954

EST. C. J. ROSEN

61-7582-2454

July 30, 1954

~~PERSONAL AND CONFIDENTIAL~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I am seriously concerned with respect to the inadequacy of the summary memorandum which was prepared in your division on July 27, 1954, regarding the Crime on a Government Reservation case involving [redacted] an eleven-year-old juvenile who had broken into six homes and stolen approximately thirty-five dollars. The memorandum in question completely failed to take into account the responsibility of the Baltimore field supervisor and, accordingly, made no recommendation for administrative action in his case. Thus, it was necessary to secure additional information and revise the memorandum to cover this point.

The deficiency on the part of the Investigative Division in handling this matter was most serious and raises a question regarding its functioning in the thorough and efficient fashion necessary if the Bureau is to properly carry out its responsibilities in the criminal field. Accordingly, I shall hold you personally accountable for taking appropriate steps to prevent any future failures such as this.

Very truly yours,

John Edgar Hoover
Director

CC: Mr. Boardman (Personal Attention)

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Glavin _____
Harbo _____
Rosen _____
Tamm _____
Tracy _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
Miss Gandy _____

SENT DIRECTOR
7-31-54

July 30, 1954

Mr. A. Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

~~INVESTIGATIVE~~
I am very much displeased that your Division did not exercise sufficient curiosity when reading the initial investigative report of October 4, 1951, in the case entitled Eugene Pershing Boone, Interstate Transportation of Stolen Property. If a greater interest had been taken in this matter, it would have been noted that there was a delay of approximately a year between the time the checks were passed and the date of the report. The supervisory employee at the Seat of Government should have scanned the details and it would then have been evident that the case had not been properly handled.

It is recognized that there are occasionally cases involving the passing of checks which are not reported to us until some time after the checks have been passed; however, wherever there is a substantial gap between the passing of the checks and the commencement of FBI investigation, this fact alone should be sufficient to alert the supervisory employee to scan pertinent portions of the details to determine if any weaknesses are present. This procedure apparently was not followed in this instance and is a reflection upon the manner of supervision at the Seat of Government. I do not agree with your position that the reading of the synopsis in the above-mentioned initial report disclosed nothing which would warrant further attention by the reviewer.

I want you to take prompt and effective steps to make certain that selective supervision is effectively handled. It is the responsibility of each employee who is authorized to give selective supervision to determine which reports require detailed analysis and which need only a review of synopsis, period, title, character, copies and leads.

It should be obvious to you that the Boone case was not well handled at the Seat of Government and I am bringing it to your attention at this time so that you can see to it that there is no recurrence on any desk where selective supervision

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

cc - Mr. Boardman

EDM:mew/cs

FOR APPROVAL
SENT DIRECTOR
8-3-54

RECORDED - 1
67-27215-337
AUG 18 1954
FEDERAL BUREAU OF INVESTIGATION

I do not approve of your experiment involving the detailed reading of the initial investigative report from the office of origin in all matters currently assigned for selective supervision and it should be obvious to you that it is already the responsibility of the appropriate supervisor to take whatever action may be necessary in any case assigned to him. Consequently, the additional manpower mentioned in Mr. Winterrowd's memorandum of July 27, 1954, which would be necessary in order to provide a detailed reading of initial reports would be in great measure wasted. The Bureau cannot afford to waste manpower. It is your responsibility to see that streamlining measures prevail and I am surprised that any member of your Division would recommend a procedure involving expenditure of additional manpower with no indication of commensurate results being obtained.

Very truly yours,

John Edgar Hoover
Director

August 11, 1954

PERSONAL AND ~~CONFIDENTIAL~~

Mr. A. Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

It should be obvious to you that proper supervision has not been afforded by the Investigative Division to the case entitled "Unknown Subject; Cuater County State Bank, Arapaho, Oklahoma, FDIC 8931, 10/10/53, Bank Robbery - Burglary." It is realized that the [redacted] kidnaping case created extraordinary demands on manpower during the period when the above-entitled bank burglary occurred and during the early stages of its investigation. I do not believe that the occurrence of a major case, such as the [redacted] case, should be considered as an excuse for failure to properly supervise other criminal violations which are under investigation at the same time. The Bureau did not achieve its present position by offering such excuses. You should have been alert to the unusual demands made by the [redacted] case on the time of supervisors assigned to the Bank Robbery - Kidnaping desk. It was your responsibility to arrange for assignment of adequate personnel in order that these important matters would not be neglected. RECORDED-24

I have noted with concern that you and Mr. Winterrowd, as well as Mr. Keep, who was acting for the Chief of the Criminal Section, failed to recognize the necessity for taking a firm position with regard to derelictions of duty. Your recommendation and those of the other two named officials indicate a failure to grasp the importance of affording adequate supervision to all matters the Bureau has undertaken to handle. The above-mentioned bank burglary case cannot be regarded as unimportant. A federal law has been violated, the Bureau has assumed investigative jurisdiction and our responsibilities require we make every effort to solve the offense. If your thinking is contrary to this principle, then there is a definite need for a realignment of the thinking in your division.

67-27215-338

MAILED 4
AUG 14 1954
COMM-FBI

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Glavin _____
Harbo _____
Rosen _____
Tamm _____
Tracy _____
Mohr _____
Winterrowd _____
Tele. Room _____
Holloman _____
Miss Gandy _____

cc: Mr. Mohr
Mr. Boardman
Personnel file - Mr. Winterrowd
Personnel file - Mr. Keep

AGG:cs, dmb

FOR APPROVAL
SENT DIRECTOR
8-11-54

I want you to convey to Mr. Winterrowd and Mr. Keap my displeasure with regard to their attitude in this matter. In addition, you must take immediate steps to assure that adequate supervision is being afforded throughout the Investigative Division in order that there will be no recurrence of the errors noted in the bank burglary case discussed herein.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN

DATE: 8/2/54

FROM : A. ROSEN

SUBJECT:

This is respectfully submitted in connection with Mr. Tolson's inquiry that neither Boardman or Rosen are handling calls're investigative matters.

I have checked over the calls both outgoing and incoming and in view of the nature of the calls I would like to submit that none of them were of sufficient importance to warrant your having handled any of them.

With reference to the outgoing calls there were many of these calls which were made in connection with the two applicant matters [redacted] and [redacted] which we were attempting to expedite through to completion by Friday, July 30, 1954, in accordance with the Director's instructions. Messrs. Malley, Morris and Hartley did make numerous calls throughout the day in connection with these cases.

In connection with the other telephone calls all were made in connection with the developments in the [redacted] case, with the exception of those which are set out in this memorandum. The [redacted] case involves the theft on the part of [redacted] of approximately \$250,000 from a rich widow. [redacted] was tried in Richmond and was found guilty July 30, 1954 after the jury was out but eight minutes. The activity in connection with this case was with reference to lining up possible witnesses and following the case.

The above calls were made in pursuance to your instructions and also in pursuance to my instructions, both with reference to the applicant matters and the [redacted] case. We were able to get the applicant cases lined up so that they were ready the first thing in the morning of Friday, July 30, 1954.

The incoming call which was made by SAC McCabe, Cleveland, to me at 9:04 a.m. was one involving policy and was not associated with any of the other matters pending.

A call was made to Chicago at 10:06 a.m., by Mr. Keap in order to follow up on an extortion case, and calls were made by the evening Supervisory who comes on duty at 4 p.m. until midnight.

AR:WW

SENT DIRECTOR

13 AUG 16 1954

Tolson
Ladd
Nichols
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Gandy
Mohr
Winterrowd
Tele. Room
Holloman
 Sizoo
Miss Gandy

b6

b6

b6

UNRECORDED COPY FILED IN

RECORDED

EX-121

EX-121

Memorandum for Mr. Boardman

b6

With reference to the case [redacted]
Special Agent [redacted] Victim; Assaulting a
Federal Officer," I instructed that [redacted] call and try and
expedite the presentation of this case to a grand jury rather
than wait until December, 1954, for the matter to be presented.

b6

A call was made at 5:14 p.m., in connection with the
preparation of a memorandum in the case "[redacted]
was.; Interstate Transportation of Stolen Motor Vehicle; Deserter"
inasmuch as this information was needed in order to reply to an
individual who had written in to the Bureau and in order that a
memorandum could be prepared to the Department.

I have attached hereto ticklers involved in connection
with these telephone calls in the event they are desired for
further reference.

I try to take all incoming calls if I am not on another
call when the call is received from the field.

I wish to assure you that I will continue to make every
effort to handle all calls which are directed to me.

✓
I shall do likewise
ER.

✓ per ERC
8-4

September 3, 1954

Personal and ~~Confidential~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have noted with considerable gratification the splendid accomplishments of the Criminal Section of the Investigative Division during the past fiscal year. The substantial increases in the accomplishments of the Civil Rights Unit, Interstate Crimes Unit, General Crimes Unit, General Fugitive Unit and Deserter Fugitive Unit are indicative of the close supervision afforded matters in these Units and certainly reflect that the supervisory personnel are aware of the Bureau's important responsibilities in these fields.

I want to express to you my sincere appreciation for the splendid efforts of those responsible and to commend you for the excellent over-all supervision afforded these matters. It is also my desire that you express my personal commendation to all the employees of the Criminal Section who participated so capably in compiling this favorable record.

Sincerely yours,

J. Edgar Hoover

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

EJI:rk

SEP 16 1954

MAILED 16

SEP - 7 1954

COMM - FBI

RECORDED

67-1575-340

SEARCHED

INDEXED

SEP 7 1954

FEDERAL BUREAU OF INVESTIGATION

F. L. Price
O. J. Keep
Alfred B. Eddy

[REDACTED]

John J. Coneys
Henry A. Fitzgibbon
John D. Pope
William P. Jones
Ewing S. Humphreys
Alvin A. Staffeld
Fred W. Doerner
Milton T. Cummings
Orson F. Myers
Edward H. Hughes
Robert L. Bruggeman
Richard J. Gallagher
A. Glenwood Gilliland
Robert E. Gebhardt

[REDACTED]

Office Memorandum • UNITED STATES GOVERNMENT

TO : THE DIRECTOR

DATE: July 28, 1954

FROM : L. V. BOARDMAN *zbo* b6

SUBJECT:

INVESTIGATIVE DIV.
[redacted], with aliases
Crime on Government Reservation
Breaking and Entering
Juvenile Delinquency Act

Tolson
Boardman
Belmont
Clegg
Glavin
Harbo
Rosen
Tracy
Mohr
Winterrowd
Tele. Room
Holloman
Miss Gandy

After reviewing the attached summary memorandum, I considered it to be unsatisfactory in that no recommendation had been made concerning Relief Supervisor [redacted] who had reviewed the complaint form received in the Baltimore Office on 7/16/54, which complaint form, on its face, revealed that an 11-year-old boy had broken into six homes and had taken \$35 "most of which was in piggy banks." The complaint form further indicated that Assistant US Attorney Hubbard stated he would authorize prosecution, but would make every effort to have the matter handled by state authorities.

In my opinion this was exercise of poor judgment on the part of the supervisor for (1) not having immediately brought this to the attention of the SAC, and (2) not having immediately ceased any further investigative activity until a specific determination was made concerning prosecution.

I further considered this memorandum unsatisfactory because in the memorandum delivered to me this morning there were revealed, for the first time, the true facts in this complaint. Rosen's division should have ascertained the correct facts and the complete facts in their initial contact with the Baltimore office. Instead, they got only partial facts, which did not reflect the true picture. I have requested explanations from Rosen's division for their failure to have received this information initially.

After discussing with Mr. Rosen and Mr. [redacted] this morning the activity of Supervisor [redacted] Mr. Rosen concurred that Supervisor [redacted] of the Baltimore Office had not handled the matter properly, and Mr. Rosen then changed the memorandum, recommending administrative action as to [redacted].

RECOMMENDATION:

I think Mr. Rosen and Mr. Oscar Keep, who prepared the memorandum, should be censured for the improper preparation of the attached memorandum in the first instance, and as soon as their explanations are received for having failed to secure these facts initially, further recommendations will be made.

Attachment

8 LVB:CSH-105A
AUG 1 1954

Census + probation
Lester, Nichols, Brown, Keep, Rosen, [redacted]
-1 20 154

I agree

I am getting more
more [redacted]
about functioning
Rosen's Div.

67-80005-1432

Office Memorandum • UNITED STATES GOVERNMENT

TO : THE DIRECTOR

DATE:

July 28, 1954

FROM : L. V. Boardman *LB*

Tolson _____
 Boardman _____
 Nichols _____
 Belmont _____
 Ladd _____
 Harbo _____
 Rosen _____
 Tamm _____
 Tracy _____
 Mohr _____
 Winterrowd _____
 Tele. Room _____
 Holloman _____
 Miss Gandy _____

b6

SUBJECT: [REDACTED]

Crime on Government Reservation
 Juvenile Delinquency Act

Attached is a memorandum from Supervisor Oscar Keep to Mr. Rosen, submitted in response to my specific inquiry as to why it took all day 7/27, until the morning of 7/28/54, to obtain the full facts in this matter.

INVESTIGATION
A. ROSEN

I still am convinced that ~~there is no reason~~ why Rosen's division could not have nailed this matter down during the course of the morning of 7/27, so that they would have had the facts which they finally secured piecemeal during the course of the day and the early morning hours of 7/28. This matter was not so involved that they could not have ascertained much earlier the facts that were finally secured.

I have personally informed Mr. Rosen and Mr. Keep that when situations comparable to this arise it is absolutely essential that they insist on getting the information desired; that should the agent in charge or any field official from whom they are seeking the facts fail to fully cooperate, then it is expected that they will make immediate recommendations concerning appropriate administrative action.

I pointed out to them that when the Bureau needs information to permit a determination on a course of action, it is essential that very vigorous steps be taken to secure said information. Rosen did not personally follow this matter as he should have. Keep's efforts to get the information were ineffectual. I called Rosen around 9 o'clock on the morning of 7/27 and told him to secure the facts in this case and to immediately advise me. I was to leave the building at 9:45 a.m. to attend a briefing of IIC and ICIS representatives at the Atomic Energy Commission. Upon my return in the afternoon I was advised that memoranda had been submitted on the situation. Though I was trying to handle other problems that had arisen, I did not ascertain until 5:30 p.m. that the facts had not yet been secured. I should have followed this matter more vigorously earlier in the afternoon.

Attachment *blk*

LVB:GSH

AUG 3 1954

FOR APPROVAL

SENT DIRECTOR

7-3-54

JUL 28 1954

JUL 28 1954

JUL 28 1954

JUL 28 1954

JUL 28 1954

JUL 28 1954

JUL 28 1954

Mr. Tolson

8-4-54

R. T. Harbo

UNKNOWN SUBJECT;
CUSTER COUNTY STATE BANK
ARAPAHO, OKLAHOMA
FDIC #8981, 10-10-53
BANK ROBBERY - BURGLARY

BACKGROUND:

[A. Rosen]

This case involves an attempted burglary of captioned bank on 10-10-53. The unknown subject was interrupted in his efforts to open the bank safe when the assistant cashier returned to the bank at about 7:45 PM to do some work. No loot was obtained, and the unknown subject was not seen at the time of the offense.

My memo 7-19-54 pointed out this case was reviewed in Training and Inspection Division; a number of weaknesses and delays were incurred; for e. g.: initial report not submitted within 30 days after report of violation to Oklahoma City Office as required by Bureau rules; second report not submitted until five months later, during which time investigative work performed on the case only one day each month for three months, then two days the fourth month; Oklahoma City Agent inadvertently sent stick of dynamite to FBI Laboratory improperly packed, unlabeled and without prior Bureau authority; Laboratory took no steps to challenge this dangerous error; failure to run out obvious lead promptly and failure to fully report investigative results.

HANDLING OF THIS CASE IN FIELD:

Most of the above errors are attributable directly to the field but would have come to attention of appropriate supervisor in Investigative Division had case received proper supervision. Recommendations have been made separately for administrative action against two Agents who handled the case in Oklahoma City and against SAC N. R. Johnson, who supervised the case for a period of time. Explanations have not yet been received from SAC Ellsworth, Kansas City, who supervised the case at Oklahoma City from its inception until 12-4-53. Separate memorandum will be prepared regarding his responsibilities as soon as reply is received.

Tolson
Boardman
Nichols
Belmont
Harbo
Mohr
Parsons
Rosen
Tamm
 Sizoo
Winterrowd
Tele. Room
Holloman
Gandy

Attachment

cc - Mr. Mohr
Mr. Rosen

AGG:jaw

89 AUG 26 1954

RECOMMENDATION:

314
4 (1) I recommend that Mr. Rosen be censured for having failed to personally follow this matter to insure that all the facts were secured promptly and for having failed, as indicated in my earlier memorandum, to insure that when the summary memorandum was prepared appropriate recommendations were made.

OK
4 (2) I recommend that Supervisor Oscar Keep be severely censured for having failed to secure the facts during the morning of 7/27 in order that an appropriate determination could be made as to what action should be taken in this matter and, as indicated in an earlier memorandum, for having failed to prepare the summary memorandum completely in the first instance.

(3) I recommend that I be given a letter of censure for having failed to follow this matter more closely upon my return to the building.

not necessary Z. J. Boardman
ADDENDUM: (July 28, 1954) GHS:WMJ

As of 12:45 P.M., July 28, 1954, the original of the Baltimore letter requesting Laboratory examination in this case had not yet been located although it is on special locate.

gmv
This is BEING
HANDLED SEPARATELY
& MR. BOARDMAN SAYS
IT HAS NO BEARING ON
ACTION TAKEN IN THIS
MEMO. ECK

HANDLING OF THIS CASE AT SEAT OF GOVERNMENT:

At the time instant case occurred, 10-10-53, Bank Robbery cases were supervised in the Investigative Division at SOG by the Bank Robbery - Kidnaping Desk, which had two supervisors assigned to handle the work on the basis of supervision of each case. Supervisors R. L. Druggeman and Richard J. Gallagher were the supervisors assigned and were directly responsible to O. F. Myers, Supervisor in Charge of the General Crimes Unit. The chain of command in the Criminal Section ran then to F. L. Price, Section Chief, then to E. H. Winterrowd, Division #1 Man, and to Mr. Rosen, Assistant Director in Charge of the Investigative Division.

The Investigative Division has pointed out that this case arose 12 days after the [redacted] Kidnaping case occurred at Kansas City and for the period from then through December, 1953, the Bank Robbery - Kidnaping supervisors devoted full time to the [redacted] case. Thereafter attention was divided between the [redacted] case and the most important Bank Robbery investigations. Investigative Division feels that since instant case was an unsuccessful bank burglary, it did not merit the same attention under the circumstances as was deserved by more important and urgent Bank Robbery investigations. In addition, Investigative Division has pointed out that when the instant case was checked as it came up on tickler, it was noted that investigation was being made by Newark and Oklahoma City and the Laboratory was making examinations and that since no loot was obtained and there were no witnesses, it was felt that the case was not of sufficient importance to keep pressing the field. Oklahoma City was not instructed to close the case in view of possibility the solution of another Bank Robbery case in that area might lead to the solution of this case.

On 4-12-54 there was a reorganization of work on the Bank Robbery - Kidnaping Desk and the Kidnaping violation was assigned to a separate desk. Supervisors [redacted] and Dillard W. Howell were assigned on that date to the new Bank Robbery Desk, and by a division of the work the instant case became the responsibility of Supervisor Howell, and it has remained his responsibility from 4-12-54 until the present time. From its inception until 4-12-54 this case was the responsibility of Supervisor Gallagher. While it is certainly agreed that with the extraordinary demands on manpower created by the [redacted] case, other matters could not during that period get full measure of attention, it is believed that following the period of intensive work on the [redacted] case, the Investigative Division had adequate opportunity to see to it that this case was being properly handled and to challenge the field on earlier weaknesses and errors. The Investigative Division clearly had such an opportunity

between 4/12/54 and 7/1/54. The first indication in the Bureau file that the case was receiving any supervision at the SOG was when Supervisor Howell sent a follow-up (0-1 Form) to Oklahoma City on 5/12/54 instructing that a report be submitted immediately. This resulted in Oklahoma City submitting its report of 5/23/54.

RECOMMENDATION OF INVESTIGATIVE DIVISION:

Mr. Oscar J. Keep, No. 1 Man of the Criminal Section, acting for the Section Chief, has recommended, and Mr. Rosen has agreed, that no administrative action be taken against any of the supervisors who worked or assisted on the Bank Robbery Desk during the pertinent period. Mr. Winterrowd has made a separate and similar recommendation.

EVALUATION:

Specific Seat of Government weaknesses were:

- 1) Failure to challenge Oklahoma City for not submitting initial report within 30 days.
- 2) Failure to follow field from 12/4/53 to 5/12/54 to secure submission of second report.
- 3) Failure to note field error in sending dynamite to Laboratory improperly packed and without authority.
- 4) Failure to follow up on efforts to eliminate as suspect. b6

It is not believed that the presence of a major case (such as the case) in the Investigative Division should be considered as an excuse for failure to properly supervise other criminal cases. It certainly should not be used as an excuse for several months after the bulk of work on the major case has been concluded. Mr. Rosen, as Assistant Director in Charge of the Division during the case, should have been alert to the unusual demands being made on the time of the Bank Robbery desk. After re-evaluation of the work involved, he should have assigned available personnel to handle completely the Bank Robbery desk in order that these important matters could go forward without neglect and interruption. Partial coverage was effected intermittently by use of other supervisors to assist with work of the Bank Robbery desk, but the lack of supervision afforded this case from its inception, 10/10/53, until 5/12/54 is indicative of the inadequacy of such coverage. Under the normal circumstances the

lack of supervision evidenced in this case would serve as basis for administrative action against the entire chain of command in the Investigative Division. However, due to the peculiar circumstances involved in this matter, administrative action has been recommended separately only against Supervisor Howell, who had the responsibility for the case from 4/12/54, date of the reorganization of the Bank Robbery Desk, until the present time. Had the Investigative Division been alert to the real importance of providing adequate supervision even in times of unusual demands upon the Division's manpower, the attitude indicated by the Division's recommendations set out above would have shown a more firm position.

RECOMMENDATION:

That the attached letter go forth to Mr. Rosen censuring him because this case was not properly supervised, because of his efforts to use the [redacted] case as an excuse for the lack of supervision, and because of his failure to recognize the need for adequate supervision even in times of unusual demands on available manpower.

b6

TO: Mr. Tolson

7-20-54

FROM: R. T. Harbo

SUBJECT: LUCIEN FLEMING MOORE, was. - FUGITIVE
INTERSTATE TRANSPORTATION OF STOLEN PROPERTY

BACKGROUND

My memorandum 7-2-54 pointed out this case reviewed in Training and Inspection Division; number of weaknesses and delays encountered. For example: Two fraudulent checks passed in Birmingham, Alabama, September 1 50, total value \$133.75. Laboratory advised Birmingham 10-2-50 that endorsements were identical with the handwriting of [redacted] Laboratory advised 11-21-50 that [redacted] was last arrested in Washington, Pennsylvania 11-4-50. Birmingham did not set out a lead to Pittsburgh to contact Moore until 2-16-51. Pittsburgh interviewed [redacted] 4- -51. Pittsburgh reported interview 4-14-51 to the effect that [redacted] admitted in a signed statement passing the fraudulent checks. Birmingham did not present this matter to the U. S. Attorney until 10-3-51. Although Birmingham received initial complaint 9-30-50, report not submitted until 10-4-51. When process finally authorized, subject could not be found; has been a fugitive ever since. Appropriate field responsibility has already been fixed; administrative action has been recommended separately.

b6

HANDLING OF THIS TYPE OF CASE AT SEAT OF GOVERNMENT

Cases of this type fall in the category of Interstate Transportation of Stolen Property. These cases are given selective supervision, in accordance with Executive Conference action in 1945 which specified that certain types of work are entitled to brief and more superficial examination while certain other types will require detailed study. Selective supervision is the process of scanning routine reports, reading the synopsis and if questions appear then go into the details of the reports, however, if no questions appear and the synopsis is adequate, copies, title, character and investigative periods and leads are proper then the document is initialed for the file. The practice of selective supervision has been examined many times and has been approved by the Bureau. Selective supervision is a sensible streamlining technique designed to cut down on the

cc: Mr. Mohr
Mr. Rosen

Attachment

EDH:lew

number of supervisors necessary at the Seat of Government by giving detailed attention to those matters deserving same and superficial attention to the routine aspects. Selective supervision provides a practical economy; it cannot be considered, however, as effective unless the supervisor exhibits curiosity and when questionable matters appear uses them as a basis for digging further into the report. Selective supervision does not relieve the supervisor of responsibility for using good judgment in deciding which matters need detailed attention and which do not.

SEAT OF GOVERNMENT RESPONSIBILITY IN THE [] CASE

b6

The investigative reports from the field were so written that it would not have excited the curiosity of the Seat of Government supervisor to have dug deeper beyond the synopsis except the first report dated 10-4-51 showing investigative period of 9-30, 10-2 and 3-51, which report shows in the synopsis that two checks were passed in Birmingham in September 1950. Although check cases sometimes are reported to us a considerable period of time after the checks have been actually passed, it would appear that any extended period should be questioned and in this instance there was a gap of approximately a year. In my opinion this should have sufficiently alerted the reviewer at the Seat of Government to quickly peruse the details (not necessary to read every word) and such a scanning would have brought the reviewer to page 2 where a delay in this matter would have become evident. Mr. Winterrowd's position, concurred in by Mr. Rosen, is that the reading of the synopsis of this report discloses nothing which would warrant further attention by the reviewer.

PROPOSAL OF THE INVESTIGATIVE DIVISION

For a period of one month the Investigative Division proposed a detailed reading of each new (initial) report received from the office of origin in every case being handled under selective supervision. Where necessary the file will be pulled, if one has been made up based on earlier teletype or other communications. Investigative Division points out this will undoubtedly require additional clerical employees to handle the file requests and additional supervisors to review the reports and files in detail.

It is our conclusion that this experiment should not be undertaken because there is not much more reason to read in detail the initial report than any other report in cases now scheduled for selective supervision; it is already the

responsibility of the supervisor to give full attention to those matters deserving it; the additional man power required would for the most part be wasted; it would be a step toward breaking down selective supervision which has already proven to be practical, necessary and has been examined on numerous occasions by Inspectors; the technique would in effect tend to remove from supervisors the full responsibility which they already have.

RECOMMENDATION:

The attached letter to forth to Assistant Director Rosen.

OK.

HOOVER

I agree 8/2.

JPM

Mr. Tolson.
Mr. Boardman
Mr. Nichols
Mr. Belmont
Mr. Harbo
Mr. Mohr
Mr. Parsons
Mr. Rosen
Mr. Tamm
Mr. Sizoo
Mr. Winterrowd
Tele. Room
Mr. Holloman
Miss Gandy

August 2, 1954

MEMORANDUM FOR THE DIRECTOR

LA. 11222

The following Special Agents in Charge are presently on probation

NAME	OFFICE	DATE	LAST INSPECTION	DATE OF LAST RECHECK	REASON
J. K. Mumford	Dallas (Ordered to Atlanta 7-28-54 as SAC) Memo dated 8-2-54 recommended that SAC Mumford be continued on probation.	2-11-54	3-22-54	7-16-54	In view of the shooting incident which occurred while an attempt was being made to apprehend
J. F. Santoiana, Jr.	Portland	2-24-54	5-29-54		In view of the insufficient supervisory attention in the handling of the investigation — Fraud against the Government involving Brown and Root, while assigned to Houston.
W. G. Banister	Chicago Continued	3-22-54 7-29-54	8-7-53	12-18-53	Because of the inadequate planning of attempted efforts to capture Top Ten Fugitive Nick Montos. Because of the operations of the Minneapolis Office which occurred while he was Special Agent in Charge.
J. J. Casper	New Haven Continued	3-22-54 7-1-54	2-10-54	6-23-54	In view of the mishandling of the bank robbery investigation concerning
L. Blaylock	Indianapolis	6-17-54	11-25-53		Due to the results of the recheck inspection of the New Haven Office, in view of the bad judgment exercised in not promptly advising the Bureau regarding the information received in the Indianapolis Division indicating a possible leak of security information from FBI files.

8
A. ~~Cornelius, Jr.~~ Salt Lake City 6-22-54 11-21-53

7-10-54
(Spot Check)

In view of his negligent and dilatory handling of the case entitled "Uinti and White River Bands of Ute Indians vs. United States, Court of Claims Number 45751, Court of Claims, which was under his direct supervision.

E. J. ~~Powers~~ X Miami 6-25-54 1-17-54

b6

In view of his failure to completely carry out his duties as a Special Agent in Charge in the handling of the investigation and apprehension of [] in the Miami Division.

D. A. ~~Bryce~~ X Albuquerque 7-15-54 7-9-54

Because of the unsatisfactory office administration, the unusually high number of investigative delinquencies and the poor showing in contact matters.

R. J. ~~Abbatechio~~ X Philadelphia 7-22-54 Inspection
(Ordered to Dallas began
7-28-54 as SAC) 6-30-54

b6

Because of the atrocious manner in which the Philadelphia Office handled the case entitled "Unknown Subject; [] Victim, Extortion"

C. B. ~~Howard~~ Minneapolis 7-21-54 7-9-54

Minneapolis Inspection

In view of inspection findings of the Minneapolis Division.

SEAT OF GOVERNMENT OFFICIALS (INCLUDING SECTION CHIEFS) ON PROBATION

<u>NAME</u>	<u>TITLE</u>	<u>DATE</u>	<u>REASON</u>
A. Rosen	X Assistant Director Investigative Division	6-22-54	Because of the sixth case in the past six months involving lax supervision in the administration of the Accounting and Fraud Section
E. H. Winterrowd	X Number 1 Man in the Investigative Division	6-22-54	Because of the inadequate supervision afforded the case entitled, "Uintah and White River Bands of Ute Indians vs. United States, Court of Claims Number 45751, Court of Claims".

C. A. ~~X~~ Evans

Chief of Accounting 6-22-54
and Fraud Section of
the Investigative Division.

Because of the inadequate supervision afforded the case entitled "Uintah and White River Bands of Ute Indians vs. United States, Court of Claims Number 45751, Court of Claims".

Respectfully,



J. P. Mohr

Copy 73

July 1, 1954

MEMORANDUM FOR THE DIRECTOR

A. ROSEN

The following Special Agents in Charge are presently on probation:

<u>NAME</u>	<u>OFFICE</u>	<u>DATE</u>	<u>LAST INSPECTION</u>	<u>DATE OF LAST RECHECK</u>	<u>REASON</u>
J. K. Mumford	Dallas	2-11-54	3-22-54		In view of the shooting incident which occurred while an attempt was being made to apprehend [redacted] b6
J. F. Santoians, Jr.	Portland	2-24-54	5-29-54		In view of the insufficient supervisory attention in the handling of the investigation -- Fraud against the Government involving Brown and Root, while assigned to Houston.
J. E. Milnes	St. Louis	3-3-54	3-1-54	Recheck began 6-29-54	Because of the deficiencies in handling of the Anti-Recketeering investigation pertaining to [redacted] b6
J. J. Casper	New Haven	3-22-54	2-10-54	6-23-54	In view of the mishandling of the bank robbery investigation concerning [redacted] b6
L. Blaylock	Indianapolis	6-17-54	11-25-53		In view of the bad judgment exercised in not promptly advising the Bureau regarding the information received in the Indianapolis Division indicating a possible leak of security information from FBI files.
A. Cornelius, Jr.	Salt Lake City	6-22-54	11-21-53		In view of his negligence and dilatory handling of the case entitled "Uintah and White River Bands of Ute Indians vs. United

States, Court of Claims Number 45751, Court of Claims, which was under his direct supervision.

89 AUG 31 1954

ORIGINAL FILED IN 67-0-8169

Copy 73
E. J. Powers

Miami

6-25-54 1-17-54

b6

In view of his failure to completely carry out his duties as a Special Agent in Charge in the handling of the investigation and apprehension of [redacted] in the Miami Division.

G. B. Norris

Phoenix

6-28-54 4-1-54

b6

In view of a number of serious derelictions in handling of the kidnaping case involving victim [redacted]

SEAT OF GOVERNMENT OFFICIALS (INCLUDING SECTION CHIEFS) ON PROBATION

<u>NAME</u>	<u>TITLE</u>	<u>DATE</u>	<u>REASON</u>
A. Rosen	Assistant Director Investigative Division	6-22-54	Because of the sixth case in the past six months involving lax supervision in the administration of the Accounting and Fraud Section.
E. H. Winterrowd	Number 1 Man in the Investigative Division	6-22-54	Because of the inadequate supervision afforded the case entitled, "Uintah and White River Bands of Ut6 Indians vs. United States, Court of Claims Number 45751, Court of Claims".

Respectfully,

J. P. Mohr

PERS. FILES

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 10-07-2010

September 9, 1954

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I wish to express to you and through you to the employees in the Investigative Division my heartiest congratulations on the fact that your Division well exceeded the 100 percent mark as early as September 3 in its pledges to the FBI Employees' Consolidated Charity Fund Drive.

Such a prompt response to this most worthy venture is truly commendable and certainly attests to the splendid team spirit of the participating Investigative Division personnel.

Sincerely yours,

J. Edgar Hoover

HLE:baf
cc: Mr. Bannon

✓
RECORDED - 24

Phu

1-27215-341

Searched	INDEXED
Numbered	FILED

RECEIVED RECORDING ROOM
SEP 15 1954

- Tolson
- Boardman
- Nichols
- Belmont
- Harbo
- Mohr
- Parsons
- Rosen
- Tamm
- Sizoo
- Winterrowd
- Tele. Room
- Holloman
- Gandy

COMM - FBI
SEP 9 1954
MAILED 31

18 SEP 17 1954

WV

October 16, 1954

H. J. Hughes
PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I want to offer my sincere congratulations on your twenty-first anniversary as a member of the Bureau family. Your many contributions to the Bureau's growth have been outstanding and I am proud to have been associated with you these many years and I am looking forward to having you with us for many more.

With kindest personal regards, I am

Sincerely,

J. Edgar Hoover

Tolson _____
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Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

18 OCT 28 1954

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SENT FROM D. O.
TIME 525 PM
DATE 10-15-54
BY JED

67-27215-342

Searched _____
Numbered 171

5 OCT 19 1954
FEDERAL BUREAU OF INVESTIGATION

JEH:eh

September 28, 1954

PERSONAL AND ~~CONFIDENTIAL~~

Mr. A. X. Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

A summary of the findings and recommendations of Inspectors B. C. Brown and J. E. Nugent resulting from the recent inspection of the Investigative Division is attached for your information and guidance. You should consider it and the administrative memoranda on which it was based in your administration of the Investigative Division.

A review of 427 pending cases in the Criminal Section resulted in the preparation of three case writeups, only two of which involved derelictions on the part of supervisors assigned to the Criminal Section. Although this is a relatively low number of substantive errors, your objective must be to completely eliminate such errors. Closer attention to reports being reviewed by supervisors will result in attainment of this goal, as is indicated by the fact a review of 214 pending cases in the Accounting and Fraud Section and of 134 recently closed cases in the Special Inquiry Section disclosed no substantive errors.

The situation involving the handling of applicant cases continues to present problems requiring your close attention. As the Inspector pointed out in the administrative memoranda regarding Departmental Applicant matters, there has been an improvement since the last inspection in the time required to handle these cases at the Seat of Government, but you have not yet reached the goal of eight calendar days for completely processing those cases involving "professionals" or the twenty-one-day goal in "nonprofessional" or clerical applicant cases. You should closely follow the results obtained from the study undertaken in cooperation with the Washington Field Office, which study it is expected will materially reduce the time required in making certain agency checks.

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Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

OCT 15 1954
Attachment

cc: Mr. Mohr (Attention: H. L. Edwards)

JEN: jaw

RECEIVED

9-29-54

You and your associates in the Employees Security Section are to be commended for the progress which has been made in decreasing by two days the working time used in the section in processing these matters before sending them to the field for investigation. It is noted, however, that the time required in the field to investigate those cases analyzed during this inspection was 44 days, compared with 38 days required at the time of the last inspection. You should follow closely the Inspector's recommendations that a number of reports from the field be analyzed by the Section Chief and his assistants with a view to offering further streamlining suggestions to the field.

The Inspector reported that the recently established Accounting Control Desk has compiled the necessary data and set up control records so that the complete picture regarding the accounting situation throughout the field is being closely followed. The Bureau's concern regarding the accounting work and the development of accountants has been brought to your attention on several occasions. It is, of course, your responsibility to keep abreast of these matters at all times in order to anticipate bottlenecks and prevent undesirable situations from developing.

It was noted during the inspection that you handled fifteen incoming long-distance calls out of 126 made to your Division during July, 1954, and, further, that you handled only nine of the 184 outgoing calls made to the field in the same month. The Bureau wishes to make certain you are handling official telephone calls to the greatest practicable degree in accordance with existing Bureau rules and regulations.

The Inspector indicated that your present complement of personnel, both Agent and clerical, is adequate for known and foreseeable commitments.

The Bureau agrees there is insufficient justification for the creation of an additional executive-type position to be responsible for "administration and coordination" such as you proposed in your reply to the Inspector's memorandum captioned "Administration - General Crimes Unit." You should follow the Inspector's suggestion that more specific facts and figures as to work load be obtained before further consideration can be given to this proposal.

I know that you will want to see that the matters covered in the inspection report are brought to the attention of all supervisors so that they will be able to take corrective action where necessary and so that they will be constantly alert to finding ways and means of further streamlining our methods and procedures.

I am pleased that the findings of the inspection indicate a general tightening up in the supervision in your Division, and I am therefore removing you from probation at this time.

Sincerely yours,

John Edgar Hoover
Director

Mr. Tolson

9-23-54

R. T. Harbo

A. ROSEN

INSPECTION - INVESTIGATIVE DIVISION
INSPECTORS B. C. BROWN AND J. E. NUGENT
AUGUST 17 - SEPTEMBER 21, 1954

SYNOPSIS

LAST INSPECTION:

Last inspection conducted by Inspector E. D. Mason, April and May, 1953. Accounting and Fraud Section of Division was inspected March, 1954, and June, 1954. Recheck of that section made during this inspection.

OFFICIALS:

Assistant Director A. Rosen has been in charge of the Investigative Division as Assistant Director since 11-12-40. Principal assistants are: (1) E. H. Winterrowd, No. 1 Man over the Criminal Section and the Accounting and Fraud Section and (2) J. R. Malley, who is No. 1 Man over the Special Inquiry, the Employees Security and the Name Check Sections.

EVALUATION:

PHYSICAL CONDITION AND MAINTENANCE.....VERY GOOD.

The space occupied by the Division presents a neat and businesslike appearance and is generally well maintained. Conditions throughout the Division are somewhat crowded, with all available space being put to full and efficient use. This crowding is generally a Bureau-wide condition, for which no remedy is apparent in the foreseeable future.

INVESTIGATIVE OPERATIONS.....VERY GOOD.

File Reviews:

OCT 1 11 47 AM '54

ORIGINAL FILED IN
Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

A review of 427 pending cases in the Criminal Section revealed total of 27 errors of form for an error of form percentage of 6.3. Three errors of substance were found, two of which resulted in recommendations for administrative action as to supervisors in the Investigative Division.

Attachments

cc - Mr. Mohr (Attention H. L. Edwards)
Mr. Rosen

18 OCT 13 1954

A total of 214 pending cases in the Accounting and Fraud Section was reviewed with a total of 9 errors of form, for a percentage of 4.2. No errors of substance were found.

Review of 134 closed files in the Special Inquiry Section disclosed 4 errors of form, representing a percentage of 2.9. No substantive errors were noted. Review of 338 recently closed non-Bureau applicant cases handled in the Special Inquiry Section disclosed the following amount of time in calendar days was consumed on the average from the day the request for investigation was received to the day the complete results were disseminated:

	<u>Calendar Days Required by Bureau and Field to Handle</u>	<u>Working Days Required by Bureau to Open</u>	<u>Working Days Required by Bureau to Close</u>
Departmental Applicants	32	1	1
Special Inquiry	20	1	2
United Nations	42	2	2
Atomic Energy Act	37	2	2

The time consumed in Departmental cases exceeds the normal deadline for both the "professional" of 8 calendar days or the nonprofessional - clerical of 21 calendar days, and it was recommended that efforts be made to reduce the field delinquency in order to have all matters handled within the approved allotted time.

36.8 calendar days required to complete Atomic Energy Act - Applicant investigations in 102 cases analyzed during August, 1954. This compares with 37.7 days required during August, 1953. Analysis of procedures followed by supervisors at the Seat of Government made by inspection staff. It appears reduction in time required to complete can be accomplished only by decreasing the time allowed the field to handle - now 21 days. An analysis of cases closed in the Employees Security Section during August, 1954, as compared with an analysis of those closed in August, 1953, revealed there has been an increase of 0.9 of a day in time required to complete an investigation. The time taken in handling a case at the Bureau, both in opening and closing, has been reduced, but the time consumed by the field has increased 5.95 calendar days. SAC Letter 8-17-54 instructed field to immediately complete all cases past the deadline and directed the field to give expeditious attention to these cases. Section Chief instructed to analyze number of reports from the field with view to offering further streamlining suggestions.

A division-wide delinquency check made on 8-25-54 disclosed the Division was managing to stay within the delinquency rule except for the Name Checks Section, which had 115 items considered delinquent in that they had been in the section over five days. This represents a 9.83% delinquency, as the section had 1169 items awaiting processing. Further checks made of this section, September 1, 8 and 15, indicate delinquency was 72, 51 and 73, respectively. Replacement has now been received for research - analyst who entered Agents' class and delinquency has been reduced. On the average, name checks clear Bureau in two days, but this low figure results from fact about 90% of referrals are "no record" cases. Record cases require approximately ten days to completely process. Principal difficulty experienced in processing record cases is in locating all required files, and items cannot clear this section until this is done. Section Chief indicated he will seek authority to raise deadline allowance from 5 to 8 days. Inspector believes 5-day rule should be continued, as increasing allowance to 8 days would practically eliminate the delinquency and might result in complacent, self-satisfied attitude developing in section, and Section Chief was so advised.

Accounting Control Desk with over-all responsibility for accounting work and development of accountants set up pursuant to Director's approval on 3-22-54. Control records now complete. Development of accountants being followed and desk is analyzing over-all accounting situation in each field office, with view to anticipating bottlenecks and preventing undesirable situations from developing. Current facts are obtained from special section of monthly administrative reports of each field office and there will be no excuse for any untoward situation developing in the Bureau's accounting work in the future. Bureau accounting course also handled on this desk is being brought up to date with project to be completed 11-30-54. One Agent and one Review - analyst now assigned to this desk. Recommended, and Mr. Rosen agreed, to redetermine personnel needs after accounting course revision completed but not later than 11-30-54.

Approved criminal informants 7-1-54 totaled 1619, as compared with 1300, 7-1-53. General tightening up on payments to criminal informants with field being required to more fully justify. Total payments to informants in July, 1953, were \$18,073.37, compared with \$14,482.87 in July, 1954. Informants have been credited with locating 611 Bureau fugitives, including one of the "Top Ten" in the past fiscal year, and they have been responsible for the Bureau effecting recoveries valued at \$623,034 in the same period.

ADMINISTRATIVE OPERATIONS.....VERY GOOD.

The questions relating to selective supervision were inquired into, and this subject is being fully presented by separate memorandum for Executives Conference consideration. Principal points considered were:

1. Whether selective supervision should be discontinued in favor of full supervision of all matters.
2. Whether selective supervision should be discontinued and field instructed not to forward routine reports to the Bureau in those cases now being selectively supervised (Interstate Transportation of Stolen Motor Vehicle, White Slave Traffic Act, Selective Service, etc.).
3. Whether to continue selective supervision as presently constituted.

Division streamlining committee functioning effectively. Total of 27 suggestions submitted with 17 adopted since last inspection. Time, Attendance and Leave records checked for three pay periods and no discrepancies noted. Recommended, and Mr. Rosen agreed, that "policy folders" maintained on the various supervisory desks be uniformly organized throughout the Division to show for each desk:

1. Established policy.
2. Authorized exceptions to general policy.
3. Potential policy.
 - (a) Decisions on individual cases which may become future policy.
 - (b) Decisions on individual cases which may be of benefit in handling similar cases arising in the future.
4. Desk working guide.
 - (a) Procedures followed which are peculiar to particular desk.
 - (b) Guide to clerical procedures peculiar to the desk.
5. Special Section.

All such folders are to be reviewed semiannually by Section Chiefs to insure currentness.

Bureau rules provide incoming long-distance calls are to be placed only by an Assistant Director or higher official or by the person on duty on such official's desk unless approval has been obtained from Mr. Tolson's office. Rule on incoming calls provides they are to be handled by Assistant Directors or higher officials except when absent. Inspection survey reveals that in July, 1954, calls were handled as follows:

	<u>Incoming</u>	<u>Outgoing</u>
Division Total	<u>126</u>	<u>184</u>
Handled by Mr. Rosen	15	9
#1 Man Winterrowd	12	18
#1 Man Malley	1	7
Section Chief Price	9	16
Asst. to Price - Oscar Keep	21	25
Superv. in Charge O. F. Myers	15	22
Night and Week-end Supervisors	32	29
Walter Morris, #1 Man to Callan	0	20
Section Chief Evans	9	16
All others	12	22

Bureau switchboard refers all incoming calls regarding Investigative Division matters to Mr. Rosen's office, regardless of whether a call is received for other personnel. Mr. Rosen to determine that he is handling incoming and outgoing calls wherever practicable.

PERSONNEL MATTERS.....VERY GOOD

126 Special Agents assigned. All completely available for assignment to any field office. Present complement of Special Agent personnel is generally adequate. Fluctuating case load in certain sections can be handled by temporary transfers within the Division. Forecast is Bureau will receive approximately 10,000 fewer Atomic Energy Act cases in fiscal 1955 and if this materializes, it should be possible to eliminate the position of #2 man (known as the Correspondence Desk) in the Special Inquiry Section. It was recommended, and Assistant Director Rosen agreed, Section Chief will reevaluate this position and present his recommendations to Mr. Rosen as to discontinuance not later than 11-30-54.

The Division recommended the creation of an additional position to serve as #2 Man, acting chiefly as Assistant to Section Chief Price, and to be responsible for "administration and coordination." Based upon available data, creation of this

additional executive position not fully justified, and Inspector does not recommend approval at this time. The average voluntary overtime in the Division for three months' period ended July, 1954, was 2 hours per day, equitably shared. No supervisor had an average of less than 1 hour. Employees evidence an interest in their work, have been alert to submitting streamlining suggestions, and have a loyal attitude toward the Bureau. Morale considered to be satisfactory.

RECOMMENDATIONS:

Since the inspections of the Accounting and Fraud Section, it appears there has been a general tightening up in supervision all along the line and the recent administration of the Division has been satisfactory. It is therefore being recommended:

1. That Assistant Director Rosen be removed from probation at this time. He was placed on probation June 22, 1954, because of the lax administration in the Accounting and Fraud Section.

If action recommended is approved, the attached letter summarizing the inspection will also serve to remove Mr. Rosen from probation.

2. That #1 Man E. Hugo Winterrowd be removed from probation at this time. He was placed on probation on 6-22-54 because of the inadequate supervision afforded a court of claims case.

If action recommended is approved, the Administrative Division will prepare the necessary letter.

3. That Section Chief Courtney A. Evans be removed from probation at this time. He was placed on probation on June 22, 1954, specifically because of inadequate supervision of a court of claims case.

If the action recommended is approved, the Administrative Division will prepare the necessary letter.

4. Five Supervisors in Charge have been recommended by separate memorandum for consideration for promotion when opportunity presents itself.

5. It is recommended that the attached letter summarizing the inspection findings and removing Assistant Director Rosen from probation be sent to Mr. Rosen, if approved.

PERMANENT BRIEFS OF PERSONNEL FILES OF WINTERROWD AND EVANS ARE ATTACHED.

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Tolson

DATE: Sept. 17, 1954

FROM : *RAM* Mr. Harbo ✓

SUBJECT:

Special Agent - New Orleans
EOD 6/9/37, Clerk; 3/4/40 Special Agent, GS-13
Nonveteran

WILLIAM P. JONES
Special Agent - Supervisor
Investigative Division
EOD 12/15/41 - GS-14

INTERSTATE TRANSPORTATION OF
STOLEN MOTOR VEHICLE
Bufile 26-186061

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Ladd _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

SYNOPSIS

This is a pending case in which New Orleans is origin which was reviewed during inspection of the Investigative Division. A delay in reporting was found in that the initial report not submitted until June 2, 1954, although original complaint received January 13, 1954. Investigation conducted January 13 and 15, 1954, and May 25, 1954. No explanation apparent in Bureau file for delay in reporting.

SA [redacted], New Orleans, states work load at Meridian, Mississippi, resident agency, exceedingly heavy and necess. to handle more expedite matters first. Former SAC H. C. Boswell states work load commensurate with that in other resident agencies. States condition of work discussed with [redacted] and improvement noted during next thirty days.

ASAC G. E. Gotschall states necessary to follow [redacted] on March 18 and April 20, 1954, and to send him an error form on May 4, 1954, before any action secured.

Bureau Supervisor William P. Jones, Interstate Transportation of Stolen Motor Vehicle desk, cautioned field on June 16, 1954, as to possibility of matter being an auto theft "ring" case, but neglected to challenge delay in reporting. States no rule requiring submission of report within stated time. Assistant Director A. Rosen states Bureau Supervisor Jones should have challenged field for delay in reporting. At time he cautioned New Orleans regarding possible potential of case.

Attachment

cc: Mr. Mohr
Mr. Rosen

RGH:wjt

EBI

cc: 1-SA Hendricks, personnel file
1-SA Jones, personnel file

18 OCT 5 1954

RECORDED - 45

67-915-1650

21 FEB 54 11 50 AM '54
RECEIVED - MOHR
EBI

RECOMMENDATIONS:

(1) SA [redacted], GS-13, assigned New Orleans and resident agent at Meridian, Mississippi, for unwarranted delay in reporting Censure.

I Bar
Agree and recommend he be
advised if there are any further
instances of such delays, it will
be necessary to remove him as a
Resident Agent. *John*
9/23

(2) Bureau Supervisor William P. Jones, GS-14, Investigative Division, for a failure to challenge New Orleans on its delay in reporting Censure.

I Bar
Agree
John V.
9/23

(3) No action is being recommended with respect to Former SAC H. C. Boswell, ASAC G. E. Gotschall or Field Relief Supervisor John T. Reynold. Boswell resigned effective September 3, 1954, and it does not appear that ASAC Gotschall or Field Supervisor Reynold were in any way responsible for the delay in that they followed SA [redacted] within a normal period of time commensurate with the facts known about the case at that time.

I Bar
Agree
John
9/23
over

(4) No action is being recommended regarding Section Chief F. L. Price, Number One Man E. H. Winterrowd or Assistant Director A. Rosen of the Investigative Division as these individuals are in no position to have any information regarding this matter.

James P. Ben
9/23

9/29

PERMANENT PERSONNEL BRIEFS OF SA'S HENDRICKS AND JONES ATTACHED

DETAILS:

BACKGROUND:

This is a pending file with the New Orleans Division as original. The initial report of SA [redacted] dated June 2, 1954, was received by the Investigative Division on June 9, 1954.

This report reflects this matter was first brought to the attention of the New Orleans Division on January 13, 1954, by a representative of the Mississippi Highway Safety Patrol at Meridian, Mississippi, who advised that a 1949 Ford Tudor sedan had been recovered bearing 1954 Mississippi license 625-325. The report of SA [redacted] reflects that the investigation was conducted on January 13 and 15, 1954, and May 25, 1954.

The Bureau file reflects that by memorandum dated June 16, 1954, the Bureau pointed out to the New Orleans Division that the circumstances were such as to indicate that it was possible that this car had been handled by an auto theft ring and that further investigation should be approached with this thought in mind.

From the available facts it appears that an unwarranted delay in reporting existed in that the field first learned of this on January 13, 1954; however, the initial report was not submitted

until June 2, 1954. SA William P. Jones, the supervisor handling this matter at the Bureau, was requested to explain why steps were not taken to call this delay to the attention of the field, particularly when time was taken to direct a communication to New Orleans pointing out the possible potentials of this case. Explanations were also requested of Section Chief F. L. Price, Number One Man E. H. Winterrowd and Assistant Director A. Rosen. The New Orleans Division was instructed to submit the explanations of SA [] and the field supervisor handling this matter, together with the comments and recommendations of the SAC.

HANDLING OF THE CASE IN THE FIELD:

In reply to the Bureau's memorandum of August 19, 1954, the SAC of the New Orleans Division submitted under cover dated August 26 the explanations of SA [] and ASAC G. E. Gotschall.

SA [] in his explanation states that this matter was brought to his attention on January 13, 1954, and although he realizes that it is important and necessary to give immediate and continuous attention to matters of this type, it was felt at that time that the entire matter should be completed before logical leads were set out. He states that the investigation was not completed until May 25, 1954, and that the report was immediately submitted subsequent thereto.

SA [] states that he is assigned in a one-man resident agency and that he was in attendance at In-Service training during the latter part of March and the first part of April, 1954. He states that the weekly reports of work on hand during the period from January through May, 1954, show that the work load for his territory was exceedingly heavy. He further states that numerous expedite and deadline matters were handled which required immediate attention; however, he regrets not having handled captioned matter more expeditiously. SA [] concludes by stating that the latest developments in this matter indicate that it will in all probability be brought to a successful conclusion and that the delay will have no bearing on the outcome.

ASAC G. E. Gotschall stated that this matter was supervised on his desk and that the New Orleans file (their number 26-14922) indicates that this matter was first opened on January 14, 1954. A review of same by Gotschall determined that Relief Supervisor John T. Reynolds directed routing slips to SA Hendricks on March 18 and April 20, 1954, instructing him to

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submit a report. On May 4, 1954, ASAC Gotschall sent an error form to [] calling to his attention the delay in investigation and instructing that since the changed serial plate suggested a possible theft ring, the case should receive continuous attention. SA [] was instructed to advise him when a report would be submitted and in reply by routing slip dated May 7 stated that the case would be handled as soon as possible.

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SAC H. C. Boswell in his cover memorandum of August 26, 1954, stated that [] assignments have been commensurate with those of other resident agents in that area. He states that shortly after his arrival in New Orleans as SAC, he noted a tendency on the part of [] to "bog down" when the work was heavy. Shortly thereafter SAC Boswell discussed the status of his work with [] and informed him that on the basis of pending cases and the amount of remaining work, there was no basis for assigning any additional help to that resident agency, which help was not actually available. SAC Boswell stated that he informed [] that he should immediately work his way out of this temporary backlog and that as a result, a marked improvement was noted with respect to the status of [] work during the next thirty days. SAC Boswell added that there has been no subsequent need for him to discuss delinquencies with Hendricks.

SAC Boswell recommends no disciplinary action against SA Hendricks or ASAC Gotschall.

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It is to be noted that under date of September 2, 1954, the Investigative Division added a statement to New Orleans' memorandum of August 26 that "In view of delay on part of SA [] in conducting this investigation, Investigative Division recommends SA [] be censured."

HANDLING OF CASE AT SEAT OF GOVERNMENT:

In reply to a request for an explanation as to why the New Orleans Division was not questioned regarding what appeared to be an unreasonable delay in reporting, Supervisor W. P. Jones stated that there is no rule requiring that a report be submitted within a stated time, although any matter on which there has been no investigative report or other communication in lieu thereof submitted for a period of 45 days is delinquent. Supervisor Jones states that there was no way for him to determine from a review of the initial report whether there had been other correspondence between field offices of such a nature as would permit the posting

of this correspondence on the field office assignment card and thus remove this case from a delinquent status.

Regarding delinquencies in reporting, Supervisor Jones stated that the policy has been established of following individual field divisions with respect to their delinquencies in such matters, not on an individual case basis but by means of a general letter summarizing the delinquency situation as it pertains to the entire classification in that division.

Assistant Director A. Rosen states that in view of the fact that the supervisor did write a letter to the field concerning this case, he should have mentioned the delay. He continues that there is no rule requiring that a report be submitted within a stated time but the explanation received from the New Orleans Division indicates that there was a delay on the part of SA [] and that as same had been called to the attention of the field in the first instance, the explanation should have been obtained heretofore. He concludes by stating that he agrees with the inspector that as long as the letter was written, the supervisor should have called the delinquency to the attention of the field.

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CURRENT DEVELOPMENTS:

It is to be noted that in the explanation submitted by SA Hendricks of the New Orleans Division he states that in view of current developments, it does not appear that the delay will have had any effect on the outcome of the case.

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The reports of SA [] dated July 8, 1954, at New Orleans, and SA George W. Hymers dated August 10, 1954, at Memphis, reveal that subject Stratton has been positively identified as being identical with subject [] and that the identity of the second subject, [] was also determined. The latter report reflects that [] was found guilty of the interstate transportation of a stolen motor vehicle in connection with another case in which Memphis is origin and that on June 24, 1954, he was sentenced to serve five years on each of two counts and fined \$125 on each count.

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Insofar as subject [] is concerned, Assistant United States Attorney Warner Hodges at Memphis, Tennessee, advised that he would authorize the filing of a complaint against [] but has requested that such action be temporarily postponed pending an examination of [] handwriting by the Bureau Laboratory.

1. Agency and organizational designations U. S. Department of Justice Federal Bureau of Investigation		2. Pay roll period <div style="border: 1px solid black; width: 40px; height: 40px; margin: 0 auto; text-align: center; line-height: 40px;">0</div>	3. Block No.	4. Slip No. 10289
5. Employee's name (and social security account number when appropriate) MR. ALEX ROSEN		6. Grade and salary DEPT. GS 17 \$13,200		

PAY ROLL CHANGE DATA

	BASE PAY	OVERTIME	GROSS PAY	RET.	TAX	BOND	F. I. C. A.	NET PAY
7. Previous normal								
8. New normal								
9. Pay this period								

10. Remarks:	11. Appropriation(s) <div style="font-size: 2em; text-align: center;">4B</div>	12. Prepared by 13. Audited by
--------------	---	---------------------------------------

<input checked="" type="checkbox"/> Periodic step-increase <input type="checkbox"/> Pay adjustment <input type="checkbox"/> Other step-increase				
14. Effective date	15. Date last equivalent increase	16. Old salary rate	17. New salary rate	18. Performance rating is satisfactory or better.
10-24-51	4-26-53	\$13,200	\$13,400	<div style="transform: rotate(-15deg); font-weight: bold;">67-NOT RECORDED-17</div> <div style="text-align: center;"> (Signature or other authentication) </div>
19. LWOP data (Fill in appropriate spaces covering LWOP during following period(s)) Period(s): <input checked="" type="checkbox"/> No excess LWOP. Total excess LWOP:				(Check applicable box in case of excess LWOP) <input type="checkbox"/> In pay status at end of waiting period. <input type="checkbox"/> In LWOP status at end of waiting period.
23 SEP 30 1954 Form prescribed by Comp. Nov. 8, 1950, General Regulations No. 102				JH:mlm Initials of Clerk

PAY ROLL CHANGE SLIP—PERSONNEL COPY

September 1, 1954

Mr. Boardman
Mr. Nichols
Mr. Belmont
Mr. Harbo
Mr. Mohr
Mr. Parsons
Mr. Rosen
Mr. Tamm
Mr. Sizoo
Mr. Winterrowd
Tele. Room
Mr. Holloman
Miss Gandy

MEMORANDUM FOR THE DIRECTOR

The following Special Agents in Charge are presently on probation:

NAME	OFFICE	DATE	LAST INSPECTION	DATE OF LAST RECHECK	REASON
R. G. Banister	Chicago Continued	3-22-54 7-29-54	8-7-53	12-18-53	Because of the inadequate planning of attempted efforts to capture Top Ten Fugitive Nick Montos. Because of the operations of the Minneapolis Office which occurred while he was Special Agent in Charge.
J. J. Casper	New Haven Continued	3-22-54 7-1-54	2-10-54	6-23-54	In view of the mishandling of the bank robbery investigation concerning [redacted] Due to the results of the recheck inspection of the New Haven Office.
L. Blaylock	Indianapolis	6-17-54	11-25-53		In view of the bad judgment exercised in not promptly advising the Bureau regarding the information received in the Indianapolis Division indicating a possible leak of security information from FBI files.
A. Cornelius, Jr.	Salt Lake City	6-22-54	11-21-53	7-10-54 (Spot Check)	In view of his negligent and dilatory handling of the case entitled "Ute and White River Bands of Ute Indians vs. United States Court of Claims Number 45753" which was under his direct supervision.

A. ROSEN

NEW HAVEN INSPECTION

18 SEP 21 1954

REC-1

E. J. ~~X~~Powers

~~X~~Miami

6-25-54 1-17-54

b6

In view of his failure to completely carry out his duties as a Special Agent in Charge in the handling of the investigation and apprehension of [redacted] in the Miami Division.

D. A. ~~X~~Bryce

~~X~~Albuquerque

7-15-54 7-9-54

Because of the unsatisfactory office administration, the unusually high number of investigative delinquencies and the poor showing in contact matters.

R. J. ~~X~~Abbatichio ~~X~~Charlotte

7-22-54

8-5-54

(Philadelphia Office)

b6

Because of the atrocious manner in which the Philadelphia Office handled the case entitled "Unknown Subject; [redacted]-Victim, Extortion"

C. B. ~~X~~Howard

Minneapolis

7-21-54

7-9-54

X MINNEAPOLIS INSPECTION

In view of inspection findings of the Minneapolis Division.

SEAT OF GOVERNMENT OFFICIALS (INCLUDING SECTION CHIEFS) ON PROBATION

<u>NAME</u>	<u>TITLE</u>	<u>DATE</u>	<u>REASON</u>
A. X Rosen	X Assistant Director Investigative Division (Inspection began 8-17-54)	6-22-54	Because of the sixth case in the past six months involving lax supervision in the administration of the Accounting and Fraud Section
E. H. X Winterrowd	Number 1 Man in the Investigative Division	6-22-54	Because of the inadequate supervision afforded the case entitled, "Utah and White River Bands of Ute Indians vs. United States, Court of Claims Number 45751, Court of Claims".
C. A. X Evans	Chief of Accounting and Fraud Section of the Investigative Division	6-22-54	Because of the inadequate supervision afforded the case entitled "Utah and White River Bands of Ute Indians vs. United States, Court of Claims Number 45751, Court of Claims".

Respectfully,

J. P. Mohr

Tolson
Boardman
Nichols
Belmont
Harbo
Mohr
Parsons
Rosen
Tamm
 Sizoo
Winterrowd
Tele. Room
Holloman
Gandy

November 2, 1954

PERSONAL AND ~~CONFIDENTIAL~~

Mr. Al Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I want to call your attention to the memorandum prepared in your division under date of May 12, 1954 to the Attorney General in the case entitled [REDACTED] -
FRAUD AGAINST THE GOVERNMENT; BRIBERY; CONSPIRACY.

This memorandum should have contained a specific indication that the police records mentioned could not be definitely identified by the Bureau as applying to the subject in this case. The statement on page four of the memorandum to the effect that the identifying or descriptive data appearing on the fingerprint cards applying to the [REDACTED] in question agreed with information concerning the subject of this case was not sufficient to alert anyone reading the memorandum of the possibility that the records might not apply to the same individual.

This matter is being called to your attention in order that you may see to it that in correspondence prepared in the future in your division the information is completely accurate in every respect.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

Mr. Tolson
Mr. Boardman

Mr. Nichols

Mr. Rosen

Mr. Tamm

Mr. Sizoo

Mr. Winterrowd

Mr. Tele. Room

Mr. Holloman

Mr. Gandy

cc Mr. Boardman.

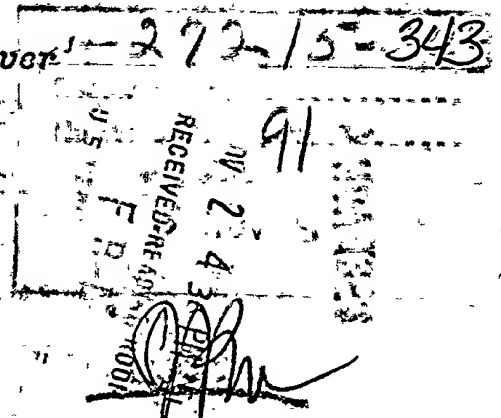
at: DSS

MAILED 6

NOV 4 1954

COMM-FBI

17 NOV 12 1954



Mr. Mohr

November 2, 1954

H. L. Edwards

[redacted] with alias
Fugitive
Selective Service Act, 1948

A. ROSEN

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There is attached a detailed memorandum from Mr. Mason to Mr. Harbo dated 10/26/54, setting forth the results of the Training and Inspection Division's inquiry made in accordance with the Director's instructions to determine if Detroit agents acted properly in obtaining and executing a Federal Search Warrant in connection with captioned case.

Subject [redacted] refused to register under the Selective Service Act, 1948, and has been a fugitive on that charge since 8/1/52. His parents, Detroit, Michigan, have from the outset refused to cooperate in any way and on 7/20/54, fugitive's mother spat on an agent and struck him. Three of fugitive's brothers have served sentences for violation of Selective Service Act, 1940. On 10/14/54, one of fugitive's brothers, Patrick, gave a sworn affidavit that he had been informed by another brother that the fugitive was then in the parents' home. Because of the hostile attitude of the parents a Federal Search Warrant was obtained and upon being refused admission to the [redacted] residence Detroit agents broke down the door, searched the house, but failed to find the subject. The Search Warrant was issued by a United States District Judge with the full approval of the United States Attorney. Eight agents took part in the search and a ninth agent was assigned as photographer. Manpower was not considered to be excessive. The entire elapsed time from entry to completion was two hours and a very intensive search was conducted.

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Execution of the Search Warrant was supervised by Detroit Supervisor Theodore S. Kramer. Neither the SAC or ASAC assumed on-the-scene personal supervision although SAC McIntire advised he was aware that "it was apparent from the background of the family and of their hostile attitude that they would do anything to protect the subject and to interfere with the Bureau's investigation."

Investigative Division memorandum of 10/20/54, failed to question as to why the SAC or the ASAC did not assume on-the-scene supervision of this matter and also failed to initiate commendatory action for the agents who did an outstanding job in executing this Search Warrant.

DCM:bak

CC: Mr. Harbo

Mr. Rosen

SOG Detroit Field Office File

Personnel File of SAC Fred C. McIntire

Attachment

ORIGINAL FILED IN 67-5207-2168

35
NOV 10 1954

Subject was arrested at Detroit, 10/27/54, by Deputy U. S. Marshals who had warrants for the arrest of Subject's parents for contempt of court. The Deputy Marshals had been alerted by the Detroit Office which had advised them of the uncooperative attitude of subject's parents and the possibility that subject was being harbored by the parents and might be found at their residence. The Deputies found a bedroom of the home locked and, after breaking in, found and arrested subject.

The Deputies had arrested subject's father outside the home and were searching for the mother, whom they did not find, when they encountered the locked door.

RECOMMENDATIONS:

(1) Mr. E. D. Mason recommended a letter go forward to SAC, Detroit calling attention to the fact that this is the type of instance requiring the SAC or ASAC to assume personal on-the-scene leadership, and that he should have also initiated action to commend the agents for their efficiency and thoroughness in carrying out their responsibilities in this matter.

Mr. Harbo felt this is a borderline case insofar as censuring the SAC is concerned and recommended against it. Mr. Harbo further felt SAC McIntire was not derelict in failing to recommend letters of commendation to the agents who participated in the search.

Mr. Boardman did not feel that this search was a situation that could be described as outstanding, or deserving of special commendation. He felt the agents did a thorough, workmanlike job which could and should be expected of Bureau agents, that special commendation was not warranted; there was a potential of embarrassment existent, but this exists in daily functions whenever agents do not do a workmanlike job. Therefore Mr. Boardman recommended no action.

The Administrative Division recommends no action against SAC McIntire because this did not seem to be a situation warranting on-the-scene supervision but could be handled capably by agents. There further appears to be no special need for commendation in this matter.

I agree - JAS

I agree - JPM

I agree with Mason - Tolson

I concur - Hoover

I agree. A letter should go to SAC for not assuming leadership but do not think agents should be commended. - Holloman

(2) Mr. Mason recommended letters of commendation for the agents who took part in executing the Search Warrant for their thoroughness, efficiency and outstanding good conduct in assuming the responsibilities incident to the execution of the Warrant.

Mr. Harbo while feeling the agents participating in the search did a thorough competent job, felt there were no unusual factors making it desirable to send letters of commendation.

Mr. Boardman as pointed out in recommendation number one did not feel commendation was necessary.

The Administrative Division recommends that no letters of commendation be furnished agents participating in the execution of the Search Warrant.

I agree with Mason. Tolson
Yes. Hoover

(3) Mr. Mason recommended that Mr. Rosen be censured for the dereliction of the Investigative Division in failing to question why the SAC or the ASAC did not take charge of the execution of the Search Warrant and for failing to initiate recognition for the agents who did an outstanding job in the execution of the Search Warrant.

In view of their observations under recommendations 1 and 2, Mr. Harbo and Mr. Boardman recommended against any censure of Mr. Rosen.

Inasmuch as there appears to have been no necessity for the SAC or ASAC to take personal on-the-scene charge of the execution of the Search Warrant, and in view of the lack of unanimity in recommending letters of commendation for participating agents, the Administrative Division recommends that no action be taken against Mr. Rosen, or personnel of the Investigative Division.

I agree - JAS

I agree - JPM

I agree with Mason - Tolson

Yes - Hoover

Recommend censure to Rosen for not questioning why SAC or ASAC did not assume leadership. - Holloman

NY 100-57

October 16, 1954

D. F. HARRIS

EDWARD MIDDLEB, Wa.
FUGITIVE
COLLECTIVE SERVICE ACT, 1940

In accordance with the Director's instructions, the captioned case was reviewed by Special Agent A. G. Gilliland of the Inspection Staff to determine if Bureau Agents acted properly in obtaining and executing a Federal Search Warrant.

BACKGROUND:

[redacted] refused to register under the Collective Service Act, 1940, and has been a fugitive on that charge since August 1, 1952. His parents, who reside at Detroit, Michigan, have refused to cooperate in his apprehension and are reported to be hiding him. On October 14, 1954, one of fugitive's brothers, Patrick, gave a sworn affidavit that he had been informed by another brother that fugitive was then in the parents' home. Due to the hostile attitude of the parents a Federal Search Warrant was obtained. Upon being refused admission to the residence Detroit Agents broke down the door, searched the house, but failed to find the subject. The Director expressed concern over forcible entries, noting he recently had one in Philadelphia where the subject was not found. He instructed to look into the instant case to see whether the Agents acted properly.

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RE THE OFFENSE:

A review of captioned case disclosed the following:

(1) The subject of this case has been a Federal fugitive since August 1, 1952.

Attachments

AS:nyo

cc: Mr. Mohr
Mr. Rosen

CC: Personnel Files of Assistant Director A. J. Casper, SAC F. H. McIntire, LEO Theodore L. Armer, Arthur L. Hartagh, Ronald L. Dillard, George D. Knight, W. Ellis Leach, Lerle J. Motters, Homer L. Adams, George W. Larson, and Harvey C. Flemlater, Jr.

NOV 23 1954

(2) Fugitive's parents have from the outset refused to cooperate in any way and on one occasion (July 23, 1934) fugitive's mother spat upon an Agent and struck him.

(3) Extensive investigation has been conducted for more than two years without subject being located.

(4) The application for Search Warrant was based upon the sworn affidavit of one of fugitive's brothers.

(5) The Search Warrant was issued by a United States District Judge with the full approval of the United States Attorney.

(6) When fugitive's mother refused to open the door after being adequately advised as to the identity of the Agents and the nature of the Federal process in their possession, the Agents were compelled by law, precedent, and good judgment to break down the door and thereby sustain the dignity of the Federal law.

(7) The house being searched consisted of two stories in addition to a basement and attic. Eight Agents took part in the search and a ninth Agent was assigned as photographer. Empower not excessive.

(8) During the search it was necessary to obtain the services of a Humane Society employee to handle a dog which was guarding one part of the basement.

(9) Entire elapsed time from entry to time Agents left premises was two hours. This time was consumed in searching four floors, sounding and measuring thicknesses of walls in search of secret hiding places, obtaining aid of Humane Society, and in making certain all furniture was replaced where it was found.

(10) The Execution of the Search Warrant was supervised by Detroit Supervisor Theodore C. Kramer. Neither the Special Agent in Charge nor the Assistant Special Agent in Charge observed on-the-scene personal supervision although SAC McIntire advised he was aware that "it was apparent from the background of the family and of their hostile attitude that they would do anything to protect the subject and to interfere with the Bureau's investigation".

(11) There is every evidence that the Agents did a thorough, systematic, and outstanding job of searching the house pursuant to the Search Warrant. They located a secret hiding place back of a removable partition which probably had been used by the fugitive. There is nothing to indicate but that the Agents were efficient and businesslike all during the execution of the warrant.

CONCLUSIONS:

This appears to be an instance where the Agents were not content to conduct routine and repetitious recontacts with neighbors and relatives, which had proven unproductive, in search for the fugitive. Instead, they took forthright and decisive action in obtaining a Search Warrant for the parents' residence where fugitive was reported by sworn affidavit to be in hiding. A Federal Search Warrant is a powerful legal process, one not too often used by our Agents, and from its very nature imposes considerably more responsibility on the officer executing it than do many other investigative procedures. It appears that the Agents in this instance were legally sound, and clearly within the limits of the law, in obtaining and executing this warrant. There is no indication but that they acted properly in all respects.

The search conducted was extremely thorough. Considering the four floors to be searched and the painstaking work incident thereto, the number of personnel used and the time consumed was reasonable. Agents were posted outside the house until the search got underway to prevent fugitive from escaping out a window. Walls were sounded and the thicknesses of walls and partitions measured to locate any space where fugitive could hide; a secret hiding place was found in the basement, giving evidence of recent occupancy. Photographs inside and outside the house were obtained and an Agent took the opportunity to snap photographs of members of the family as they moved about. The nine Agents who took part in the procedure did an exceptionally fine job of executing the Search Warrant in this case.

With the full knowledge that a Federal Search Warrant was to be served on persons of known hostile attitude, one of whom previously had spat upon an Agent and struck him, persons who "would do anything to protect" a Bureau fugitive and interfere with the Bureau's investigation, persons who "if possible would try to embarrass the Bureau", the SAC or ASAC of the Detroit Office should have assumed personal on-the-scene supervision of the execution of this Search Warrant. SAC McIntire has advised he knew of these possibilities since he thoroughly discussed the matter with Supervisor Kroner.

The Investigation Division memorandum of October 20, 1934, failed to question as to why the SAC or the ASAC did not assume on-the-scene supervision of this matter and also failed to initiate commendatory action for the Agents who did an outstanding job in executing this Search Warrant.

Consideration is being given separately to the need for augmenting present In-Service lectures on "Arrests and Holds" to be certain they adequately cover the problem of forcible entries.

RECOMMENDATIONS BY MASON:

(1) That a letter go forward to SAC McIntire, Detroit, calling attention to the fact that this is exactly the type of instance where the SAC or ASAC should assume personal on-the-scene leadership, and that despite this deficiency on his part he should have initiated action to commend the Agents for their efficiency and thoroughness in carrying out their responsibilities in this matter.

Addendum by R. T. Harbo:

I think this is a borderline case insofar as a basis for censuring the SAC is concerned. I recommend no letter of censure to the SAC. I do not feel that he was derelict in failing to recommend letters of commendation to the Agents who participated in this search.

(2) For their thoroughness, efficiency and outstanding good conduct in assuming the responsibilities incident to the execution of a Federal Search Warrant, it is recommended that letters of commendation be addressed to the following Agents in the Detroit Office, who took part in executing the process: Theodore E. Krumer, Arthur L. Murrigh, Donald E. Bullard, George E. Knight, [redacted] Homer L. Adams, George V. Larson and [redacted]

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Addendum by R. T. Harbo:

It appears that the Agents participating in the search did a thorough, competent job. It does not appear that unusual factors were present which would make it desirable to send letters of commendation to those participating.

(3) That a letter be forwarded to Mr. Rosen, censuring him for the dereliction of the Investigative Division in failing to question why the SIC or the ASAC did not take charge of the execution of this Search Warrant and for failing to initiate recognition for the Agents who did an outstanding job in the execution of the Search Warrant.

Addendum by R. T. Harbo:

In view of my observations concerning Recommendations 1 and 2, I do not recommend any letter of censure to Mr. Rosen.

ATTACHED ARE PERMANENT BRIEFS OF THE PERSONNEL FILES OF SPECIAL AGENTS THEODORE S. KRAMER, [REDACTED] RONALD E. BELLARD, GEORGE B. KNIGHT, [REDACTED] HOMER L. ADAMS, GEORGE V. LARSON and [REDACTED]

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November 8, 1954

~~PERSONAL AND CONFIDENTIAL~~

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have given careful consideration to the supervision afforded by the Investigative Division to the Selective Service Act, 1948 investigation involving [redacted]. I have noted that although the Special Agent in Charge of the Detroit Division was aware that the parents of [redacted] in view of their background and hostile attitude, would do anything to protect the subject and to interfere with the Bureau's investigation, neither the Special Agent in Charge nor the Assistant Special Agent in Charge assumed personal on-the-scene supervision over the search by FBI agents of the parents' home under a Federal search warrant in an effort to locate and apprehend the fugitive, nor did the Investigative Division question this failure.

Moreover, notwithstanding the fact that the agents participating in the execution of this warrant and the subsequent search of the residence of the subject's parents did a most competent job, the Investigative Division failed to initiate action to recognize the commendable manner in which the participating agents discharged their responsibilities.

Therefore, in view of the foregoing, I shall expect you to see to it that in the future personnel under your supervision are more alert to their responsibilities and more aggressive in the performance of their duties in order that there will be no need for me to communicate again with you in this regard.

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

COMM - FBI
NOV - 9 1954
MAILED 25

27 215 - 344
Very truly yours,

J. Edgar Hoover
John Edgar Hoover
Director

CC: Mr. Boardman (Personal Attention)

AL ROSEN

November 15, 1954

Dear Mr. Hoover:

I heard the broadcast of the presentation to you of the Cardinal Gibbons Medal for outstanding and meritorious service to the United States, on the occasion of the Sixty-fifth Anniversary of the founding of Catholic University.

Your remarks were most appropriate and while I listened it was my wish that your inspired guidance and thinking would reach the homes, the parents, and teachers, who are charged with the great responsibility which you so clearly outlined.

I wanted to take this opportunity to congratulate you on this most singular honor.

Sincerely, *D*

Al Rosen

Honorable John Edgar Hoover
Director
Federal Bureau of Investigation
Washington, D. C.

RECORDED-54

67-27215-345
SEARCHED
INDEXED
NOV 26 1954

47

JP

November 17, 1954

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I am most grateful for your thoughtful note
of November 15, 1954, congratulating me upon receiving
the Cardinal Gibbons Medal recently.

Your kindness is certainly appreciated, and
I am very happy that my remarks were well received by
you.

Sincerely,

J. E. H.

NOV 17 12 22 PM '54
RECEIVED READING ROOM
FBI

MOJTB

REFERENCE CARD IN

NOTE: First name salutation per Bureau mailing list.

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

GEM:djg

(3) djg

NOV 30 1954

COMM - FBI
NOV 17 1954
MAILED 31

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Boardman *BJ*

DATE: December 16, 1954

FROM : Mr. Rosen *R**A. LOREN*

Tolson	✓
Boardman	✓
Nichols	✓
Belmont	✓
Harbo	✓
Mohr	✓
Parsons	✓
Rosen	✓
Tamm	✓
Sizoo	✓
Winterrowd	✓
Tele. Room	✓
Holloman	✓
Gandy	✓

SUBJECT: UNKNOWN SUBJECTS;
ALLEGED IRREGULARITIES IN NINTH
CONGRESSIONAL DISTRICT OF VIRGINIA,
GENERAL ELECTION, NOVEMBER 2, 1954
ELECTION LAWS

Investigative Division

This is an explanation with reference to the handling of the attached teletype.

Scatterday sent this to Winterrowd on a "Call me." Winterrowd advised me that he spoke to Scatterday about it but did not recall the wire at the time of his conversation. He brought it to my attention and the following is the background with reference to the handling of the wire.

I was informed by Winterrowd that Scatterday had been requested by you to ascertain why this wire, which was stamped into my office at 9:39 A.M., December 10, did not arrive at your office until 6:09 P.M.

It is noted that the wire was restamped subsequent to the initial receipt in my office at 9:39 A.M., in the office of the No. 1 Man (Winterrowd) at 10:31 A.M. It was then next stamped in your office at 6:09 P.M.

The incoming wire from Richmond indicated that it would be necessary to very carefully instruct the Richmond Office as to how to dispose of the request which had been made of the SAC to take the ballots. When the wire came to my attention I put a "See me" on it to Mr. Winterrowd and spoke to both Messrs. Winterrowd and Price. I advised them that I thought it would be necessary to make a telephone call in order to insure that these ballots were handled properly. Both recall my having talked with them, but the exact time cannot be fixed.

In any event, I did communicate with the SAC at Richmond and according to the switchboard, they have a record of my having called Potter at Richmond at 4:06 P.M., December 10, 1954. I went into detail concerning the handling of this matter with Mr. Potter. The wire subsequently went to your office and was stamped in at 6:09 P.M. This was merely a confirmatory wire and should have been so indicated by me.

Attachment 55 DEC 28 1954

AR: jh

(3)

1 copy rec'd 12-28-54 (55)
1 typed copy 12-27-54 (81)

*3 JPM
NIC*

Memorandum to Mr. Boardman

It is noted that the United States Attorney indicated to the Agent who received the request that the Federal Grand Jury was to be called Thursday, December 16, 1954, in an effort to obtain election records for Lee and Wise Counties. The United States Attorney desired that if the records were obtained they be obtained simultaneously. Inasmuch as it was not thought advisable that we engage in this practice, it was necessary for me to call Mr. Potter.

With reference to the action which was taken, I have no other explanation as to the time it took for this wire to be stamped in your office except that there were other matters of a more urgent nature according to my judgment requiring attention in this office.

Every effort will be made to clear these matters more quickly if at all humanly possible.

ADDENDUM: December 16, 1954

This was not properly handled by Rosen and there was too much procrastination involved. I have discussed with him the necessity for handling matters on his desk more expeditiously. No administrative action recommended in this instance.

L.V. Boardman

[Handwritten signature]

[Handwritten signature]

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. L. V. BOARDMAN

DATE: 1/11/55

FROM : A. ROSEN

SUBJECT:

Tolson
Boardman
Nichols
Belmont
Harbo
Mohr
Parsons
Rosen
Tamm
Winterrowd
Tele. Room
Holloman
Gandy

With reference to Mr. Sizoo's inquiry concerning the telephone call to Donegan at New York on January 7, the following is submitted. (21 min. call)

I placed the call at 9:08 p.m., the evening of January 7, while I was in the office in view of the fact that earlier that day Mr. Donegan had been in touch with my office at which time I was not here and he had indicated that he was going to New York and would depart for New York at 4:00 p.m. He had called and talked to Winterrowd in my absence. Mr. Winterrowd, according to my recollection, and I have torn up all notes on this matter inasmuch as there was nothing further to be done, advised me that there was a news clipping in the Daily News, Washington, D. C., to the effect that an individual had been apprehended and was in custody in Vancouver, British Columbia, Canada. This individual had written numerous letters apparently and one of the letters allegedly had been written to

Note [redacted] The reason that Donegan called here with reference to the matter was that his office in New York had called him here in Washington to advise him that an individual in Vancouver had been arrested for attempting to extort money from Vincent Astor.

RECORDED - 141

67-27215-347

I subsequently found out, when I talked to Donegan in order to determine whether this was one of our cases or not, that the matter had not been reported to the New York Office and Donegan advised me that he recalled that the matter had been brought to his attention some time ago and there was no matter which should have been referred to the FBI in view of the vagueness of the allegation and consequently it was his recollection that it had not been reported to us because there was no Federal violation. He said that as a matter of fact the matter had been held by [redacted] office for some time before it was ever referred to Donegan for his information, and that there was nothing further to be done on it.

Our files here were checked on it and there was no record of the individual referred to as being in custody in Vancouver. It is Winterrowd's recollection that the individual's

AR:LS

(3) 58 FEB 1 1955

13 JAN 28 1955

CLASSIFIED

Memorandum for Mr. Boardman

b6

name was CANADA [redacted] It was also ascertained that we had checked to determine whether [redacted] had been the victim of an extortion case and there was no record of this.

The question has also been asked as to why I had the call placed by long distance rather than to go through the switchboard on the direct line. I had placed the call through the switchboard and the operator told me that the New York line was being used and was held up at that time. I wanted to get through to Donegan in order to clear up this matter and consequently I requested that they place a long distance call to Mr. Donegan and I didn't have his number and I would appreciate them locating him in order that I might speak with him.

No memorandum was written and I tore up the notes inasmuch as it didn't appear to be advisable to clutter up the files with useless information. This matter was not within our jurisdiction.

*This was a waste of funds.
The direct line should
have been used, at no
expense. Hereafter, no
long distance calls are
to be made to New York
except over the direct
line, unless specifically
approved by my office*

1-12

*2 I concur.
H.*

have discussed the necessity for avoiding these inaccuracies with Messrs. Rosen, Belmont and Winterrowd, and then find myself guilty of failing to note same when they do appear. I, therefore, recommend that I be censured, and I concur in Rosen's recommendation that he, Winterrowd and McGrath be likewise censured for failure to either carefully prepare material or to carefully review material prepared.

LVB

Letters of censure are not necessary but this is typical of the inaccuracies in memos and letters.

Tolson

I agree. They are coming in increasing number. It just means that the executions just dont or want reach mail.

Hoover

MR. ROSEN

January 4, 1955

E. H. WINTERROWD

FBI INVESTIGATIVE JURISDICTION WITH RESPECT
TO TREASURY DEPARTMENT MATTERS.

A. Rosen

The writer and Supervisor A. J. McGrath worked out figures to furnish a rough estimate as to what the cost of investigations of Treasury Department personnel would be to the FBI for the remainder of the fiscal year 1955 and for the fiscal year 1956. In the memorandum prepared at the request of Mr. Tolson dated January 3, 1955, which is attached, a typographical error was overlooked by the writer and Supervisor McGrath as it appeared in the last paragraph of the memorandum in question. Inadvertently, we referred to the fiscal year 1954. It should have been 1955.

This error was overlooked by the writer and Supervisor McGrath, I believe, as a result of our desire to make certain that the figures submitted throughout the memorandum were accurate. These figures were checked and rechecked by Mr. McGrath and, thereafter, after receiving the memorandum from Supervisor McGrath, I checked the figures.

Since that time, this morning, January 4, 1955, both Supervisor McGrath and myself have again checked the figures, at the request of Mr. Tolson, and we have found them to be accurate.

I sincerely regret the oversight on the part of Supervisor McGrath and myself in not catching the error in the designation of the fiscal year in the last paragraph of the memorandum. Full responsibility in this matter is ours.

The attached memorandum of January 3, 1955, wherein the typographical error appears, has been corrected.

Attachment

EHW/rh
(6)

cc Administrative Division letters of censure for Messrs. Winterrowd, McGrath, and myself.

A. Rosen

1/4/55 - The inaccuracy contained in this memorandum is typical of the too frequent inaccuracies that are appearing in memoranda. I

ORIGINAL FILED IN 61-14622-437

13
55 JAN 12 1955

February 18, 1955

PERSONAL AND CONFIDENTIAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have examined the results of the streamlining survey just made in the Special Inquiry Section of the Investigative Division and I find it most shocking that you and your subordinates failed to take appropriate action to reduce the number of personnel assigned to this section when the volume of work declined without waiting for the Training and Inspection Division to discover the situation and recommend appropriate action.

I find it particularly disturbing that you did not promptly bring about a reduction in the number of employees in conformity with the declining volume of work in view of my previous specific reference at staff meetings to the desirability of transferring surplus personnel assigned at the Seat of Government. I shall certainly expect you to see to it that similar situations do not exist elsewhere in your division and are not permitted to occur in the future.

Very truly yours,

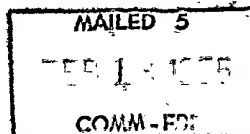
J. Edgar Hoover

John Edgar Hoover

Director - 27215-348

CC: Mr. Boardman (Personal Attention)

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____



55 FEB 23 1955

RECEIVED READING ROOM
FBI
JAN 23 1955
SEARCHED _____
NUMBERED _____
2 FEB 23 1955
FEDERAL BUREAU OF INVESTIGATION

Mr. Tolson

3-8-55

R. T. Harbo

b6

[redacted]
[redacted] wa.;
[redacted] was.;

METROPOLITAN STATE BANK
DERBY, COLORADO, JULY 19, 1954
BANK ROBBERY, CONSPIRACY, ESCAPE AND RESCUE

SYNOPSIS

A. Rosen

b6

BACKGROUND: Denver letter February 1, 1955, furnished Bureau two copies of amended page 1 of report of SA Harold C. Cook dated 1-12-55 at Denver and three copies of corrected parole reports for [redacted] and [redacted] pursuant to instructions set forth in Buair-tel January 24, 1955. Buair-tel stated title and character of referenced report incomplete and parole reports contained misspellings, poor sentence structures and messy typing. No explanations requested of Denver regarding the errors and Denver neglected in its letter to furnish any such information or consider need of administrative action.

GOVERNING INSTRUCTIONS: SAC Letter 54-23, May 4, 1954, provides "in the future all errors to be corrected in reports after they have been forwarded to the Bureau must be submitted by cover letter containing an explanation for the error, together with two copies of any corrections to be made on reports previously disseminated." Section 4A 1 j, Manual of Rules and Regulations, provides, "Amended pages of reports are to be submitted to the Bureau by cover letter. The cover letter must contain an explanation for the error, recommendations for any administrative action, if necessary, together with two copies of the amended pages of reports."

EXPLANATIONS REQUESTED: Bureau letter February 23, 1955, to Denver, prepared in connection with a survey conducted by Inspector H. C. Van Pelt covering such errors, called attention to foregoing instructions and requested field and SOG explanations. A copy was furnished to Investigative Division.

Attachments

cc: Mr. Nichols
Mr. Boardman
Mr. Rosen
Mr. Mohr

cc: SOG Personnel Files:
SAC Charles W. Brown
ASAC Roy K. Moore
SA Harold C. Cook

b6

RGH:rlc
(19)

SA [redacted]
Stenographer [redacted]
Section Chief F. L. Price
#1 Man E. H. Winterrowd
Assistant Director A. Rosen
SOG Files - Denver Field Division
Investigative Division.

55 MAR 17 1955

(2) ASAC Roy K. Moore, Denver, Colorado....censure....for admitted failure on his part to thoroughly review the above-mentioned reports prior to initialing and failure to comply with existing Bureau instructions regarding the submission of explanations and recommendations in connection with errors.

(3) SAC Charles W. Brown, Denver, Colorado....censure....although there is no indication he was aware of this situation he is responsible for the operation of his office and for any weaknesses which might exist.

(4) Stenographer [redacted] Denver, Colorado....no action....this individual resigned effective January 28, 1955.

(5) SOG Supervisor [redacted]....censure....although he observed the errors made by the Denver Division; furnished constructive instructions as to necessary corrections and cautioned the office as to the need for careful review of correspondence, he neglected to follow existing Bureau instructions resulting in needless expense and excessive correspondence.

EXPLANATIONS RECEIVED: By letter dated February 25, 1955, dictated by Assistant Special Agent in Charge Roy K. Moore, Special Agent in Charge Charles W. Brown states: (1) SA Harold C. Cook, Resident Agent Colorado Springs, Colorado, submitted question reports to the Denver Office in rough draft form; (2) ASAC Moore proof read the rough drafts and sent same to steno pool for typing and (3) ASAC Moore subsequently scanned and approved finished products, failing to proof read, as he had previously approved the rough drafts.

SAC Brown states that upon receipt of Blair-tel January 24, 1955, it was determined errors were the fault of stenographer [redacted] as the rough drafts were complete. No explanation submitted at that time as it was felt Bureau had detected errors prior to dissemination. No action recommended by SAC Brown regarding SA Cook as his rough drafts complete and no indication he saw finished reports prior to transmittal to Bureau. SAC Brown states stenographer Wood resigned January 28, 1955, and recommends no administrative action against ASAC Moore as derelictions have been brought forcibly to his attention. States steps have been taken to eliminate possibility of future situations of this type recurring.

SOG Supervisor [redacted] states reports reviewed carefully and as errors were those of form, rather than substance, he felt that constructive suggestions were in order rather than requests for explanations. States that from incoming apparent that field stenographer new and inexperienced and that he pointed out to Denver the need for careful proof reading of all such material in the future.

CONCLUSION: Although SOG Supervisor [redacted] furnished constructive suggestions to the Denver Division in his Air-tel of January 24, 1955; the errors were those of form rather than substance and in no way jeopardized the case, needless expense and correspondence did result due to his failure to comply with existing Bureau regulations.

RECOMMENDATIONS:

(1) SA Harold C. Cook, Denver, Colorado...no action...no indication SA Cook had opportunity to see finished report prior to its dissemination to the Bureau and no criticism directed to rough draft submitted by him.

(6) F. L. Price, Section Chief, Criminal Section....
recommendation being submitted separately covering this and other
similar matters.

(7) E. H. Winterrowd, #1 Man, Investigative Division....
recommendation being submitted separately covering this and other
similar matters.

(8) Assistant Director A. Rosen, Investigative Division....
no action....in view of his being recommended separately
for censure for this and other similar matters.

PERMANENT BRIEFS OF SAC CHARLES W. BROWN, ASAC ROY K. MOORE, SPECIAL
AGENTS AND HAROLD G. COOK ARE ATTACHED HERETO.

DETAILS

Not repeated herein in view of being fully covered in
synopsis and in the investigative file.

March 3, 1955

Mr. Alex Rosen
Apartment 434
2480 16th Street, Northwest
Washington, D. C.

Dear Al:

I was sorry to learn you are having trouble with your back. I certainly appreciate your game attempt to return to work today, but I think you ought to stay home and take care of yourself until you have made a complete recovery.

In the meantime, please let me know if there is anything that I can do for you.

Sincerely,

J. Edgar Hoover.

Mr. Rosen has advised that he threw his sacrospinous ligament out of place on Friday, 2-25-55 when he stooped over to pick up his glove. He has been under treatment and on sick leave since Friday afternoon. On Thursday he reported for work, but found that sitting was uncomfortable and had to go home. He has advised that he is receiving diathermy treatments and that his doctor has instructed him to remain in bed. It is hoped the condition will right itself naturally, but if it does not Mr. Rosen stated traction will be necessary. He does not know whether he will try to come to work Friday, but doubts if he will be able to.

Address obtained from section.

WEC/and

35 MAR 7 1955

FBI

1955

ED 31

Tolson _____
Boardman _____
Nichols _____
Belmont _____
Harbo _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
 Sizoo _____
Winterrowd _____
Tele. Room _____
Holloman _____
Gandy _____

RECEIVED READ ROOM
FBI
APR 3 1 53 PM '55

LDN
Jaw

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Rosen *R*

DATE: 2/24/55

FROM : Mr. Winterrowd *W*

SUBJECT: ERRORS IN REPORTS

Tolson _____
 Boardman _____
 Nichols _____
 Belmont _____
 Harbo _____
 Mohr _____
 Parsons _____
 Rosen _____
 Tamm _____
 Sizoo _____
 Winterrowd _____
 Tele. Room _____
 Holloman _____
 Gandy _____

SYNOPSIS:

Supervisors in Investigative Division have consistently called serious errors in reports reviewed in this Division to attention of field by teletype, airtel or letter specifically requesting explanations of personnel responsible for delinquencies and recommendations of SAC for administrative action. (Examples in details).

We have endeavored to follow a spirit of Bureau procedures and rules using 0-17 (Bureau error form) to call to attention of field minor errors of form. This form used since August 1943, after it was unanimously approved by Executives Conference 5/21/43, to eliminate preparation of letters (66-3482-162). Estimated that in Criminal and Accounting and Fraud Sections over two hundred such forms used weekly. Form instructs that action necessary to correct errors noted should be taken and every effort be made in the future to prevent recurrence. Form does not instruct that explanations of responsible personnel and recommendations of SAC be submitted.

Handling in this manner followed in light of Bureau instructions re streamlining, economy and exercise of sound judgment. Manual of Rules and Regulations states re errors that "Recommendations for administrative action, if necessary," be submitted. Inspectors Manual differentiates between routine and serious administrative delinquencies, explanations being obtained only in those instances falling within serious category. Use of Bureau error form not challenged heretofore in inspections of this division or in general use of such form. Consequently, procedure felt logical.

In ten specific instances in which explanations not requested by Investigative Division supervisors, errors were form errors, did not affect substance of investigation and administrative action thereon did not appear warranted. Individual memoranda of explanation from supervisors in ten cited cases attached.

RECOMMENDATIONS:

(1) It is noted that approved error form has been utilized in the past to call minor errors of form to the attention of the field.

Attachments -
 cc: Mr. Harbo
 Mr. Mohr

55 MAR 29 1955

Memorandum to Mr. Rosen

However, in view of the need for the elimination of all errors in Bureau reports, including errors of form, it is recommended that the Form 0-17 (Bureau error form) now in use be amended to require the submission of explanations of personnel responsible for such errors and the recommendations of the SAC for administrative action. A memorandum setting out recommendations for specific changes in this form is being submitted separately.

(2) In view of foregoing and in view of explanations submitted, writer has no recommendations to make for administrative action.

H. X. ROSEN

ADDENDUM - AR:LS - 2/25/55

While the above explanations submitted by Mr. Winterrowd and Messrs. Price, Evans and the Supervisors involved, reflect action which was taken based upon the requirements prior to our present instructions as outlined in the instructions disseminated to the field on 2/21/54, it does seem that the judgment which the above exercised was such as to bring it within the spirit and the letter of the instructions then in existence. I have personally discussed these matters and have noted on many occasions the constructive letters which have been written in line with the above. I wish to assure the Director that these letters were constructive and helpful to the field. There can be and there is no doubt in anyone's mind in this division as to the seriousness of this entire matter and we will adhere strictly to the instructions which are now in existence.

It is recommended that the error form which is now utilized, as indicated above, be revised immediately. We have submitted suggestions concerning this. It is recommended that Mr. Winterrowd, who has supervision over the Criminal Section and the Accounting and Fraud Section, Messrs. Price and Evans, who are the section chiefs, receive letters of censure with regard to this matter. It is also recommended that I receive a letter of censure.

Memorandum to Mr. Rosen

DETAILS:

Supervisors in the Investigative Division have consistently called serious errors appearing in reports reviewed in this Division to the attention of the field by teletype, airtel or letter. These communications specifically request explanations of personnel responsible for such delinquencies and the recommendations of the SAC for administrative action. This is an every day occurrence and a quick check of the division revealed the following few examples of instances wherein action has been taken to secure explanations when deficient reports were received:

In the case of [redacted] Theft of Government Property," Bureau file 52-64532, the report of Special Agent Richard W. Emery dated October 8, 1954, at Honolulu, contained a number of deficiencies in the reporting of the investigation. Revised report, explanations and recommendations were requested and submitted. SA Emery and ASAC R. B. Miller received letters of censure.

b6

In the case of [redacted] et al. - Federal Housing Administration Matter," Bureau file 147-904, the report of Special Agent [redacted] dated October 27, 1954, at Dallas, was found to contain five delinquencies. Explanations, recommendations and amended report were requested and obtained. Letters of censure were sent to SA [redacted] Field Supervisor V.E.J. Drain and SAC W. A. Murphy.

b6

In the case of [redacted] et al. - Fraud Against the Government" Bureau file 46-22480, the report of Special Agent [redacted] dated December 13, 1954, at Oklahoma City, Oklahoma, contained thirteen

b6

Memorandum to Mr. Rosen

b6

delinquencies. Explanations, recommendations and amended report were requested and obtained. Letters of censure were directed to SA [] and SAC N. R. Johnson.

b6

In the case of [] et al., Interstate Transportation of Stolen Motor Vehicle, Bureau file 26-192973, the report of Special Agent [] dated January 10, 1955, at Memphis, reflected investigative and reporting delinquencies. Memoranda of explanation and recommendations were requested and received. SA [] and ASAC C. O. Halter were censured.

We have endeavored to follow spirit of Bureau procedures and rules in utilizing form 0-17 (Bureau error form) in calling to attention of field minor errors. It is estimated that over two hundred such forms are utilized weekly by Criminal and Accounting and Fraud Sections. Use of this form was begun in August, 1943, (66-3482-166) after it was unanimously approved by Executives Conference 5/21/43 to eliminate correspondence (66-3482-162). Form instructs any action necessary be taken to correct errors noted and that in the future every effort be made to prevent recurrences. This form does not instruct that explanations be submitted or that SACs submit recommendations for administrative action.

It is noted that Section 4A, 1, j, Manual of Rules and Regulations, reads as follows: "Amended pages of reports are to be submitted to the Bureau by cover letter. The cover letter must contain an explanation for the error, recommendations for administrative action, if necessary, together with two copies of amended pages of reports." The Investigative Division has endeavored at all times to interpret this rule in the light of all Bureau instructions, particularly those concerning streamlining, economy of operations and exercise of sound judgment. In this interpretation efforts have been made to differentiate between those errors which are serious and affect the substance of the investigation and those errors which are errors of form not related to the substance of the investigation. In this regard, it is noted that Section 8B of the Inspectors' Manual differentiates between routine and serious administrative delinquencies. This Section of the manual indicates that explanations of employees responsible for routine administrative delinquencies are not obtained, such explanations being obtained only in those instances which fall within the category of serious administrative delinquencies.

The Investigative Division has been instructed to submit explanations in ten cases in which amended pages for reports were received from the field not accompanied by explanations of responsible personnel or by recommendations for administrative action by SAC.

Memorandum to Mr. Rosen

b6

In these ten instances, explanations and recommendations were not requested by the Bureau supervisor who approved the amended pages. These cases are listed below:

<u>Bufile Number</u>	<u>Title of Case</u>	<u>Bureau Supervisor</u>
25-372500	[redacted] SSN [redacted] Selective Service Act, 1948; Conscientious Objector	[redacted]
93-8792	[redacted] et al. Ascertaining Financial Ability	R. A. Garvey
42-113625	[redacted] Fugitive-Deserter	S. F. Phillips
15-32498	[redacted] Theft From Interstate Shipment	M. T. Cummings
52-65154	[redacted] Operating Engineer Water Department Installation Division Department of the Air Force Kelly Air Force Base, Texas Theft of Government Property	R. L. Bruggeman
91-9777	[redacted] et al. Bank Robbery; Conspiracy; Escape and Rescue	[redacted]
15-32697	[redacted] et al. Theft From Interstate Shipment	H. A. Fitzgibbon
26-189648	[redacted] wa., et al. Interstate Transportation of Stolen Motor Vehicle	F. G. Boggan
70-21946	Unknown Subject; Lt. (j.g.) [redacted] - Victim Crime on Government Reservation - Assault	R. E. Gebhardt
87-24923	[redacted] was. Fugitive; Interstate Transportation of Stolen Property; Mail Fraud	H. A. Fitzgibbon

Memorandum to Mr. Rosen

The types of errors that were corrected in the amended pages submitted in the above-mentioned cases are categorized below:

- a. Enclosures listed improperly
- b. Failure to indicate on administrative page reason why information copy of report was sent to an auxiliary office
- c. Failure to indicate place of investigation in details
- d. Failure to indicate title of subject's Government position in title of report
- e. Incomplete title and character
- f. Subjects incorrectly listed as fugitives
- g. Failure to identify temporary confidential informant on administrative page
- h. Incomplete synopsis
- i. Typographical errors and poor sentence structure
- j. Error in assembly of lengthy mimeographed report
- k. Improper lead
- l. Ambiguous language in details

These errors were errors of form; they did not relate to the substance of the investigation and did not affect the outcome of the case. Explanations were not requested because the substance of the investigation was not affected and they were not considered sufficiently serious of themselves to require administrative action. Because of the nature of the errors, explanations of responsible personnel and recommendations of the SAC for administrative action were not deemed necessary.

Memoranda of explanation from the Investigative Division supervisors who approved the amended pages in the ten cases listed previously herein are attached.

100-2-24-55

5

February 1, 1955

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

In connection with the recent streamlining survey conducted of the Investigative Division, a separate memorandum has been submitted covering the results determined from a survey of the Special Inquiry Section. Separate memoranda are also being submitted covering a matter concerning the number of personnel assigned to the General Investigative Intelligence Unit and General Crimes Unit and covering the distribution of the workload for the entire Division. This communication covers the results of the survey of the remaining portions of the Investigative Division. It is noted that you have concurred with the recommended changes and suggested procedures referred to hereinafter.

In view of the decrease in the workload on the Interstate Transportation of Stolen Motor Vehicle Desk and the Theft from Interstate Shipment Desk and the temporary reassignment of a Reviewer Analyst from the Theft from Interstate Shipment Desk, you must closely watch the workloads on these desks to determine if a trend in reduction of cases develops. You are instructed to submit your recommendations by March 31, 1955, as to whether or not the workload on these desks at that time will permit the release of personnel presently assigned to these desks for other assignment.

You are also instructed to expedite the revision of the accounting lessons so they will be completed by March 31, 1955, at the latest, and you should advise the Bureau when this has been accomplished. Inasmuch as the completion of the revision of the accounting lessons will reduce the workload on the Accounting Control Desk, you are also instructed to submit your recommendations at the same time that you advise the Bureau the completion of the revision has been accomplished as to whether or not the Reviewer Analyst assigned to this desk can be released for other assignment.

Tolson
Boardman
Nichols
Belmont
Harbo
Mohr
Parsons
Rosen
Tamm
 Sizoo
Winterrowd
Tele. Room
Holloman
Gandy

cc - Mr. Boardman
Mr. Mohr

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It has been ascertained that Veterans Unemployment Compensation Matter cases apparently can be supervised on a selective supervision basis as soon as the basic problems which are still arising in the handling of these cases with the local boards are ironed out. In view thereof you are instructed to insure that this matter is followed closely and you should submit your recommendations by March 31, 1955, concerning the handling of these matters on a selective supervision basis.

In view of the agreement which was signed by the Treasury Department on February 4, 1955, to forward to the Bureau fraud and bribery cases involving Treasury Department personnel, which cases were formerly handled by the Treasury Department, you should insure that an extremely close watch is kept on the workload in the Fraud and Bribery Unit and that careful consideration is afforded the personnel needs of this Unit in order that the Bureau will be able to properly and promptly carry out its responsibilities pertaining to these matters.

In general, the results determined from a survey of the various sections of the Investigative Division indicate the need on your part for constantly insuring that extremely close supervision is being afforded the various operations. It is now more necessary than ever that we exercise the utmost care in carrying out our responsibilities and that we make certain that every phase of our operations is being performed as efficiently and effectively as possible.

I must insist that exceedingly careful attention be given to the assignment distribution and performance of work on the part of every employee in your Division and that the functions of each and every unit are being properly carried out. It is your personal responsibility to see to it that this is done.

Sincerely yours,

J. Edgar Hoover

John Edgar Hoover
Director

FEDERAL BUREAU OF INVESTIGATION
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